

**List of non compliant FIIs as on December 28, 2010 (Ref.: Circular dated September 29, 2010)**

<b>S.No.</b>	<b>FII Name</b>	<b>FII Registration No.</b>	
1	ABN AMRO BANK N.V. COPENHAGEN BRANCH	INDNFD104905	*
2	ABN AMRO INVESTMENT FUNDS S.A.	INLUFA047797	*
3	ACADIAN ASSET MANAGEMENT LLC	INUSFD228309	
4	AGIPI MONDE DURABLE	INFRFD230109	
5	AIG CAPITAL RECOVERY (MAURITIUS) LTD.	INMUFD185108	*
6	Al Ahlia Insurance Co SAOC	INOMFD122706	
7	ALLIANZ GLOBAL INVESTORS HONG KONG LIMITED	INHKFA023194	
8	ALLIANZ GLOBAL INVESTORS SINGAPORE LIMITED	INSGFD160907	*
9	AMAGERBANKEN AKTIESELSKAB	INDNFD088404	
10	ANDOR CAPITAL MANAGEMENT LLC	INUSFD187608	
11	ANIMA S.G.R.P.A.	INITFD137806	*
12	ARGONAUT MANAGEMENT LP	INUSFD204008	*
13	ARLINGTON GROUP ASSET MANAGEMENT LIMITED	INUKFA124306	
14	ARN INVESTMENT PARTNERS PTE LTD	INSGFD217909	
15	ASSICURAZIONI GENERALI S.P.A.	INITFD203008	
16	ATP INVEST I	INDNFD136606	*
17	AVIVA INVESTORS IRELAND LTD	INIRFD200008	
18	AXA INVESTMENT MANAGERS UK LIMITED	INUKFD173507	*
19	BAMCO, INC.	INUSFD218709	
20	BANCA SELLA HOLDING S.P.A.	INITFD227809	
21	BEA UNION INVESTMENT MANAGEMENT LIMITED	INHKFD213108	
22	BLACK RIVER ASSET MANAGEMENT (ASIA) PTE LTD	INSGFD163207	*
23	BLUECREST CAPITAL MANAGEMENT LIMITED	INUKFD218009	*
24	BOY SCOUTS OF AMERICA COMMINGLED ENDOWMENT FUND	INUSFD131606	*
25	BROADSTREET CAPITAL PARTNERS, LP	INUSFD202208	
26	BT FUNDS MGT LTD AS TRUSTEE OF BT INTL SHARE FUND	INAUFD011593	
27	CALVERT ASSET MANAGEMENT COMPANY, INC.	INUSFD189708	
28	CANYON CAPITAL ADVISORS LLC	INUSFD190408	*
29	CAPITAL INCOME BUILDER, INC	INUSFD089504	*
30	CAPITAL INTERNATIONAL FUND JAPAN MANAGEMENT COMPANY S.A	INLUFD203608	*
31	CENTAURUS CAPITAL LIMITED	INUKFD197808	
32	CF J.M. FINN GLOBAL OPPORTUNITIES FUND	INUKFD224809	
33	CGNU LIFE ASSURANCE LIMITED	INUKFD125506	
34	CHESHIRE PENSION FUND	INUKFD145807	*
35	CHEYNE CAPITAL MANAGEMENT (UK) LLP	INUKFD181108	
36	CITICORP TRUSTEE COMPANY LIMITED	INUKFA067700	*
37	CITIGROUP PENSION PLAN	INUSFD151407	
38	CITY OF LOS ANGELES FIRE AND POLICE PENSION SYSTEM	INUSFD077602	*

