



Recovery & Refund Department  
Recovery Division 4  
Email: recovery@sebi.gov.in

### Notice of Attachment of Bank Account

**Attachment Proceeding No.15164 of 2026**  
**Certificate No. 8948 of 2025**

The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India

1. Whereas a Recovery Certificate No. 8948 of 2025 dated November 21, 2025 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.5,46,000/- (Rupees Five Lakhs and Forty-Six Thousand Only) as detailed below along with interest/costs/charges/expenses etc. against RITA R THAKKAR (PAN: AHEPT9061D) ["Defaulter"] and the same is due from Defaulter in respect of the said certificate. A Notice of Demand dated November 21, 2025 has been issued to RITA R THAKKAR.

Description of Dues	Amount (In Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/ AS/RM/2024-25/31291 dated March 21, 2025 in the matter of ISO at BSE-RITA R THAKKAR.	5,00,000.00
Interest from March 2025 to November 2025 @ 1% p.m.	45,000.00
Recovery Cost	1000.00
<b>Total</b>	<b>5,46,000.00</b>

2. Whereas no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

- All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
- All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently be held for or on account of the Defaulter.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s to the extent of the total dues mentioned above until further orders from the Recovery Officer of SEBI. However, credits, if any, into the account may be allowed.





A.P. No. 15164 of 2026

5. You are hereby directed to provide the following within 15 days to the undersigned/our representative on service of this Notice:

- Details of all the Accounts including Lockers held by the Defaulter with your Bank;
- Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
- Confirmation of Attachment of the said account(s) and lockers; and
- Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

If no response is received within 15 days from the date of receipt of this order, it will be presumed that the Defaulter is having no bank account/balance with your bank.

6. If the Defaulter is not having any type of account with your bank, then the same need not be informed to SEBI.

7. You are also directed to immediately attach any new account/s opened by the Defaulter with your Bank post issuance of this notice of attachment and intimate the same to the undersigned along with the details of the new account/s.

8. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 18<sup>th</sup> day of February, 2026.

SEAL



**RECOVERY OFFICER**

Kirtikumar Jadhav

कीर्तिकुमार जाधव

**Dy. General Manager & Recovery Officer**

उप महाप्रबंधक एवं वसूली अधिकारी

**Securities and Exchange Board of India**

भारतीय प्रतिभूति एवं विनियम बोर्ड

Mumbai

मुंबई

Copy to:

**RITA R THAKKAR (PAN:AHEPT9061D)**

403 Ajani Bhuvan Kasturba Marg, Mulund West, Mumbai, Maharashtra, India- 400080

(With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.)



Recovery & Refund Department  
Recovery Division 4  
Email: recovery@sebi.gov.in

Notice of Attachment of Post Office Accounts

Attachment Proceeding No. 15164 of 2026  
Certificate No. 8948 of 2025

Head Postmaster,  
All the Post Offices

1. Whereas a Recovery Certificate No. 8948 of 2025 dated November 21, 2025 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.5,46,000/- (Rupees Five Lakhs and Forty-Six Thousand Only) as detailed below along with interest/costs/charges/expenses etc. against RITA R THAKKAR (PAN: AHEPT9061D) ["Defaulter"] and the same is due from Defaulter in respect of the said certificate. A Notice of Demand dated November 21, 2025 has been issued to RITA R THAKKAR.

Description of Dues	Amount (In Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/AS/RM/2024-25/31291 dated March 21, 2025 in the matter of ISO at BSE-RITA R THAKKAR.	5,00,000.00
Interest from March 2025 to November 2025 @ 1% p.m.	45,000.00
Recovery Cost	1000.00
<b>Total</b>	<b>5,46,000.00</b>

2. Whereas no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the amounts/ proceeds in the accounts held with Post Offices and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

- i) All account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with any of the Post Office under your jurisdiction; and
- ii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently be held for or on account of the Defaulter.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s to the extent of the total dues mentioned above until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.





