

**LIST OF DEFAULTERS AS ON MAY 31, 2018 FOR NON-PAYMENT OF PENALTY IMPOSED BY SEBI THROUGH ORDERS PASSED UPTO DECEMBER 31, 2017\***

**\*NOTE - While all efforts have been taken to make the list of defaulters as accurate as possible, any discrepancy in the list may be immediately brought to the notice of SEBI at [defaulters@sebi.gov.in](mailto:defaulters@sebi.gov.in)**

| SL. NO. | NAME OF THE DEFAULTER                                                                                                        | ADDRESS OF THE DEFAULTER                                                                                            | VIOLATION IN THE SCRIP OF                 | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|----------------------------|
| 1       | Om Prakash Arora                                                                                                             | Member, Ludhiana Stock Exchange, 1006, GH-14, Paschim Vihar, New Delhi                                              | Inspection                                | 250000                 | 16/12/1998                 |
| 2       | Mid East Investment Services Ltd.<br>( Managing Directors Mohd. Jahangeer Mirza)                                             | T-3, Kamalalaya Center, 6th Floor, 156A, Lenin Street, Kolkatta - 700013                                            | Investor Complaint                        | 1160000                | 17/07/2000                 |
| 3       | R.C. Goenka                                                                                                                  | Saroosh Building, 251, Dr. D.N. Road, Fort, Mumbai - 400 00                                                         | Investor grievance                        | 100000                 | 31/08/2000                 |
| 4       | Yogi Leasing & Finance Ltd., (Director Avinash Magan)                                                                        | Yogi House, Luksar Road, P.O.Gurukul Kangri, Haridwar - 249 40                                                      | Arpan Leasing Co. Ltd.                    | 500000                 | 18/09/2000                 |
| 5       | R.C. Goenka-in the complaint received from YSN Swamy                                                                         | Saroosh Building, 251, Dr. D.N. Road, Fort, Mumbai - 400 00                                                         | Investor Complaint                        | 300000                 | 25/10/2000                 |
| 6       | Zeus Management Consultant Pvt. Ltd. (Directors K. Ram Kumar, V. Mahadevan)                                                  | Aarti Chambers, III Floor, No.189, Mount Road (Annasalai), Chennai - 600 00                                         | Fidelity Finance Ltd.                     | 25000                  | 13/12/2000                 |
| 7       | Somani Cement Company Limited                                                                                                | Opp. Railway Station, Kharsaliya, Distt. Panchmahal, Gujarat - 389340                                               | Somani Cements Compnay Ltd.               | 1000000                | 11/02/2001                 |
| 8       | Somani Cement Company Limited                                                                                                | Opp. Railway Station, Kharsaliya, Distt. Panchmahal, Gujarat - 389340                                               | Somani Cements Compnay Ltd.               | 17000000               | 11/02/2001                 |
| 9       | MBA Strategic Consulting Pvt. Ltd. (Directors K.Madan Mohan, A. Balakrishnan, Anil Kumar)                                    | Harman House, No.482, 80th Feet Road, Ganganager, Bangalore - 560 03                                                | Enclotek Ready Panel Ltd.                 | 100000                 | 09/04/2001                 |
| 10      | Monika Financial Servcies Pvt Ltd (Mr. N. Sattan                                                                             | Shri N. Satani, Monika Financial Services Pvt Ltd, 301, Pratik Apartments, Ellora Park, Race Course, Baroda -390007 | Maa Leafin & Capital Limited              | 125000                 | 29/08/2001                 |
| 11      | R. Shivgurunathan                                                                                                            | G-1, Pushpa Rag Apartment, Plot No. 1252, 19th Main Road, Anna Nagar, Chennai - 600 040.                            | Kashyap Radiant Systems Ltd.              | 500000                 | 07/08/2002                 |
| 12      | Shri Ram Agarwal, Rajiv Agarwal, HarshVardhan Agarwal, Bimladevi Agarwal, Parinda Bajaj (directors of Saparna Infotech Ltd.) | 1-41-468 & 469, KavadiGuda, Mushreedabad, Hyderabad - 500 00 A.P.                                                   | Saparna Infotech Ltd.                     | 50000                  | 02/12/2002                 |
| 13      | M/s. Iris Infrastructurals Pvt. Ltd.                                                                                         | C-10, Vipin Garden, Kakrola Modi, Delhi - 110 059                                                                   | Shonkh Technologies International Limited | 150000                 | 22/04/2003                 |
| 14      | M/s Classic Credit Limited                                                                                                   | Radha Bhavan, 1 <sup>st</sup> Floor, 121, Nagindas Master Road, Fort, Mumbai 400023                                 | Shonkh Technologies International Limited | 650000                 | 22/04/2003                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                             | ADDRESS OF THE DEFAULTER                                                                                                                                                     | VIOLATION IN THE SCRIP OF                 | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|----------------------------|
| 15      | M/s Panther Fincap and Management Services Limited                                                                                | 9 Dalal Street, Bhupen Chambers, Ground Floor, Fort, Mumbai 400023                                                                                                           | Shonkh Technologies International Limited | 650000                 | 22/04/2003                 |
| 16      | M/s Panther Investrade Limited                                                                                                    | Radha Bhavan, 1 <sup>st</sup> Floor, 121, Nagindas Master Road, Fort, Mumbai 400023                                                                                          | Shonkh Technologies International Limited | 650000                 | 22/04/2003                 |
| 17      | M/s Triumph Securities Limited                                                                                                    | Radha Bhavan, 1st Floor, 121, Nagindas Master Road, Fort, Mumbai 400023                                                                                                      | Shonkh Technologies International Limited | 650000                 | 22/04/2003                 |
| 18      | M/s Luminant Investments Private Limited                                                                                          | Radha Bhavan, 1st Floor, 121, Nagindas Master Road, Fort, Mumbai 400023                                                                                                      | Shonkh Technologies International Limited | 650000                 | 22/04/2003                 |