39	COMMERCIAL UNION LIFE ASSURANCE COMPANY LIMITED	INUKFD126006	
40	CONSULTING GROUP CAPITAL MARKETS FUNDS - INTERNATIONAL EQUITY INVESTMENTS	INUSFD198108	
41	CONSULTING GROUP CAPITAL MARKETS FUNDS- EMERGING MARKETS EQUITY INVESTMENTS	INUSFD198008	
42	CONVERSION CAPITAL PARTNERS LTD.	INUKFD185708	
43	COURAGE CAPITAL MANAGEMENT LLC	INUSFD172407	*
44	CREDIT SUISSE ASSET MANAGEMENT LLC	INUSFA056299	
45	CROSBY ASSET MANAGEMENT (HONGKONG) LIMITED	INHKFD164907	*
46	D.B.ZWIRN ASIA PARTNERS LIMITED	INHKFD182608	
47	DAWNAY, DAY BROKERS LTD.	INUKFA110405	*
48	DESERET MUTUAL MASTER RETIREMENT PLAN	INUSFD149507	
49	DEUTSCHE BANK (PAM) UCITS III	INLUFD197008	
50	DEUTSCHE INTERNATIONAL TRUST CORPORATION C.I. LTD	INGBFA022494	*
51	DEXIA CREDIT LOCAL	INFRFD202708	*
52	Dresdner bank (Switzerland) Ltd	INCHFD120305	*
53	Dubai International Capital LLC	INUEFD207908	
54	DUNHAM INTERNATIONAL STOCK FUND	INUSFD114405	*
55	E FUND MANAGEMENT CO., LTD	INCNFD205208	
56	EDINBURGH PACIFIC FUND	INGBFD003193	*
57	EMERGING SOVEREIGN GROUP, LLC	INUSFD197508	
58	EUROMOBILIARE ASSET MANAGEMENT SGR SPA	INITFA090604	*
59	EVEREST CAPITAL LIMITED	INBRFD220009	
60	EVERETT HARRIS & COMPANY	INUSFA035696	
61	EVERGREEN INVESTMENT MANAGEMENT COMPANY	INUSFA032195	
62	FAMANDSFORENINGEN NORDEA INVEST	INDNFD233309	
63	FINANCIAL & INVESTMENT MANAGEMENT GROUP LTD	INUSFD186608	
64	FORSYTH PARTNERS LTD	INUKFA119205	*
65	Freedom Capital Management Group Trust	INUSFD132006	*
66	FRONTIERS INTERNATIONAL EQUITY POOL	INCAFD215709	
67	GANDHARA ADVISORS EUROPE LLP	INUKFD192408	
68	GARD & MARINE ENERGY LIMITED	INBRFD121606	
69	GAVEKAL UCITS FUND	INIRFD197408	*
70	GIM INDIA	INMUFD185208	
71	GLOBAL MINING VALUE FUND	INLUFD232609	
72	GLOBAL SELECT SICAV	INLUFD127106	
73	Globalvest Management Company L.P	INUSFA096904	*
74	Greater Vision Asset Management Limited	INAUFD207508	
75	HAWKESBURY ADVISORS PTY LTD	INAUFD186308	*
76	HENDERSON GLOBAL INVESTORS LIMITED	INUKFD234009	

