

List of non compliant FIIs as on September 30, 2010 (Ref.: Circular dated September 29, 2010)

S.No.	FII Name	FII Registration No.	
1	ABN AMRO BANK N.V. COPENHAGEN BRANCH	INDNFD104905	
2	ABN AMRO INVESTMENT FUNDS S.A.	INLUFA047797	
3	ABN AMRO MULTI MANAGER FUNDS	INLUFD214208	
4	ACADIAN ASSET MANAGEMENT LLC	INUSFD228309	
5	ADVANCE ASSET MANAGEMENT LIMITED	INAUFA103905	
6	AGIPI MONDE DURABLE	INFRFD230109	
7	AIG CAPITAL RECOVERY (MAURITIUS) LTD.	INMUFD185108	*
8	Al Ahlia Insurance Co SAOC	INOMFD122706	
9	ALLIANZ GLOBAL INVESTORS HONG KONG LIMITED	INHKFA023194	
10	ALLIANZ GLOBAL INVESTORS SINGAPORE LIMITED	INSGFD160907	
11	AMAGERBANKEN AKTIESELSKAB	INDNFD088404	
12	ANDOR CAPITAL MANAGEMENT LLC	INUSFD187608	
13	ANIMA S.G.R.P.A.	INITFD137806	*
14	ARGONAUT MANAGEMENT LP	INUSFD204008	
15	ARLINGTON GROUP ASSET MANAGEMENT LIMITED	INUKFA124306	
16	ARN INVESTMENT PARTNERS PTE LTD	INSGFD217909	
17	ASSICURAZIONI GENERALI S.P.A.	INITFD203008	
18	ATP INVEST I	INDNFD136606	
19	AVIVA INVESTORS IRELAND LTD	INIRFD200008	
20	AXA FRAMLINGTON UNIT MANAGEMENT LIMITED	INUKFD212708	
21	AXA INVESTMENT MANAGERS UK LIMITED	INUKFD173507	
22	BAMCO, INC.	INUSFD218709	
23	BANCA SELLA HOLDING S.P.A.	INITFD227809	
24	BEA UNION INVESTMENT MANAGEMENT LIMITED	INHKFD213108	
25	BLACK RIVER ASSET MANAGEMENT (ASIA) PTE LTD	INSGFD163207	
26	BLUECREST CAPITAL MANAGEMENT LIMITED	INUKFD218009	
27	BOY SCOUTS OF AMERICA COMMINGLED ENDOWMENT FUND	INUSFD131606	
28	BROADSTREET CAPITAL PARTNERS, LP	INUSFD202208	
29	BT FUNDS MGT LTD AS TRUSTEE OF BT INTL SHARE FUND	INAUFD011593	
30	CALVERT ASSET MANAGEMENT COMPANY, INC.	INUSFD189708	
31	CANYON CAPITAL ADVISORS LLC	INUSFD190408	
32	CAPITAL INCOME BUILDER, INC	INUSFD089504	*
33	CAPITAL INTERNATIONAL FUND JAPAN MANAGEMENT COMPANY S.A	INLUFD203608	
34	CENTAURUS CAPITAL LIMITED	INUKFD197808	
35	CF J.M. FINN GLOBAL OPPORTUNITIES FUND	INUKFD224809	
36	CGNU LIFE ASSURANCE LIMITED	INUKFD125506	
37	CHESHIRE PENSION FUND	INUKFD145807	
38	CHEYNE CAPITAL MANAGEMENT (UK) LLP	INUKFD181108	
39	CITICORP TRUSTEE COMPANY LIMITED	INUKFA067700	
40	CITIGROUP PENSION PLAN	INUSFD151407	
41	CITY OF LOS ANGELES FIRE AND POLICE PENSION SYSTEM	INUSFD077602	
42	COMMERCIAL UNION LIFE ASSURANCE COMPANY LIMITED	INUKFD126006	
43	CONSULTING GROUP CAPITAL MARKETS FUNDS - INTERNATIONAL EQUITY INVESTMENTS	INUSFD198108	
44	CONSULTING GROUP CAPITAL MARKETS FUNDS- EMERGING MARKETS EQUITY INVESTMENTS	INUSFD198008	
45	CONVERSION CAPITAL PARTNERS LTD.	INUKFD185708	

46	COURAGE CAPITAL MANAGEMENT LLC	INUSFD172407	
47	CREDIT SUISSE ASSET MANAGEMENT LLC	INUSFA056299	
48	CROSBY ASSET MANAGEMENT (HONGKONG) LIMITED	INHKFD164907	