| 19      | M/s Chitrakut Computers Private Limited                                                                                           | 9 Dalal Street, Bhupen Chambers, Ground Floor, Fort, Mumbai 400023                                                                                                           | Shonkh Technologies International Limited | 150000                 | 22/04/2003                 |
| 20      | M/s Goldfish Computers Private Limited                                                                                            | Radha Bhavan, 1st Floor, 121, Nagindas Master Road, Fort, Mumbai 400023                                                                                                      | Shonkh Technologies International Limited | 150000                 | 22/04/2003                 |
| 21      | M/s Nakshatra Software Private Limited                                                                                            | Radha Bhavan, 1st Floor, 121, Nagindas Master Road, Fort, Mumbai 400023                                                                                                      | Shonkh Technologies International Limited | 150000                 | 22/04/2003                 |
| 22      | M/s NH Securities Limited                                                                                                         | 9 Dalal Street, Bhupen Chambers, Ground Floor, Fort, Mumbai 400023                                                                                                           | Shonkh Technologies International Limited | 150000                 | 22/04/2003                 |
| 23      | Shri Deven Mehta                                                                                                                  |                                                                                                                                                                              | Vakrangee Software Ltd                    | 250000                 | 18/07/2003                 |
| 24      | M/s. Sanyo Finance & Investments Pvt. Ltd.                                                                                        | 31, Central Market, Ashok Vihar, Phase I, Delhi - 110 052                                                                                                                    | Shonkh Technologies International Limited | 10000000               | 28/11/2003                 |
| 25      | M/s. Saral Website & Exim Pvt. Ltd.                                                                                               | 202, A J Chambers, Pyarelal Road, Karol Bagh, New Delhi                                                                                                                      | Shonkh Technologies International Limited | 10000000               | 28/11/2003                 |
| 26      | M/s. Ankur Cultivators Pvt. Ltd.                                                                                                  | KNS Business Centre, A-71, IInd Floor Lane No.4, Madhu Vihar, Delhi – 110 09                                                                                                 | Shonkh Technologies International Limited | 10000000               | 28/11/2003                 |
| 27      | M/s. Mikona Impex & Traders Pvt. Ltd.                                                                                             | 1/7184, Shivaji Park, Shahdara, Delhi -110 032                                                                                                                               | Shonkh Technologies International Limited | 10000000               | 28/11/2003                 |
| 28      | CL Jaiwant, CL Jaisimha, C Shobha Jaiwant                                                                                         | 3-6-778, Koratla Bhavan, 105, Street # 14, Himayatnagar, Hyderabad- 500029                                                                                                   | CSJ Technologies                          | 700000                 | 02/12/2003                 |
| 29      | M/s. Zodiac.com Pvt Ltd.                                                                                                          |                                                                                                                                                                              | Shonkh Technologies Ltd.                  | 10000000               | 10/12/2003                 |
| 30      | Cauvery Software Engineering Systems Ltd (Directors Shri R K Kapur, Shri Dwarkdas B Negandhi, Shri Anup Saxena & Shri U B Sagley) | Alankar Business Centre, 419 Alankar Plaza, II Floor, K G Rd Bangalore 560009 111, Marwah Industrial Estate, Off-Saki Vihar Road, Saki Naka, Andheri (East), Mumbai - 400072 | Cauvery Software Engineering Systems Ltd  | 675000                 | 18/12/2003                 |
| 31      | Shri Ravikrishnamoorthi                                                                                                           | E-34, Harsha Bhavan, 2nd floor, Connaught Circle, New Delhi                                                                                                                  | Shonkh Technologies International Limited | 10000000               | 22/12/2003                 |
| 32      | Shri C.V.R. Rao                                                                                                                   | 12th Floor, Indra Prakash Building, 21 - Barakhamba Road, New Delhi – 110 00                                                                                                 | Shonkh Technologies International Limited | 10000000               | 22/12/2003                 |
| 33      | Arihant Cotsyn Ltd                                                                                                                | B-35, Phase V, Focal Point, Ludhiana-141 0                                                                                                                                   | Investor Complaint                        | 10000000               | 28/01/2004                 |
| 34      | Ojas Technochem Products Ltd                                                                                                      | 19, Arunoday Society, Alkapuri, Vadodara.                                                                                                                                    | Investor Complaint                        | 10000000               | 28/01/2004                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                               | ADDRESS OF THE DEFAULTER                                                                                                                                                                                                            | VIOLATION IN THE SCRIP OF               | AMOUNT OF PENALTY (RS)                       | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|----------------------------|
| 35      | Powerflow Holding & Trading Co.Pvt.Ltd . (Directors Manoj Bhalotia, Ashok Sharma, Ranjith Kumar)                                    | 1] 11/A, Chowringhee Terrace, Kolkata -70001<br>2] 2B, Grant Lane, 4th Floor, Kolkata -70001 3]<br>56,1st Main Road, CIT Nagar, Chennai -600 062 4] 5,<br>Commercial Building, 23, Netaji Subhas Chandra<br>Road, Kolkatta - 700 00 | DSQ Software Ltd.                       | 25000                                        | 19/02/2004                 |
| 36      | Alpic Finance Ltd                                                                                                                   | 6th floor, New Excelsior Building, Wallace Street,<br>Fort, Mumbai-400001                                                                                                                                                           | Alpic Finance Ltd                       | 25000                                        | 28/02/2004                 |
