

**List of non compliant FIIs as on October 05, 2010 (Ref.: Circular dated September 29, 2010)**

S.No.	FII Name	FII Registration No.	
1	ABN AMRO BANK N.V. COPENHAGEN BRANCH	INDNFD104905	
2	ABN AMRO INVESTMENT FUNDS S.A.	INLUFA047797	
3	ACADIAN ASSET MANAGEMENT LLC	INUSFD228309	
4	AGIPI MONDE DURABLE	INFRFD230109	
5	AIG CAPITAL RECOVERY (MAURITIUS) LTD.	INMUFD185108	*
6	Al Ahlia Insurance Co SAOC	INOMFD122706	
7	ALLIANZ GLOBAL INVESTORS HONG KONG LIMITED	INHKFA023194	
8	ALLIANZ GLOBAL INVESTORS SINGAPORE LIMITED	INSGFD160907	
9	AMAGERBANKEN AKTIESELSKAB	INDNFD088404	
10	ANDOR CAPITAL MANAGEMENT LLC	INUSFD187608	
11	ANIMA S.G.R.P.A.	INITFD137806	*
12	ARGONAUT MANAGEMENT LP	INUSFD204008	*
13	ARLINGTON GROUP ASSET MANAGEMENT LIMITED	INUKFA124306	
14	ARN INVESTMENT PARTNERS PTE LTD	INSGFD217909	
15	ASSICURAZIONI GENERALI S.P.A.	INITFD203008	
16	ATP INVEST I	INDNFD136606	
17	AVIVA INVESTORS IRELAND LTD	INIRFD200008	
18	AXA FRAMLINGTON UNIT MANAGEMENT LIMITED	INUKFD212708	
19	AXA INVESTMENT MANAGERS UK LIMITED	INUKFD173507	
20	BAMCO, INC.	INUSFD218709	
21	BANCA SELLA HOLDING S.P.A.	INITFD227809	
22	BEA UNION INVESTMENT MANAGEMENT LIMITED	INHKFD213108	
23	BLACK RIVER ASSET MANAGEMENT (ASIA) PTE LTD	INSGFD163207	
24	BLUECREST CAPITAL MANAGEMENT LIMITED	INUKFD218009	*
25	BOY SCOUTS OF AMERICA COMMINGLED ENDOWMENT FUND	INUSFD131606	
26	BROADSTREET CAPITAL PARTNERS, LP	INUSFD202208	
27	BT FUNDS MGT LTD AS TRUSTEE OF BT INTL SHARE FUND	INAUFD011593	
28	CALVERT ASSET MANAGEMENT COMPANY, INC.	INUSFD189708	
29	CANYON CAPITAL ADVISORS LLC	INUSFD190408	
30	CAPITAL INCOME BUILDER, INC	INUSFD089504	*
31	CAPITAL INTERNATIONAL FUND JAPAN MANAGEMENT COMPANY S.A	INLUFD203608	*
32	CENTAURUS CAPITAL LIMITED	INUKFD197808	
33	CF J.M. FINN GLOBAL OPPORTUNITIES FUND	INUKFD224809	
34	CGNU LIFE ASSURANCE LIMITED	INUKFD125506	
35	CHESHIRE PENSION FUND	INUKFD145807	
36	CHEYNE CAPITAL MANAGEMENT (UK) LLP	INUKFD181108	
37	CITICORP TRUSTEE COMPANY LIMITED	INUKFA067700	
38	CITIGROUP PENSION PLAN	INUSFD151407	
39	CITY OF LOS ANGELES FIRE AND POLICE PENSION SYSTEM	INUSFD077602	
40	COMMERCIAL UNION LIFE ASSURANCE COMPANY LIMITED	INUKFD126006	
41	CONSULTING GROUP CAPITAL MARKETS FUNDS - INTERNATIONAL EQUITY INVESTMENTS	INUSFD198108	
42	CONSULTING GROUP CAPITAL MARKETS FUNDS- EMERGING MARKETS EQUITY INVESTMENTS	INUSFD198008	
43	CONVERSION CAPITAL PARTNERS LTD.	INUKFD185708	
44	COURAGE CAPITAL MANAGEMENT LLC	INUSFD172407	
45	CREDIT SUISSE ASSET MANAGEMENT LLC	INUSFA056299	

46	CROSBY ASSET MANAGEMENT (HONGKONG) LIMITED	INHKFD164907	