49	D.B.ZWIRN ASIA PARTNERS LIMITED	INHKFD182608	
50	DAWNAY, DAY BROKERS LTD.	INUKFA110405	
51	DESERET MUTUAL MASTER RETIREMENT PLAN	INUSFD149507	
52	DEUTSCHE BANK (PAM) UCITS III	INLUFD197008	
53	DEUTSCHE INTERNATIONAL TRUST CORPORATION C.I. LTD	INGBFA022494	
54	DEXIA CREDIT LOCAL	INFRFD202708	*
55	Dresdner bank (Switzerland) Ltd	INCHFD120305	
56	Dubai International Capital LLC	INUEFD207908	
57	DUNHAM INTERNATIONAL STOCK FUND	INUSFD114405	
58	Dynamic India Fund II	INMUFD217509	
59	E FUND MANAGEMENT CO., LTD	INCNFD205208	
60	EDINBURGH PACIFIC FUND	INGBFD003193	
61	EMERGING SOVEREIGN GROUP, LLC	INUSFD197508	
62	EUROMOBILIARE ASSET MANAGEMENT SGR SPA	INITFA090604	
63	EVEREST CAPITAL LIMITED	INBRFD220009	
64	EVERETT HARRIS & COMPANY	INUSFA035696	
65	EVERGREEN INVESTMENT MANAGEMENT COMPANY	INUSFA032195	
66	FAMANDSFORENINGEN NORDEA INVEST	INDNFD233309	
67	FIL INVESTMENT MANAGEMENT (SINGAPORE) LIMITED	INSGFD124006	
68	FINANCIAL & INVESTMENT MANAGEMENT GROUP LTD	INUSFD186608	
69	FORSYTH PARTNERS LTD	INUKFA119205	
70	FORTIS BANK (NEDERLAND) N.V	INNLFA077202	
71	Freedom Capital Management Group Trust	INUSFD132006	
72	FRONTIERS INTERNATIONAL EQUITY POOL	INCAFD215709	
73	GANDHARA ADVISORS EUROPE LLP	INUKFD192408	
74	GARD & MARINE ENERGY LIMITED	INBRFD121606	
75	GAVEKAL UCITS FUND	INIRFD197408	
76	GIM INDIA	INMUFD185208	
77	GLOBAL MINING VALUE FUND	INLUFD232609	
78	GLOBAL SELECT SICAV	INLUFD127106	
79	Globalvest Management Company L.P	INUSFA096904	
80	Greater Vision Asset Management Limited	INAUFD207508	
81	HAWKESBURY ADVISORS PTY LTD	INAUFD186308	
82	HENDERSON GLOBAL INVESTORS LIMITED	INUKFD234009	
83	HSBC BANK PLC AS DEPOSITARY OF HISCOX GLOBAL FINANCIALS FUND	INUKFD129206	
84	HSBC BANK PLC AS TRUSTEES OF THE LINCOLN EMERGING MARKETS FUND	INUKFD134906	
85	ING LIFE LIMITED	INAUFD165607	
86	INSIGHT INVESTMENT MANAGEMENT (GLOBAL) LTD	INUKFA117005	
87	INTERNATIONAL FUND MANAGEMENT S.A.	INLUFD167607	*
88	INVESCO ASIA TRUST PLC	INUKFD098204	
89	IVORY INVESTMENT MANAGEMENT, L.P.	INUSFD174107	
90	IZARA CAPITAL MANAGEMENT, LLC	INUSFD179508	
91	J.P. MORGAN SECURITIES LTD.	INUKFA118605	*
92	KANSAS PUBLIC EMPLOYEES RETIREMENT SYSTEM	INUSFD131706	
93	KBC Alternative Investmnet Management Limites	INUKFD192008	*

94	KRAFT FOODS MASTER RETIREMENT TRUST	INUSFD130106	
95	LANSFORSKRINGAR FONDFORVALTNING AKTIEBOLAG	INSEFA124806	
96	LIFESPAN CORPORATION	INUSFD108705	
97	LOCAL INVESTMENT FUND	INAUFD113905	*
98	LUCROS CAPITAL ADVISORS LTD.	INUEFD193908	
99	MALAYSIAN COMMUNITY & EDUCATION FOUNDATION	INMYFD200408	
100	MANEK INVESTMENT MANAGEMENT LIMITED	INUKFD155107	
101	MARATHON ASSET MANAGEMENT L.P.	INUSFD211808	