| 37      | Gujarat Fun 'N Water Park Ltd.                                                                                                      | 1151 Near Swati Apartments, Ambawadi Bazar,<br>Ahmedabad-380 006                                                                                                                                                                    | Investor Complaint                      | 100000                                       | 25/03/2004                 |
| 38      | Shri Mohanlal L. Nathany (Proprietor of Juggonpursad Baijnath)                                                                      | 59, Muktarambabu Street, Kolkatta - 700 00                                                                                                                                                                                          | Ankit Prachi Trading & Investments Ltd. | 25000                                        | 31/03/2004                 |
| 39      | ENKAY TEXOFOOD INDUSTRIES LTD (Directors Tulsidas Goel, Atul Krishna Goel, Murli Manohar Goel, DP Gupta and Suryakumar Avadhnarain) | Shree Sitaram Sadan, 4th Floor, 282, Shamaldas<br>Gandhi Marg, Mumbai-400002                                                                                                                                                        | Investor Complaint                      | 1000000                                      | 23/06/2004                 |
| 40      | Jitendra J. Shah                                                                                                                    | 14/ Avadh Apartment, Opp. Mamta Park, B/H<br>Hirabai Kanya School, Jawahar Chowk, Maninagar,<br>Ahmedabad - 380008                                                                                                                  | MOH Ltd.                                | 100000 (out of<br>which 25,000 is<br>unpaid) | 29/06/2004                 |
| 41      | M'Belle International Pvt. Ltd.                                                                                                     | Off Saki Vihar Road, G Wing 4th Floor, Tex Center,<br>Andheri, Mumbai 400072<br>G/7, Sai Sangeet, 368, Linking Road, Khar, Mumbai<br>40005                                                                                          | Roofit Industries Ltd.                  | 10000000                                     | 30/06/2004                 |
| 42      | Rituja Finvest Pvt. Ltd. (Directors Anil Doshi, Dinesh Doshi Vinod Shah)                                                            | 1] 1208, Maker Chambers-V, Nariman Point,<br>Mumbai - 400 02 2] 4, Bijal, 2nd Floor, Hirachand<br>Desai Marg, Ghatkopar - 400 08                                                                                                    | Krebs Biochemicals Ltd.                 | 200000                                       | 11/07/2004                 |
| 43      | Damayanti Finvest Pvt.Ltd. (Directors Anil Doshi, Dinesh Doshi Vinod Shah)                                                          | 4, Bijal, 2nd Floor, Hirachand Desai Marg,<br>Ghatkopar - 400 08                                                                                                                                                                    | Krebs Biochemicals Ltd.                 | 200000                                       | 11/07/2004                 |
| 44      | CDP Fincap Pvt.Ltd. & ors(Directors Anil Doshi, Dinesh Doshi Vinod Shah)                                                            | 1] 1208, Maker Chambers-V, Nariman Point,<br>Mumbai - 400 02 2] 4, Bijal, 2nd Floor, Hirachand<br>Desai Marg, Ghatkopar - 400 08                                                                                                    | Krebs Biochemicals Ltd.                 | 200000                                       | 11/07/2004                 |
| 45      | Hourie, Proprieterix, Smt. Mamta Bhagat                                                                                             | 23 A, NS Road, 1st Floor, Kolkata - 700 001                                                                                                                                                                                         | M.P. Investment & Consultancy           | 15000                                        | 31/08/2004                 |
| 46      | Industrial Suppliers                                                                                                                | 25, Strand Road, Kolkata - 700 001                                                                                                                                                                                                  | Offshore Finvest Ltd                    | 15000                                        | 31/08/2004                 |
| 47      | Prakash Ceramics Ltd. (Director Rajesh Jain)                                                                                        | 60-61, Anoop Nagar, Indore- 452 00 M.P.                                                                                                                                                                                             | Top Media Entertainment Ltd.            | 200000                                       | 29/09/2004                 |

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|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|------------------------------|------------------------|----------------------------|
| 48      | Rajesh Jain                                                                                                                                                                                                  | 60-61, Anoop Nagar, Indore- 452 00 M.P.                                                              | Top Media Entertainment Ltd. | 200000                 | 29/09/2004                 |
| 49      | Prakash Fortan Softech Ltd. (Director Rajesh Jain)                                                                                                                                                           | 60-61, Anoop Nagar, Indore- 452 00 M.P.                                                              | Top Media Entertainment Ltd. | 200000                 | 29/09/2004                 |
| 50      | Offshore Infotech Ltd                                                                                                                                                                                        | 24, Park Street, Kolkata - 700 016                                                                   | Offshore Finvest Ltd         | 75000                  | 30/09/2004                 |
| 51      | Shah Traders                                                                                                                                                                                                 | 8/1, CGR Road, Kidderpore, Kolkata - 700 023                                                         | Offshore Finvest Ltd         | 100000                 | 30/09/2004                 |
| 52      | Amalgamated Enterprises                                                                                                                                                                                      | 32, Ezra Street, Kolkata - 1                                                                         | Offshore Finvest Ltd         | 100000                 | 30/09/2004                 |