A.P. No. 15164 of 2026

5. You are hereby directed to provide the following within 15 days to the undersigned/our representative on service of this Notice:

- Details of all the Accounts held by the Defaulter with any Post Office under your jurisdiction;
- Copy of the Account Statement/s for the latest one year in respect of all the accounts;
- Confirmation of Attachment of the said account/s; and
- Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

If no response is received within 15 days from the date of receipt of this order, it will be presumed that the Defaulter is having no account/balance with any Post Office under your jurisdiction.

6. If the Defaulter is not having any type of account with any Post Office, under your jurisdiction, then the same need not be informed to SEBI.

7. You are also directed to immediately attach any new account/s opened by the Defaulter with any Post Office under your jurisdiction, post issuance of this notice of attachment and intimate the same to the undersigned along with the details of the new account/s.

8. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 18<sup>th</sup> day of February, 2026.

SEAL



RECOVERY OFFICER

**Kirtikumar Jadhav**  
कीर्तिकुमार जाधव  
Dy. General Manager & Recovery Officer  
उप महाप्रबंधक एवं वसूली अधिकारी  
Securities and Exchange Board of India  
भारतीय प्रतिभूति एवं विनियम बोर्ड  
Mumbai  
मुंबई

Copy to:

RITA R THAKKAR (PAN:AHEPT9061D)

403 Ajani Bhuvan Kasturba Marg, Mulund West, Mumbai, Maharashtra, India- 400080

(With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.)



Recovery & Refund Department  
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**Notice of Attachment of Demat Account and Mutual Fund Folio(s)**

**Attachment Proceeding No. 15165 of 2026**  
**Certificate No. 8948 of 2025**

**M/s. National Securities Depository Ltd.**  
4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Mumbai - 400013

**M/s. Central Depository Services (I) Ltd.**  
P J Towers, 17th floor  
Dalal Street  
Fort, Mumbai - 400001

**The Principal Officer /Chairman & Managing Director / CEO**  
**All the Mutual Funds in India.**

1. Whereas a Recovery Certificate No. 8948 of 2025 dated November 21, 2025 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.5,46,000/- (Rupees Five Lakhs and Forty-Six Thousand Only)** as detailed below along with interest/costs/charges/expenses etc. against **RITA R THAKKAR (PAN: AHEPT9061D) ["Defaulter"]** and the same is due from Defaulter in respect of the said certificate. A Notice of Demand dated **November 21, 2025** has been issued to **RITA R THAKKAR**.

Description of Dues	Amount (In Rs)
Penalty imposed by the Adjudicating Officer vide Order No. Order/AS/RM/2024-25/31291 dated March 21, 2025 in the matter of ISO at BSE-RITA R THAKKAR.	5,00,000.00
Interest from March 2025 to November 2025 @ 1% p.m.	45,000.00
Recovery Cost	1000.00
<b>Total</b>	<b>5,46,000.00</b>

2. Whereas no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.





A.P. No. 15165 of 2026

4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
  - a) Details of all the Accounts/folios held by the Defaulter with you;
  - b) Copy of the Account Statement/s; and
  - c) Confirmation of Attachment of the said accounts/folios

If no response is received within 15 days from the date of receipt of this order, it will be presumed that the Defaulter is having no account/folio with you.

6. If the Defaulter is not having any type of account/folios with you, the same need not be informed to SEBI.
7. You are also directed to immediately attach any new account/s opened or folio/s created for the Defaulter by you post issuance of this notice of attachment and intimate the same to the undersigned along with the details of the new account/s or folio/s.
8. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 18<sup>th</sup> day of February, 2026.

SEAL

  
RECOVERY OFFICER

Kirtikumar Jadhav  
कीर्तिकुमार जाधव  
Dy. General Manager & Recovery Officer  
उप महाप्रबंधक एवं वसूली अधिकारी  
Securities and Exchange Board of India  
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Mumbai  
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Copy to:

RITA R THAKKAR (PAN:AHEPT9061D)

403 Ajani Bhuvan Kasturba Marg, Mulund West, Mumbai, Maharashtra, India- 400080

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.)