102	Matterhorn Advisory Singapore Pte Limited	INSGFA099204	*
103	MAVRIX FUND MANAGEMENT INC	INCAFD180708	
104	MCDERMOTT INCORPORATED MASTER TRUST	INUSFD129906	
105	MD FUNDS MANAGEMENT INC	INCAFD184308	
106	MELLON ASSET MANAGEMENT LIMITED	INCAFD176408	
107	METZLER GLOBAL FUNDS PUBLIC LIMITED COMPANY	INIRFD200108	
108	MIDDLE EAST INVESTMENT LLC	INOMFA131806	
109	MIR QUALITY GROWTH SICAV	INLUFD111005	
110	MIRAE ASSET INVESTMENT TRUST MANAGEMENT CO LTD	INSKFA120505	
111	Naissance Japiur Fund	INMUFD187908	
112	NEI COMMON INVESTMENT FUND	INUKFD115305	
113	NEWSMITH CAPITAL PARTNERS (HONG KONG) LIMITED	INHKFD190908	
114	NEWSQUEST PENSION SCHEME	INUKFD181508	
115	NEZU ASIA LIMITED	INHKFD170907	
116	NICHOLAS APPEGATE CAPITAL MANAGEMENT	INUSFA040296	
117	OLD MUTUAL LIFE ASSURANCE COMPANY (SOUTH AFRICA) LIMITED	INSAFD126906	
118	P. SCHOENFELD ASSET MANAGEMENT LLC	INUSFD202608	
119	PANAGORA ASSET MANAGEMENT, INC.	INUSFD223709	
120	PANGEA CAPITAL LIMITED	INBRFD172507	
121	PARAMETRIC PORTFOLIO ASSOCIATES	INUSFD160607	
122	PARKCENTRAL CAPITAL MANAGEMENT, L.P.	INUSFD198708	
123	PARTNER ADVISORY SERVICES L.P.	INUSFD216809	
124	PARTNER FUND MANAGEMENT L.P.	INUSFD219509	
125	PCE INVESTORS LIMITED	INUKFD171707	
126	PENSION PLAN OF HERCULES INCORPORATED	INUSFD109605	
127	PILKINGTON BROTHERS SUPERANNUATION TRUSTEE LIMITED	INUKFD122206	
128	PINE RIVER CAPITAL MANAGEMENT L.P.	INUSFD181008	
129	PORTLAND INVESTMENT COUNSEL INC	INCAFA090204	
130	PREMIUM INVESTORS LIMITED	INAUFD128406	
131	QUANTITATIVE GROUP OF FUNDS D/B/A QUANT FUNDS	INUSFD233009	
132	QUEST MANAGEMENT INC.	INVIFD192608	
133	RBC REGENT STRATEGY FUND LIMITED	INUKFD162707	
134	REGIME DE RETRAITE DE LA CORPORATION DE L'ECOLE POLYTECHNIQUE	INCAFD209008	
135	RIVER AND MERCANTILE ASSET MANAGEMENT LLP	INUKFD224609	
136	RMB MULTIMANAGER SICAV	INLUFD185808	
137	ROBECO INSTITUTIONAL ASSET MANAGEMENT US INC	INUSFD147407	
138	RP & C INTERNATIONAL LIMITED	INUKFA052998	
139	SBB ASSET MANAGEMENT SDN BHD	INMYFA130906	*
140	SCHRODER INVESTMENT MANAGEMENT AUSTRALIA LTD	INAUFA119705	
141	SECURITY INVESTORS, LLC	INUSFA073902	

142	SENTINEL GROUP FUNDS, INC.	INUSFD142106	
143	SINOPIA MULTI INDEX FUND	INLUFD229309	*
144	SIRIUS FINANCE LIMITED	INMUFD122506	
145	SJ ASSET MANAGEMENT SDN BHD	INMYFD201708	
146	SKANDIA INVESTMENT FUNDS	INUKFD200808	
147	SKANDIA SPECIALIST FUNDS	INUKFD203708	
148	SOCIETE GENERALE INVESTMENT FUNDS LIMITED	INUKFD189608	
149	SOUTH ASIA REGIONAL FUND	INMUFD071001	
150	SOUTHERN CALIFORNIA EDISON COMPANY NUCLEAR FACILITIES QUALIFIED CPUC DECOMMISSIONING MASTER TRUST FOR SAN ONOFRE & PALO VERDE NUCLEAR GENERATING STATIONS	INUSFD189108	