| 53      | M/s Sure Capital Pvt.Ltd. (Regn. No. INS23087533, sub-broker affiliated to PES Securities Ltd. (Directors - Mr. Milind Udaysing Badgujar, Ashok Rahmal Nahar, Surendra Punamchand Agarwal)                   | 752, Sadashiv Peth, Shivleela Chambers, PSE Building, 2nd Floor, R B Kumthekar Marg, Pune - 400 030. | Inspection                   | 150000                 | 01/10/2004                 |
| 54      | Cauvery Software Engineering Systems Ltd (Anup Saxena, WTD, D B Negandhi, Director, R K Kapur, Director & U B Seghlay, Nominee Director)                                                                     | Alankar Business Centre, Off#4, No.419 Alankar Plaza, II Floor, K G Rd Bangalore 560 009             | Investor Complaint           | 1500000                | 07/10/2004                 |
| 55      | Maganbhai Patel                                                                                                                                                                                              | 501,Sarthak Towers, Satelite Road, Ramdev Nagar, Ahmedabad.                                          | Growmore Solvents Ltd.       | 10000000               | 08/10/2004                 |
| 56      | Sawaca Business Machines Ltd. (Director Mahendra A Shah)                                                                                                                                                     | 309, Mahakant Complex, Opp VS Hospital, Ellisbridge, Ahmedabad- 380036                               | Sawaca Communication Ltd.    | 200000                 | 08/10/2004                 |
| 57      | Panchmahal Cement Ltd. (Directors Mayur Manubhai Amin, Tarpan Amin, Jayant Purushottambhai Patel, Ashok Raojbhai Patel, Mafatlal Gordhanbhai Patel, Nagibhai Chhotalal Shah and Pratapsinh Vasantji Sampat)  | The Emperor, 2nd Floor, Fatehgunj Main Road, Vadodara- 390002                                        | Investor Complaint           | 100000                 | 09/10/2004                 |
| 58      | Mercantile Agencies                                                                                                                                                                                          | 77, NS Road, Kolkata - 700 001                                                                       | Offshore Finvest Ltd         | 15000                  | 12/10/2004                 |
| 59      | Sawaca Communications Ltd. ( Directors Satish Shah, Makul H Shah, Kaushik C Ramaniy, Kalpeash L Trivedi, Pinakin R Patel, Mahendra Shah, Jelal I Batra, Mahesh Kumar Haribhai Hadvani Patel and Raju B Shah) | 309, Mahakant Complex, Opp VS Hospital, Ellisbridge, Ahmedabad- 380036                               | Sawaca Communication Ltd.    | 200000                 | 15/10/2004                 |
| 60      | Swetha Industries Ltd                                                                                                                                                                                        | 29B, Rabindra Sarani, Kolkata - 700 073                                                              | Offshore Finvest Ltd         | 100000                 | 16/10/2004                 |

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|---------|---------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------|------------------------|----------------------------|
| 61      | Apnapan Vyapar                                                                        | 24A, Vivekananda Road, Kolkata - 7                                                  | M.P. Investment & Consultancy   | 100000                 | 16/10/2004                 |
| 62      | Sears Merchandise (P) Ltd.                                                            | 33/1, NS Road, Kolkata - 1 and 19, Devidas Lane, Kolkata - 6                        | M.P. Investment & Consultancy   | 100000                 | 16/10/2004                 |
| 63      | Wide Star Trade Wing (P) Ltd.                                                         | 13, PK Tagore Street, Kolkata - 6                                                   | M.P. Investment & Consultancy   | 100000                 | 16/10/2004                 |
| 64      | M/s. Tanaya Securities Limited                                                        | 13, Sampann Complex, Opp. Nirman Tower, Navrangpura, Ahmedabad - 380009             | MOH Ltd.                        | 500000                 | 27/10/2004                 |
| 65      | Kajol Impex                                                                           | Vaghela Farm, Near Bhagwat Petrol Pump, Gota Chokdi, Gota, Ahmedabad.               | MOH Ltd.                        | 500000                 | 29/10/2004                 |
| 66      | Delta Alliance Financial Services & anr. (Proprietor Rajeev Kapoor)                   | 34/3 Ashok Nagar, 3rd Floor, NSC Bose Road, Kolkatta - 700 040.                     | Non-compliance with SEBI order  | 50000                  | 03/11/2004                 |
| 67      | Lan Eseda Industries Ltd                                                              | 201, Varnika Arcade, B/88, Madhur Nagar, Ameerpet, Hyderabad-500008                 | Lan Eseda Industries Ltd        | 50000                  | 22/11/2004                 |
| 68      | Arihant Cotsyn Limited                                                                | B-35, Phase V, Focal point, Ludhiana-141010                                         | Arihant Cotsyn Limited          | 50000                  | 25/11/2004                 |
| 69      | Arihant Industries Ltd                                                                | B-35, Phase V, Focal point, Dhandari kalan, Ludhiana-141010                         | Arihant Industries Ltd          | 75000                  | 25/11/2004                 |
| 70      | Shubham Commercial Co Ltd                                                             | P 22/24, Strand Bank Road, Kolkata - 7                                              | Offshore Finvest Ltd            | 100000                 | 30/11/2004                 |
| 71      | T. Rama Rao                                                                           | 324/B, Dum Dum Airport Road, Kolkata - 54                                           | Superstar Leafin Ltd            | 50000                  | 30/11/2004                 |
| 72      | Hitech Jewellery Industries Ltd                                                       | 175, 2 <sup>nd</sup> floor, Princess Street, Vallabhadas Kanji Bldng, Mumbai-400002 | Hitech Jewellery Industries Ltd | 75000                  | 02/12/2004                 |
| 73      | DSQ Holdings Ltd. (Directors Dinesh Dalmia, Rajan Govind, S. Gopal Krishnan)          | 407/408, G.R. Complex, Annasalai, Nandanram, Chennai - 600 035                      | DSQ Software Ltd.               | 1000000                | 03/12/2004                 |
| 74      | G.N. Johari                                                                           | 14, Prem Nagar, 21 Ashok Marg, Luknow - 1                                           | Cyberspace Ltd.                 | 200000                 | 03/12/2004                 |
| 75      | Dinesh Dalmia Technology Trust (Directors Dinesh Dalmia, Pawan Kumar, Suryanil Ghosh) | 407/408, G.R. Complex, Annasalai, Nandanram, Chennai - 600 035                      | DSQ Software Ltd.               | 500000                 | 06/12/2004                 |
| 76      | Arihant Threads Limited                                                               | B-35, Phase V, Focal point, Ludhiana-141010                                         | Arihant Threads Limited         | 75000                  | 21/12/2004                 |
| 77      | European Software Alliances Ltd                                                       | F-10, 1 <sup>st</sup> floor, City Centre, 63/2, The mall, Kanpur-208001             | European Software Alliances Ltd | 75000                  | 22/12/2004                 |