47	D.B.ZWIRN ASIA PARTNERS LIMITED	INHKFD182608	
48	DAWNAY, DAY BROKERS LTD.	INUKFA110405	
49	DESERET MUTUAL MASTER RETIREMENT PLAN	INUSFD149507	
50	DEUTSCHE BANK (PAM) UCITS III	INLUFD197008	
51	DEUTSCHE INTERNATIONAL TRUST CORPORATION C.I. LTD	INGBFA022494	
52	DEXIA CREDIT LOCAL	INFRFD202708	*
53	Dresdner bank (Switzerland) Ltd	INCHFD120305	
54	Dubai International Capital LLC	INUEFD207908	
55	DUNHAM INTERNATIONAL STOCK FUND	INUSFD114405	
56	E FUND MANAGEMENT CO., LTD	INCNFD205208	
57	EDINBURGH PACIFIC FUND	INGBFD003193	
58	EMERGING SOVEREIGN GROUP, LLC	INUSFD197508	
59	EUROMOBILIARE ASSET MANAGEMENT SGR SPA	INITFA090604	
60	EVEREST CAPITAL LIMITED	INBRFD220009	
61	EVERETT HARRIS & COMPANY	INUSFA035696	
62	EVERGREEN INVESTMENT MANAGEMENT COMPANY	INUSFA032195	
63	FAMANDSFÖRENINGEN NORDEA INVEST	INDNFD233309	
64	FINANCIAL & INVESTMENT MANAGEMENT GROUP LTD	INUSFD186608	
65	FORSYTH PARTNERS LTD	INUKFA119205	
66	Freedom Capital Management Group Trust	INUSFD132006	
67	FRONTIERS INTERNATIONAL EQUITY POOL	INCAFD215709	
68	GANDHARA ADVISORS EUROPE LLP	INUKFD192408	
69	GARD & MARINE ENERGY LIMITED	INBRFD121606	
70	GAVEKAL UCITS FUND	INIRFD197408	*
71	GIM INDIA	INMUFD185208	
72	GLOBAL MINING VALUE FUND	INLUFD232609	
73	GLOBAL SELECT SICAV	INLUFD127106	
74	Globalvest Management Company L.P	INUSFA096904	
75	Greater Vision Asset Management Limited	INAUFD207508	
76	HAWKESBURY ADVISORS PTY LTD	INAUFD186308	*
77	HENDERSON GLOBAL INVESTORS LIMITED	INUKFD234009	
78	HSBC BANK PLC AS DEPOSITARY OF HISCOX GLOBAL FINANCIALS FUND	INUKFD129206	
79	HSBC BANK PLC AS TRUSTEES OF THE LINCOLN EMERGING MARKETS FUND	INUKFD134906	
80	ING LIFE LIMITED	INAUFD165607	
81	INSIGHT INVESTMENT MANAGEMENT (GLOBAL) LTD	INUKFA117005	
82	INTERNATIONAL FUND MANAGEMENT S.A.	INLUFD167607	*
83	IVORY INVESTMENT MANAGEMENT, L.P.	INUSFD174107	
84	IZARA CAPITAL MANAGEMENT, LLC	INUSFD179508	
85	J.P. MORGAN SECURITIES LTD.	INUKFA118605	*
86	KANSAS PUBLIC EMPLOYEES RETIREMENT SYSTEM	INUSFD131706	
87	KBC Alternative Investmnet Management Limites	INUKFD192008	*
88	KRAFT FOODS MASTER RETIREMENT TRUST	INUSFD130106	
89	LANSFORSKRINGAR FONDFORVALTNING AKTIEBOLAG	INSEFA124806	
90	LIFESPAN CORPORATION	INUSFD108705	
91	LOCAL INVESTMENT FUND	INAUFD113905	*
92	LUCROS CAPITAL ADVISORS LTD.	INUEFD193908	
93	MALAYSIAN COMMUNITY & EDUCATION FOUNDATION	INMYFD200408	

94	MANEK INVESTMENT MANAGEMENT LIMITED	INUKFD155107	
95	MARATHON ASSET MANAGEMENT L.P.	INUSFD211808	
96	Matterhorn Advisory Singapore Pte Limited	INSGFA099204	*