151	SOUTHERN CALIFORNIA EDISON COMPANY RETIREMENT PLAN TRUST	INUSFD184108	
152	SPARX INVESTMENT & RESEARCH, USA, INC.	INUSFD180508	
153	ST. JAMES'S PLACE UK PLC	INUKFD107305	
154	STANDARD CHARTERED TRUSTEES (UK) LIMITED	INUKFD235410	
155	STATE BOSTON RETIREMENT SYSTEM	INUSFD126406	
156	STATE OF NEW JERSEY COMMON PENSION FUND D	INUSFD180308	
157	STATE STREET CUSTODIAL SERVICES (JERSEY) LIMITED	INCIFD103005	
158	STATE STREET GLOBAL ADVISORS FRANCE	INFRFA078202	
159	STATE STREET TRUSTEES LIMITED AS DEPOSITARY OF HSBC SPECIALIST INVESTMENT FUNDS ICVC, ASIA FREESTYLE FUND	INUKFD130506	
160	STENA LINE (UK) PENSION SCHEME	INUKFD119505	
161	STICHTING BEDRIJFSTAKPENSIOENFONDS VOOR HET HORECABEDRIJF	INNLF115505	
162	STICHTING MM EMERGING MARKETS FUND	INNLF128706	
163	STICHTING PENSIOENFONDS ZORG EN WELZIJN	INNHF033895	
164	STIFTELSEN FOR STRATEGISK FORSKNING	INSEFD130406	
165	STONEWATER CAPITAL LLC	INUSFD198908	
166	STRATEGIC VALUE PARTNERS (UK) LLP	INUKFD196308	
167	SVG ADVISERS LIMITED	INUKFD207208	
168	SWISSCANTO (LU) MANAGEMENT COMPANY S.A	INLUFD222309	
169	TELSTRA SUPER PTY LTD AS TRUSTEE FOR TELSTRA SUPERANNUATION SCHEME	INAUFD100805	
170	THE BANK OF KOREA	INSKFD206108	
171	THE BANK OF NEW YORK MELLON - UIT	INUSFA090504	
172	THE CITY OF WESTMINSTER SUPERANNUATION FUND	INUKFD165507	
173	THE EMERGING MARKETS INVESTORS FUND	INCAFD010393	
174	THE FINANCIAL CORPORATION CO. SAOG	INOMFD191008	
175	THE GBC INTERNATIONAL GROWTH FUND	INCAFD131906	
176	THE HSBC GROUP HONG KONG LOCAL STAFF RETIREMENT BENEFIT SCHEME	INHKFD162407	
177	THE INCOME FUND OF AMERICA, INC.	INUSFD089804	*
178	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	INUSFD209908	
179	THE SAUDI BRITISH BANK	INSDFD125806	
180	THE SCOTTISH ORIENTAL SMALLER COMPANIES TRUST PLC	INGBFD138406	
181	THE TRUSTEES OF THE MOWLEM STAFF PENSION AND LIFE ASSURANCE SCHEME	INUKFD131506	
182	THE WINNIPEG FOUNDATION	INCAFD230709	
183	THE WORLD FUNDS, INC	INUSFD108905	

184	THOMAS WEISEL PARTNERS LLC	INUSFD168207	
185	TOUCHSTONE FUNDS GROUP TRUST	INUSFD231309	
186	UPMC HEALTH SYSTEM BASIC RETIREMENT PLAN	INUSFD188808	
187	UPS PENSION PLAN	INUSFD111805	
188	UPS RETIREMENT PLAN	INUSFD111705	
189	VAM FUNDS (LUX)	INLUFD186908	
190	VAN ECK ASSOCIATES CORPORATION	INUSFA003293	
191	WIENER PRIVATBANK IMMOBILIENINVEST AG	INASFD214108	
192	WM TRUST II	INUSFD128206	
193	WM VARIABLE TRUST	INUSFD128106	
194	Worldwide Opportunities Fund Cayman Limited	INCYFA097004	
195	YORK ASSET MANAGEMENT LIMITED	INBHFD179908	
196	ZENITH INSURANCE COMPANY	INUSFD101105	
197	ZEPHYR MANAGEMENT U.K. LIMITED	INUKFD226809	
	<i>* Application received by SEBI for surrender of registration</i>		