| 78      | Abhilasha Securities                                                                  | 9, Pushpam, 2nd Floor, 30-E Cawasji Patel Street Fort Mumbai 400001                 | MOH LTD                         | 150000                 | 24/12/2004                 |

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|---------|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|----------------------------|
| 79      | Bonanza Biotech Ltd. & Ors. (Directors Sharad Gujratai, Amit Patel, Rakesh Pandya, Drurma Vaghela, Arvind Vaswani, Manish Ganeriwala) | 206, Sameer Complex, R.N.T. Marg, Ushaganj, Indore - 452 001, M.P.                                                       | Ojas Technochem Ltd.                      | 500000                 | 28/12/2004                 |
| 80      | Rajiv Samani                                                                                                                          | New Harileela House, 5th Floor, 28/32, Mint Road, Near GPO, Mumbai - 400 001                                             | Netvista Information Technology Ltd.      | 100000                 | 28/01/2005                 |
| 81      | Xedd Telecom Limited                                                                                                                  | 2-2-135/1, Ranigunj, Pan Bazaar, Secunderabad-500003                                                                     | Xedd Telecom Limited                      | 75000                  | 14/02/2005                 |
| 82      | M/s Friends Portfolio Ltd. (Directors Bishan Chand Aggarwal, Monoj Kumar Aggarwal, Meenu Aggarwal)                                    | 1] 7/22, Ansari Road, Dariya Ganj, New Delhi - 11 00<br>2] C-25/2, East Baldev Park, Opp. Preet Vihar, New Delhi- 110 05 | Media Video Ltd.                          | 100000                 | 28/02/2005                 |
| 83      | Vikas Holding P. Ltd.                                                                                                                 | S-7/4754-57/23 Ansari Road Darya Ganj, New Delhi -110002                                                                 | Ankur Commercial Ltd.                     | 250000                 | 28/02/2005                 |
| 84      | Enkay Texofood Industries Ltd                                                                                                         | 282, Shree Sitaram Sadan, 4th Floor, Shamaldas Gandhi Marg, Mumbai-400002                                                | Enkay Texofood Industries Ltd             | 50000                  | 07/03/2005                 |
| 85      | Prudential Capital Markets Ltd.                                                                                                       | 8, Camac Street, Kolkata 700 01                                                                                          | Investor Complaint                        | 1000000                | 10/03/2005                 |
| 86      | Cauvery Software Engineering Systems Ltd                                                                                              | Alankar Business Centre, 419, Alankar Plaza, 3 <sup>rd</sup> floor, KG Road, Bangalore-560009                            | Cauvery Software Engineering Systems Ltd  | 75000                  | 21/03/2005                 |
| 87      | Scintilla Software Technology Ltd                                                                                                     | 111, Marwah Industrial Estate, Saki Naka, Andheri-East, Mumbai-400072                                                    | Scintilla Software Technology Ltd         | 75000                  | 29/03/2005                 |
| 88      | S V Sales Agency                                                                                                                      | 1/1A, Vasitart Row, 2nd Floor, Kolkata - 1,                                                                              | Globe Stock & Securities Limited          | 30000                  | 31/03/2005                 |
| 89      | N K Traders                                                                                                                           | 20, Banarus Road, Howrah - 711 106                                                                                       | Globe Stock & Securities Limited          | 30000                  | 31/03/2005                 |
| 90      | Sushila Devi Rathi                                                                                                                    | 11A, Umakantha Sen Lane, Kolkata - 30                                                                                    | Globe Stock & Securities Limited          | 30000                  | 31/03/2005                 |
| 91      | ABC Enterprises                                                                                                                       | 9/2, Lal Bazar Street, Kolkata - 1                                                                                       | Globe Stock & Securities Limited          | 30000                  | 31/03/2005                 |
| 92      | Rushabh Securities Ltd                                                                                                                | 2nd Floor, Chakravarthy Complex Near Vijay Char Rasta, Navrangpura, Ahmedbad.                                            | GCCL Infrastructure and Projects Ltd      | 35000                  | 27/04/2005                 |
| 93      | Shri Baldev Raj                                                                                                                       | R – 1/ 32, Vijay Vihar,Uttam Nagar, New Delh                                                                             | Shonkh Technologies International Limited | 15000                  | 29/04/2005                 |
| 94      | Vinay Rai & Anil Rai                                                                                                                  | 12, Aurangazeb Lane, New Delhi-110 011                                                                                   | Information Technologies (India) Limited  | 500000                 | 06/05/2005                 |
| 95      | RKKR Agencies Private Limited (Directors Shri B M Joshi, Shri Anil Kanodia & Shri S K Bhalla)                                         | Usha Bhavan", A-41, Mohan Co-Op Industrial Estate Mathura Road, New Delhi-110 044                                        | Information Technologies (India) Limited  |                        |                            |

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|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------------------------|------------------------|----------------------------|
| 96      | Utility Trade Links Pvt Limited<br>(Directors Shri P S Kanodia, Shri S K Gupta & Shri Renga Rajan)                                                    | B-201, Ansal Chamber-13, Bhikaji Cama Place, New Delhi - 110066                                                  | Information Technologies (India) Limited |                        |                            |
| 97      | Pukhraj Holdings Pvt Ltd (Directors Shri T S Nair, Shri B M Joshi & Shri Anil Kanodia)                                                                | B-201, Ansal Chamber-13, Bhikaji Cama Place, New Delhi - 110066                                                  | Information Technologies (India) Limited |                        |                            |
| 98      | Information Technology Ltd. (Directors S.K. Mittal, V.C. Rai, M.C. Gupta, Suresh Suchdev, R.P. Sharma, M.N.Chaudhary, Sanjay Gupta, Manoj Srivastava) | Country Inn, Delhi Agra, Highway 99 Kms Stone, Kosi Kalan, Mathura, Uttar Pradesh - 281 40                       | Investor grievances                      | 10000000               | 06/05/2005                 |