97	MAVRIX FUND MANAGEMENT INC	INCAFD180708	
98	MCDERMOTT INCORPORATED MASTER TRUST	INUSFD129906	
99	MD FUNDS MANAGEMENT INC	INCAFD184308	
100	MELLON ASSET MANAGEMENT LIMITED	INCAFD176408	
101	METZLER GLOBAL FUNDS PUBLIC LIMITED COMPANY	INIRFD200108	
102	MIDDLE EAST INVESTMENT LLC	INOMFA131806	
103	MIR QUALITY GROWTH SICAV	INLUFD111005	
104	MIRAE ASSET INVESTMENT TRUST MANAGEMENT CO LTD	INSKFA120505	
105	Naissance Japiur Fund	INMUFD187908	
106	NEI COMMON INVESTMENT FUND	INUKFD115305	
107	NEWSMITH CAPITAL PARTNERS (HONG KONG) LIMITED	INHKFD190908	*
108	NEWSQUEST PENSION SCHEME	INUKFD181508	
109	NEZU ASIA LIMITED	INHKFD170907	
110	NICHOLAS APPELATE CAPITAL MANAGEMENT	INUSFA040296	
111	OLD MUTUAL LIFE ASSURANCE COMPANY (SOUTH AFRICA) LIMITED	INSAFD126906	
112	P. SCHOENFELD ASSET MANAGEMENT LLC	INUSFD202608	
113	PANAGORA ASSET MANAGEMENT, INC.	INUSFD223709	
114	PANGEA CAPITAL LIMITED	INBRFD172507	
115	PARAMETRIC PORTFOLIO ASSOCIATES	INUSFD160607	
116	PARKCENTRAL CAPITAL MANAGEMENT, L.P.	INUSFD198708	
117	PARTNER ADVISORY SERVICES L.P.	INUSFD216809	
118	PARTNER FUND MANAGEMENT L.P.	INUSFD219509	
119	PCE INVESTORS LIMITED	INUKFD171707	
120	PENSION PLAN OF HERCULES INCORPORATED	INUSFD109605	
121	PILKINGTON BROTHERS SUPERANNUATION TRUSTEE LIMITED	INUKFD122206	
122	PINE RIVER CAPITAL MANAGEMENT L.P.	INUSFD181008	
123	PREMIUM INVESTORS LIMITED	INAUFD128406	*
124	QUANTITATIVE GROUP OF FUNDS D/B/A QUANT FUNDS	INUSFD233009	
125	QUEST MANAGEMENT INC.	INVIFD192608	
126	RBC REGENT STRATEGY FUND LIMITED	INUKFD162707	
127	REGIME DE RETRAITE DE LA CORPORATION DE L'ECOLE POLYTECHNIQUE	INCAFD209008	
128	RIVER AND MERCANTILE ASSET MANAGEMENT LLP	INUKFD224609	
129	RMB MULTIMANAGER SICAV	INLUFD185808	
130	ROBECO INSTITUTIONAL ASSET MANAGEMENT US INC	INUSFD147407	
131	RP & C INTERNATIONAL LIMITED	INUKFA052998	
132	SBB ASSET MANAGEMENT SDN BHD	INMYFA130906	*
133	SECURITY INVESTORS, LLC	INUSFA073902	
134	SENTINEL GROUP FUNDS, INC.	INUSFD142106	
135	SINOPIA MULTI INDEX FUND	INLUFD229309	*
136	SIRIUS FINANCE LIMITED	INMUFD122506	
137	SJ ASSET MANAGEMENT SDN BHD	INMYFD201708	
138	SKANDIA INVESTMENT FUNDS	INUKFD200808	
139	SKANDIA SPECIALIST FUNDS	INUKFD203708	
140	SOCIETE GENERALE INVESTMENT FUNDS LIMITED	INUKFD189608	
141	SOUTH ASIA REGIONAL FUND	INMUFD071001	

142	SOUTHERN CALIFORNIA EDISON COMPANY NUCLEAR FACILITIES QUALIFIED CPUC DECOMMISSIONING MASTER TRUST FOR SAN ONOFRE & PALO VERDE NUCLEAR GENERATING STATIONS	INUSFD189108	
143	SOUTHERN CALIFORNIA EDISON COMPANY RETIREMENT PLAN TRUST	INUSFD184108	