77	HSBC BANK PLC AS DEPOSITARY OF HISCOX GLOBAL FINANCIALS FUND	INUKFD129206	
78	HSBC BANK PLC AS TRUSTEES OF THE LINCOLN EMERGING MARKETS FUND	INUKFD134906	
79	ING LIFE LIMITED	INAUFD165607	*
80	INTERNATIONAL FUND MANAGEMENT S.A.	INLUFD167607	*
81	IVORY INVESTMENT MANAGEMENT, L.P.	INUSFD174107	*
82	IZARA CAPITAL MANAGEMENT, LLC	INUSFD179508	
83	J.P. MORGAN SECURITIES LTD.	INUKFA118605	*
84	KANSAS PUBLIC EMPLOYEES RETIREMENT SYSTEM	INUSFD131706	
85	KBC Alternative Investmnet Management Limites	INUKFD192008	*
86	KRAFT FOODS MASTER RETIREMENT TRUST	INUSFD130106	
87	LIFESPAN CORPORATION	INUSFD108705	*
88	LOCAL INVESTMENT FUND	INAUFD113905	*
89	LUCROS CAPITAL ADVISORS LTD.	INUEFD193908	
90	MALAYSIAN COMMUNITY & EDUCATION FOUNDATION	INMYFD200408	
91	MANEK INVESTMENT MANAGEMENT LIMITED	INUKFD155107	
92	MARATHON ASSET MANAGEMENT L.P.	INUSFD211808	
93	Matterhorn Advisory Singapore Pte Limited	INSGFA099204	*
94	MAVRIX FUND MANAGEMENT INC	INCAFD180708	
95	MCDERMOTT INCORPORATED MASTER TRUST	INUSFD129906	
96	MELLON ASSET MANAGEMENT LIMITED	INCAFD176408	
97	METZLER GLOBAL FUNDS PUBLIC LIMITED COMPANY	INIRFD200108	
98	MIDDLE EAST INVESTMENT LLC	INOMFA131806	
99	MIR QUALITY GROWTH SICAV	INLUFD111005	*
100	MIRAE ASSET INVESTMENT TRUST MANAGEMENT CO LTD	INSKFA120505	*
101	Naissance Japiur Fund	INMUFD187908	
102	NEI COMMON INVESTMENT FUND	INUKFD115305	*
103	NEWSMITH CAPITAL PARTNERS (HONG KONG) LIMITED	INHKFD190908	*
104	NEWSQUEST PENSION SCHEME	INUKFD181508	
105	NEZU ASIA LIMITED	INHKFD170907	*
106	NICHOLAS APPLGATE CAPITAL MANAGEMENT	INUSFA040296	
107	OLD MUTUAL LIFE ASSURANCE COMPANY (SOUTH AFRICA) LIMITED	INSAFD126906	*
108	P. SCHOENFELD ASSET MANAGEMENT LLC	INUSFD202608	
109	PANAGORA ASSET MANAGEMENT, INC.	INUSFD223709	
110	PANGEA CAPITAL LIMITED	INBRFD172507	*
111	PARAMETRIC PORTFOLIO ASSOCIATES	INUSFD160607	*
112	PARKCENTRAL CAPITAL MANAGEMENT, L.P.	INUSFD198708	
113	PCE INVESTORS LIMITED	INUKFD171707	*
114	PENSION PLAN OF HERCULES INCORPORATED	INUSFD109605	*

115	PILKINGTON BROTHERS SUPERANNUATION TRUSTEE LIMITED	INUKFD122206	
116	PINE RIVER CAPITAL MANAGEMENT L.P.	INUSFD181008	
117	PREMIUM INVESTORS LIMITED	INAUFD128406	*
118	QUANTITATIVE GROUP OF FUNDS D/B/A QUANT FUNDS	INUSFD233009	
119	QUEST MANAGEMENT INC.	INVIFD192608	
120	RBC REGENT STRATEGY FUND LIMITED	INUKFD162707	*
121	REGIME DE RETRAITE DE LA CORPORATION DE L'ECOLE POLYTECHNIQUE	INCAFD209008	
122	RIVER AND MERCANTILE ASSET MANAGEMENT LLP	INUKFD224609	
123	RMB MULTIMANAGER SICAV	INLUFD185808	*
124	ROBECO INSTITUTIONAL ASSET MANAGEMENT US INC	INUSFD147407	
125	RP & C INTERNATIONAL LIMITED	INUKFA052998	*
126	SBB ASSET MANAGEMENT SDN BHD	INMYFA130906	
127	SECURITY INVESTORS, LLC	INUSFA073902	
128	SENTINEL GROUP FUNDS, INC.	INUSFD142106	
129	SINOPIA MULTI INDEX FUND	INLUFD229309	*
130	SIRIUS FINANCE LIMITED	INMUFD122506	
131	SJ ASSET MANAGEMENT SDN BHD	INMYFD201708	
132	SKANDIA INVESTMENT FUNDS	INUKFD200808	
133	SKANDIA SPECIALIST FUNDS	INUKFD203708	
134	SOCIETE GENERALE INVESTMENT FUNDS LIMITED	INUKFD189608	
135	SOUTH ASIA REGIONAL FUND	INMUFD071001	
136	SOUTHERN CALIFORNIA EDISON COMPANY NUCLEAR FACILITIES QUALIFIED CPUC DECOMMISSIONING MASTER TRUST FOR SAN ONOFRE & PALO VERDE NUCLEAR GENERATING STATIONS	INUSFD189108	
137	SOUTHERN CALIFORNIA EDISON COMPANY RETIREMENT PLAN TRUST	INUSFD184108	
138	SPARX INVESTMENT & RESEARCH, USA, INC.	INUSFD180508	
139	ST. JAMES'S PLACE UK PLC	INUKFD107305	
140	STANDARD CHARTERED TRUSTEES (UK) LIMITED	INUKFD235410	
141	STATE BOSTON RETIREMENT SYSTEM	INUSFD126406	
142	STATE OF NEW JERSEY COMMON PENSION FUND D	INUSFD180308	
143	STATE STREET CUSTODIAL SERVICES (JERSEY) LIMITED	INCIFD103005	
144	STATE STREET GLOBAL ADVISORS FRANCE	INFRFA078202	
145	STATE STREET TRUSTEES LIMITED AS DEPOSITARY OF HSBC SPECIALIST INVESTMENT FUNDS ICVC, ASIA FREESTYLE FUND	INUKFD130506	*
146	STENA LINE (UK) PENSION SCHEME	INUKFD119505	*
147	STICHTING BEDRIJFSTAKPENSIOENFONDS VOOR HET HORECABEDRIJF	INNLFD115505	*
148	STICHTING MM EMERGING MARKETS FUND	INNLFD128706	
149	STICHTING PENSIOENFONDS ZORG EN WELZIJN	INNHF033895	*
150	STIFTELSEN FOR STRATEGISK FORSKNING	INSEFD130406	*