| 99      | Shri Sanjay R Shah                                                                                                                                    | E-101, Subhalaxmi Tower,<br>Opp. Sanghi High School,<br>Naranpura,<br>Ahmedabad – 380 01                         | Monalisa Infotech Ltd                    | 200000                 | 09/05/2005                 |
| 100     | Cyberspace Limited                                                                                                                                    | 25, Babar Road, New Delhi-110001                                                                                 | Cyberspace Limited                       | 75000                  | 16/05/2005                 |
| 101     | Real Value Appliances Ltd.                                                                                                                            | Office of the Official Liquidator, Bank of India Bldg, 5 <sup>th</sup> floor, Mahatma Gandhi Road, Mumbai-400023 | Real Value Appliances Ltd.               | 75000                  | 16/05/2005                 |
| 102     | Shri Tushar S Jhaveri                                                                                                                                 | A/11, Lavaniya Apartment,<br>Lavaniya Society<br>Near Jaltarang Club,<br>Vasna<br>Ahmedabad – 380 007            | Monalisa Infotech Ltd                    | 200000                 | 16/05/2005                 |
| 103     | Classic Consultancy                                                                                                                                   | E-101, Subhalaxmi Tower,<br>Opp. Sanghi High School,<br>Naranpura,<br>Ahmedabad – 380 013                        | Monalisa Infotech Ltd                    | 200000                 | 17/05/2005                 |
| 104     | Sonepat Commodeal                                                                                                                                     | 4, Adi Banstalla Lane, Kolkata - 7                                                                               | Globe Stock & Securities Limited         | 30000                  | 19/05/2005                 |
| 105     | Alcobex Merchandise                                                                                                                                   | 4, Adi Banstalla Lane, Kolkata - 7                                                                               | Globe Stock & Securities Limited         | 30000                  | 19/05/2005                 |
| 106     | Uttamshah Merchants                                                                                                                                   | 4, Adi Banstalla Lane, Kolkata - 7                                                                               | Globe Stock & Securities Limited         | 30000                  | 19/05/2005                 |
| 107     | Transpower Vyapar                                                                                                                                     | 4, Adi Banstalla Lane, Kolkata - 7                                                                               | Globe Stock & Securities Limited         | 30000                  | 19/05/2005                 |
| 108     | Commet Tie-up Pvt. Ltd                                                                                                                                | 4, Adi Banstalla Lane, Kolkata - 7                                                                               | Globe Stock & Securities Limited         | 30000                  | 19/05/2005                 |
| 109     | Swadeshi Commodities                                                                                                                                  | 9, Shiv Thakur Lane, Kolkata - 7                                                                                 | Globe Stock & Securities Limited         | 30000                  | 19/05/2005                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                                                                            | ADDRESS OF THE DEFAULTER                                                                                                                                                                              | VIOLATION IN THE SCRIP OF                 | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|----------------------------|
| 110     | Harpal Associates Pvt. Ltd.                                                                                                                                                      | A-24, Tagore Market, Kirit Nagar, New Delh                                                                                                                                                            | Shonkh Technologies International Limited | 15000                  | 31/05/2005                 |
| 111     | Poonam Commercial                                                                                                                                                                | 54, Bhairav Datta Lane, Salkia, Howrah - 711 106                                                                                                                                                      | Globe Stock & Securities Limited          | 30000                  | 30/06/2005                 |
| 112     | Shri Hemendra Shah                                                                                                                                                               | M- 87/1040, Karnavati Apartment, Parasnagar, Sola Road, Navrangpura, Ahmedabad – 380013                                                                                                               | Universal Media Network Ltd.              | 20000                  | 30/06/2005                 |
| 113     | Richimen Silks Ltd                                                                                                                                                               | 6-3-1186/A Begumpet, Hyderabad- 500016                                                                                                                                                                | Investor Complaint                        | 1200000                | 05/07/2005                 |
| 114     | SN Bansal, Chairman and Managing Director of Karishma Floriculture Ltd.                                                                                                          | (a) S-68, Greater Kailash, Part-I, New Delhi (b) Village Mahantwara, Tehsil Sohna, District Gurgaon, Haryana (c) 521, Sainik Farms, Near Saket, New Delhi-110019 (d) D-67, Gandhi Dham, Kutch, Gujrat | Karishma Floriculture Ltd.                | 100000                 | 18/07/2005                 |
| 115     | Om Prakash Agarwal                                                                                                                                                               | 23A, NS Road, Kolkata - 1                                                                                                                                                                             | Globe Stock & Securities Limited          | 30000                  | 29/07/2005                 |
| 116     | Rajiv R Shah Proprietor of classic Consultancy                                                                                                                                   | E-101, Shubhlaxmi Tower, Opp. Sanghvi High School, Naranpura, Ahmedabad - 380 01                                                                                                                      | Monalisa Infotech Ltd.                    | 200000                 | 04/08/2005                 |