144	SPARX INVESTMENT & RESEARCH, USA, INC.	INUSFD180508	
145	ST. JAMES'S PLACE UK PLC	INUKFD107305	
146	STANDARD CHARTERED TRUSTEES (UK) LIMITED	INUKFD235410	
147	STATE BOSTON RETIREMENT SYSTEM	INUSFD126406	
148	STATE OF NEW JERSEY COMMON PENSION FUND D	INUSFD180308	
149	STATE STREET CUSTODIAL SERVICES (JERSEY) LIMITED	INCIFD103005	
150	STATE STREET GLOBAL ADVISORS FRANCE	INFRFA078202	
151	STATE STREET TRUSTEES LIMITED AS DEPOSITARY OF HSBC SPECIALIST INVESTMENT FUNDS ICVC, ASIA FREESTYLE FUND	INUKFD130506	*
152	STENA LINE (UK) PENSION SCHEME	INUKFD119505	*
153	STICHTING BEDRIJFSTAKPENSIOENFONDS VOOR HET HORECABEDRIJF	INNLF115505	
154	STICHTING MM EMERGING MARKETS FUND	INNLF128706	
155	STICHTING PENSIOENFONDS ZORG EN WELZIJN	INNHF033895	
156	STIFTELSEN FOR STRATEGISK FORSKNING	INSEFD130406	
157	STONEWATER CAPITAL LLC	INUSFD198908	
158	STRATEGIC VALUE PARTNERS (UK) LLP	INUKFD196308	
159	SVG ADVISERS LIMITED	INUKFD207208	
160	SWISSCANTO (LU) MANAGEMENT COMPANY S.A	INLUFD222309	
161	TELSTRA SUPER PTY LTD AS TRUSTEE FOR TELSTRA SUPERANNUATION SCHEME	INAUFD100805	
162	THE BANK OF KOREA	INSKFD206108	
163	THE BANK OF NEW YORK MELLON - UIT	INUSFA090504	
164	THE CITY OF WESTMINSTER SUPERANNUATION FUND	INUKFD165507	
165	THE EMERGING MARKETS INVESTORS FUND	INCAFD010393	
166	THE FINANCIAL CORPORATION CO. SAOG	INOMFD191008	
167	THE GBC INTERNATIONAL GROWTH FUND	INCAFD131906	
168	THE HSBC GROUP HONG KONG LOCAL STAFF RETIREMENT BENEFIT SCHEME	INHKFD162407	*
169	THE INCOME FUND OF AMERICA, INC.	INUSFD089804	*
170	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	INUSFD209908	
171	THE SAUDI BRITISH BANK	INSDFD125806	
172	THE TRUSTEES OF THE MOWLEM STAFF PENSION AND LIFE ASSURANCE SCHEME	INUKFD131506	
173	THE WINNIPEG FOUNDATION	INCAFD230709	
174	THE WORLD FUNDS, INC	INUSFD108905	
175	THOMAS WEISEL PARTNERS LLC	INUSFD168207	
176	TOUCHSTONE FUNDS GROUP TRUST	INUSFD231309	
177	UPMC HEALTH SYSTEM BASIC RETIREMENT PLAN	INUSFD188808	
178	UPS PENSION PLAN	INUSFD111805	
179	UPS RETIREMENT PLAN	INUSFD111705	
180	VAM FUNDS (LUX)	INLUFD186908	
181	VAN ECK ASSOCIATES CORPORATION	INUSFA003293	
182	WIENER PRIVATBANK IMMOBILIENINVEST AG	INASFD214108	
183	WM TRUST II	INUSFD128206	

184	WM VARIABLE TRUST	INUSFD128106	
185	Worldwide Opportunities Fund Cayman Limited	INCYFA097004	
186	YORK ASSET MANAGEMENT LIMITED	INBHFD179908	*
187	ZENITH INSURANCE COMPANY	INUSFD101105	
188	ZEPHYR MANAGEMENT U.K. LIMITED	INUKFD226809	
	<i>* Application received by SEBI for surrender of registration</i>		