151	STONEWATER CAPITAL LLC	INUSFD198908	
152	STRATEGIC VALUE PARTNERS (UK) LLP	INUKFD196308	
153	SVG ADVISERS LIMITED	INUKFD207208	
154	TELSTRA SUPER PTY LTD AS TRUSTEE FOR TELSTRA SUPERANNUATION SCHEME	INAUFD100805	
155	THE BANK OF KOREA	INSKFD206108	
156	THE BANK OF NEW YORK MELLON - UIT	INUSFA090504	
157	THE CITY OF WESTMINSTER SUPERANNUATION FUND	INUKFD165507	*
158	THE EMERGING MARKETS INVESTORS FUND	INCAFD010393	
159	THE FINANCIAL CORPORATION CO. SAOG	INOMFD191008	
160	THE HSBC GROUP HONG KONG LOCAL STAFF RETIREMENT BENEFIT SCHEME	INHKFD162407	*
161	THE INCOME FUND OF AMERICA, INC.	INUSFD089804	*
162	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	INUSFD209908	
163	THE SAUDI BRITISH BANK	INSDFD125806	
164	THE TRUSTEES OF THE MOWLEM STAFF PENSION AND LIFE ASSURANCE SCHEME	INUKFD131506	
165	THE WORLD FUNDS, INC	INUSFD108905	*
166	THOMAS WEISEL PARTNERS LLC	INUSFD168207	*
167	TOUCHSTONE FUNDS GROUP TRUST	INUSFD231309	
168	UPMC HEALTH SYSTEM BASIC RETIREMENT PLAN	INUSFD188808	*
169	UPS PENSION PLAN	INUSFD111805	*
170	UPS RETIREMENT PLAN	INUSFD111705	*
171	VAM FUNDS (LUX)	INLUFD186908	
172	VAN ECK ASSOCIATES CORPORATION	INUSFA003293	
173	WIENER PRIVATBANK IMMOBILIENINVEST AG	INASFD214108	
174	WM TRUST II	INUSFD128206	
175	WM VARIABLE TRUST	INUSFD128106	
176	Worldwide Opportunities Fund Cayman Limited	INCYFA097004	*
177	YORK ASSET MANAGEMENT LIMITED	INBHFD179908	*
178	ZENITH INSURANCE COMPANY	INUSFD101105	*
179	ZEPHYR MANAGEMENT U.K. LIMITED	INUKFD226809	

**\* Accounts are Surrendered/Applied for surrender/Expired**