

**ANNEXURE C - ACCOUNT DETAIL SHEET FOR AN INTERMEDIARY
ACCOUNT**

Use separate annexure for each account. Kindly fill in CAPITAL.

1	Name of intermediary		
2	SEBI registration number		
3	Annexure enclosed with	Cash Transaction Report Suspicious Transaction Report	Counterfeit Currency Report (Tick ✓ as applicable)
4	Account number		
5	Type of account	Trading account Demat account Other (Specify) _____	Account with Portfolio Manager
6	Type of account holder	Individual Legal person/entity Central/State Government owned undertaking	Central/State Government Other
7	Date of account opening D D M M Y Y Y Y		

8 List of account holders			
	Name of Individual/Legal Person/Entity	Client code/number	Annexure
8.1			<div style="border-left: 1px solid black; border-right: 1px solid black; border-bottom: 1px solid black; height: 50px; margin-left: 10px;"></div>
8.2			
8.3			
		Mention A for Individuals, B for Legal Person/ Entity	
		Mention appropriate annexure number	
		Tick ✓ to confirm	
	Number of additional sheets for account holders <input type="text"/> (Leave blank if space provided above is sufficient and no extra sheet is attached)		

9 List of related persons (other than mentioned at 8 above)			
	Name of Individual/Legal Person/Entity	Client code/number	Relation Annexure
9.1			<div style="border-left: 1px solid black; border-right: 1px solid black; border-bottom: 1px solid black; height: 80px; margin-left: 10px;"></div>
9.2			
9.3			
9.4			
9.5			
		Mention B for Authorised Signatory, D for Introducer. E for Guarantor, Z for others	
		Mention A for Individuals, B for Legal Person/ Entity	
		Mention appropriate Annexure number	
		Tick ✓ to confirm	
	Number of additional sheets for related persons <input type="text"/> (Leave blank if space provided above is sufficient and no extra sheet is attached)		

ANNEXURE C - ACCOUNT DETAIL SHEET FOR AN INTERMEDIARY

ACCOUNT C

Kindly fill in CAPITAL.

(mention the same number as on the previous page)

10 Transaction details					
	Date of Transaction	Mode	Debit	Amount in Rupees	Remarks <i>(foreign currency and other details)</i>
10.1					
10.2					
10.3					
10.4					
10.5					
10.6					
10.7					
10.8					
10.9					
10.10					

D for Debit and C for Credit

A- Cheque **B-** Transfer within Branch **C-** Cash **D-** Demand Draft **E-** Electronic Funds Transfer
F- Traveller's Cheque **G-** Share Trading **H-** Share Delivery **I-** MF Units
J- Share Application **K-** Derivative Trading **Z-** Other (Specify)

Number of additional sheets for transactions	<i>(Leave blank if space provided above is sufficient and no extra sheet is attached)</i>
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11 Cumulative Totals	
11.1	Total debits in the account in the financial year
11.2	Total credits in the account in the financial year
<i>(Total of entries in the account from 1st April of the financial year till the date of reporting)</i>	

12 Write up about transactions <i>(transactions mentioned in 10 above)</i>	
1	
2	
3	
4	
5	
6	
7	
8	

DO NOT FILL. FOR FIU-IND USE ONLY.		IND__
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