| 117     | Kishor Corporation (Proprietor Kisore V Thakkar)                                                                                                                                 | 52/2, Kabutar Khana, Chokha Bazar, Kalupur, Ahmedabad, Gujarat.                                                                                                                                       | Sword & Shield Pharma Ltd.                | 50000                  | 29/08/2005                 |
| 118     | Purbasha Marketing Pvt. Limited                                                                                                                                                  | 3/4, Ramlal Mukherjee Lane, Kolkata - 36                                                                                                                                                              | Globe Stock & Securities Limited          | 30000                  | 08/09/2005                 |
| 119     | Savitri Supply Syndicate                                                                                                                                                         | 19, Amherest Street. Kolkta - 9                                                                                                                                                                       | Globe Stock & Securities Limited          | 20000                  | 08/09/2005                 |
| 120     | Somplast Leather Industries Ltd (Directors NR Vekaria, SN Vekaria, RN Vekaria, RD Patel, MP Shah alias Bhagwati Shah, Ramanlal C Hathi, Girish Shah, Yogini Vyas & Sanjay Patel) | Vidya Villa, Ambawadi Lane, Opp Central Bank, Ahmedabad - 380015 and Opp. Market yeard, Dolatpura, Junagath, Gujarat-362001 and IOL House, Swati Apartment, Ambawadi Bazar, Ahmedabad-6               | Somplast Leather Industries Ltd           | 200000                 | 30/09/2005                 |
| 121     | Mahendra A Shah                                                                                                                                                                  | F-7 Ashopalav Flats, Near CAMA HOTEL, Kanpur, Ahmedabad, Gujarat.                                                                                                                                     | Ransi Software                            | 5400000                | 30/09/2005                 |
| 122     | Kishore Thakkar                                                                                                                                                                  | A/3/72, Goyal Intercity, Drive-in Road, Ahmedabad.                                                                                                                                                    | Ransi Software                            | 5400000                | 30/09/2005                 |
| 123     | Rajkumar Chainrai Basantani                                                                                                                                                      | 1] 7, Cosmos Commerical Center, 2nd Floor, 3rd Road, Khar (W), Mumbai -40005 2] 23, Lakhamshi Napoo Road, Dadar - 400 01                                                                              | Soundcraft Industries Ltd.                | 5300000                | 28/10/2005                 |
| 124     | Hexone Pharmaceuticals Ltd.                                                                                                                                                      | 26, Shroff Building, 1st Floor, Vallsad, Gujarat-396 00                                                                                                                                               | Investor Complaint                        | 500000                 | 31/10/2005                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                      | ADDRESS OF THE DEFAULTER                                                                                                                                                                 | VIOLATION IN THE SCRIP OF         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------|----------------------------|
| 125     | Soundcraft Industries Ltd.<br>( Directors Rajkumar Basantani, Babu Parsu Basan, Vishnu Parsu Basan, Ramesh Tulsiram Verma) | 1] Narayan Building, 23, Lakhamshi Napoo Road, Dadar (East) Mumbai - 400 01<br>2] Plot No.368/5, Shree Punjab Society, Behind Avinash Building, Mahakali Andheri (East), Mumbai - 400 09 | Investor Complaint                | 10000000               | 31/03/2006                 |
| 126     | Rajkumar Basantani                                                                                                         | 701, Pinky Panorama, 6th Road, Khar (West), Mumbai - 400 05                                                                                                                              | Soundcraft Industries Ltd.        | 500000                 | 28/04/2006                 |
| 127     | Kolar Sharex Pvt Ltd.<br>(Directors Rajkumar Basantan                                                                      | 1] Narayan Building, 23, Lakhamshi Napoo Road, Dadar (East) Mumbai - 400 01<br>2] 701, Pinky Panorama, 6th Road, Khar (West), Mumbai - 400 05                                            | Soundcraft Industries Ltd.        | 500000                 | 15/05/2006                 |
| 128     | Interlink Financial Services Ltd.                                                                                          | 203, Kanchan Sagar, 4 <sup>th</sup> floor, 18/1, Old Palasia, Indore-452001                                                                                                              | Interlink Financial Services Ltd. | 20000                  | 31/05/2006                 |
| 129     | Kisan Agarwal                                                                                                              | B-306, Gayatri Apartments, Navghar, Cross Road No. 5, Bhayander (E), Mumbai - 401105                                                                                                     | Radaan Mediaworks India Ltd.      | 500000                 | 09/06/2006                 |
| 130     | Jaitra Estate Developers Pvt Ltd. ( Directors Ramesh Verma, Nitin Sawant)                                                  | 105/978 Rambai Nagar, Ghatkoper (East), Mumbai - 400 07                                                                                                                                  | Soundcraft Industries Ltd.        | 100000                 | 27/06/2006                 |
| 131     | Sparkling Securities (Proprietor Monohar K. Milwani )                                                                      | Shop No.3, Jagdish Cottage, Near Syndicate Bank, 4th Road, Khar (West), Mumbai -400 052                                                                                                  | Soundcraft Industries Ltd.        | 100000                 | 30/06/2006                 |
| 132     | Jhaveri Securities (Proprietor Tushar Jhaver                                                                               | A/11, Lavnya Apartment, Lavnya Society, Ellisbridge, Ahemdabad - 380 05                                                                                                                  | Inspection                        | 100000                 | 03/08/2006                 |
