



BY REGD. POST

**भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India**

**Central Public Information Officer
Email: cpio_ho@sebi.gov.in**

CPIO/NR/CIC-648329/10605/1
May 19, 2021

**Shri Girish Kumar,
B-4/12, Vasant Vihar,
New Delhi – 110 057**

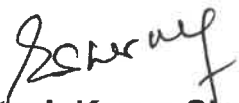
Sir,

Sub: CIC Order dated 19.04.2021 – Appeal No. CIC/SEBIH/A/2019/648329

This has reference to captioned CIC Order wherein the Hon'ble Commission directed the respondent to provide a revised reply indicating the specific web-links. Our reply is as below:

- i) SEBI Circular Ref. No. SE/4601 dated 11.05.1992 on 'Registration of Sub-Brokers':
https://www.sebi.gov.in/legal/circulars/may-1992/registration-of-sub-brokers_19381.html
- ii) SEBI Circular Ref. No. SMD-II/REGN/38/92 dated 07.11.1992 on 'Collection of Registration fees for brokers':
<https://www.sebi.gov.in/legal/circulars/nov-1992/collection-of-registration-fees-for-brokers-19329.html>
- iii) SEBI Circular Ref. No. SMD-II(B)/13/11464/92 dated 16.11.1992 on 'Brokers, sub-brokers rules and regulations':
https://www.sebi.gov.in/legal/circulars/nov-1992/brokers-sub-brokers-rules-and-regulations_19326.html
- iv) SEBI Circular Ref. No. SMD-I/3118 dated 27.12.1993 on 'Registration of Sub Brokers':
https://www.sebi.gov.in/legal/circulars/dec-1993/registration-of-sub-brokers_19437.html
- v) SEBI Circular Ref. No. SMD-I/1541 dated 17.03.1994 on 'Registration of Sub Brokers':
https://www.sebi.gov.in/legal/circulars/mar-1994/registration-of-sub-brokers_19357.html
- vi) SEBI Circular Ref. No. SMD-I/3606/94 dated 13.06.1994 on 'Renewal fees to be paid by registered Sub-Brokers':
https://www.sebi.gov.in/legal/circulars/jun-1994/renewal-fees-to-be-paid-by-registered-sub-brokers_19350.html
- vii) SEBI (Stock Brokers and Sub-Brokers) Regulations, 1992 dated 23.10.1992:
<https://www.sebi.gov.in/legal/regulations/oct-1992/sebi-stock-brokers-and-sub-brokers-regulations-1992-last-amended-on-march-6-2017-34653.html>

Yours faithfully,


Santosh Kumar Sharma

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दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in