| 133     | Sunil Shares and Stocks Pvt. Ltd.                                                                                          | 206, Rajani Bhawan, 569, M. G. Road, Indore - 452 00                                                                                                                                     | Kwality Dairy (India) Ltd.        | 1000000                | 14/09/2006                 |
| 134     | Anil Kumar Agarwal                                                                                                         | A-203, Navarpan Apartments, Navghar Road, Bhayander (E), Mumbai - 401105                                                                                                                 | Radaan Mediaworks India Ltd.      | 500000                 | 27/09/2006                 |
| 135     | Shri Tanvir N Zaki                                                                                                         | 602, Prathmesh Complex, Sepctra Towers, Veera Desai Road, Andheri (W), Mumbai – 400 053                                                                                                  | Sun Infoways Ltd. - I             | 500000                 | 31/10/2006                 |
| 136     | Shri Anil Pujari                                                                                                           | Sheetal Apartment, 104, 2nd Floor, Udhav Nagar, Ganesh Temple view, Shantivan, Borivli (E), Mumbai                                                                                       | Sun Infoways Ltd. - I             | 500000                 | 02/11/2006                 |
| 137     | Silverline Holdings Corporation<br>Shreyas Holding Ltd. and Subra Mauritius Ltd.                                           |                                                                                                                                                                                          | Silverline Technologies Ltd       | 3000000                | 22/11/2006                 |
| 138     | Ashok Sharma                                                                                                               | A-101, Tulsi Building, 1st Floor, Kasturi Park, Bhayander (E), Mumbai - 401105                                                                                                           | Radaan Mediaworks India Ltd.      | 1000000                | 07/12/2006                 |
| 139     | Parth Investments Consultants Ltd.                                                                                         | Gurunanak Niwas, Irani wadi, Hemkulani Road no 3, Kandivali (West), Mumbai - 400067                                                                                                      | Sun Infoways Ltd. - I             | 50000                  | 07/12/2006                 |

| SL. NO. | NAME OF THE DEFAULTER                                                | ADDRESS OF THE DEFAULTER                                                                                                                        | VIOLATION IN THE SCRIP OF     | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------|----------------------------|
| 140     | Shri Hoozefa Vora                                                    | 18, 336, ESIC Nagar, New Link Road, Andheri (W), Mumbai-400 053                                                                                 | Sun Infoways Ltd. - I         | 500000                 | 25/01/2007                 |
| 141     | Mayur Jadeja                                                         | 7/156, Gujarat Housing Board, Meghani Nagar, Ahmedabad                                                                                          | Alka India Ltd                | 500000                 | 12/02/2007                 |
| 142     | Kunaram Chaudhry                                                     | Bhagwati Niwas, Bhulabhai Chaur Rasta, Geeta Mandir Road, Ahmedabad                                                                             | Ransi Software                | 5400000                | 26/02/2007                 |
| 143     | Shri Kuldeep Hondoo                                                  | B-113, Sarita Vihar, New Delhi                                                                                                                  | Sun Infoways Ltd. - I         | 500000                 | 16/03/2007                 |
| 144     | Soundcraft Industries Ltd. (Directors Shr Rajkumar Chainrai Basantan | 1] Narayan Building, 23, Lakhamshi Napoo Road, Dadar (East) Mumbai - 400 01                                                                     |                               | 500000                 | 30/03/2007                 |
| 145     | Sachin Patil                                                         | 2/3, Gopal Bhuvan Wadi, Kakasaheb Gadgil Marg, Prabhadevi, Mumbai - 400 025                                                                     | Robinson Worldwide Trade Ltd. | 200000                 | 30/03/2007                 |
| 146     | Jain Stock & Share Brokers Limited                                   | Jain Stock & Share Brokers Ltd. (client) P-27, Princep Street, Kolkata-700072 and its Director Dhruva Narayan Jha                               | Prime Capital Markets Limited | 200000                 | 26/04/2007                 |
| 147     | Silverflag Trading Company Private Limited                           | Silver Flag Trading Co. Pvt. Ltd. (client) having address at Shri Pravin Sawant, C-9 Laxman Mhatre Colony, Vijay Nagar, Kalyan(E), Mumbai-42130 | Prime Capital Markets Limited | 200000                 | 26/04/2007                 |
| 148     | Machindranath Multi Trade Private Limited                            | Machindra Nath Multi Trade Pvt. Ltd. (client) having address at 37/7, Mirpara Road, Lilua , Howrah, West Bengal.                                | Prime Capital Markets Limited | 200000                 | 27/04/2007                 |
| 149     | Fine Trade Merchantile Company Private Limited                       | Fine Trade Mercantile Co. Pvt. Ltd. (client) having address at 2, Cornfield Road, Kolkata-70001                                                 | Prime Capital Markets Limited | 200000                 | 09/05/2007                 |
| 150     | Careful Consultants P. Ltd. (Client)                                 | M/s Careful Consultants Private Limited (client) having address 37, C. T. Road, Kolkata - 700007                                                | Akshay Fiscal Services Ltd.   | 25000                  | 31/05/2007                 |
| 151     | Network Exports P. Ltd. (Client)                                     | M/s Network Exports Private Limited (client) having address Ashwini Nagar, 2 <sup>nd</sup> Floor, Baguihati, Kolkata - 700059                   | Akshay Fiscal Services Ltd.   | 25000                  | 31/05/2007                 |
| 152     | Neelanjali Engineering P. Ltd. (Client)                              | M/s Neelanjali Engineering Private Limited (client) having address TTC Road, Kolkata - 700073                                                   | Akshay Fiscal Services Ltd.   | 25000                  | 31/05/2007                 |
| 153     | Aastha Vinimay Pvt. Ltd. (Client)                                    | M/s Aastha Vinimay Private Limited (client) having address 13B, P. K. Tagore Street, Kolkata – 70000                                            | Sharadraj Tradefin Ltd.       | 25000                  | 31/05/2007                 |
| 154     | Sepal Trading & Commercial (Client)                                  | M/s Sepal Trading and Commercial Private Limited (client) having address 24/A, Vivekanand Road, Kolkata – 70000                                 | Sharadraj Tradefin Ltd.       | 25000                  | 31/05/2007                 |

| SL. NO. | NAME OF THE DEFAULTER                                                 | ADDRESS OF THE DEFAULTER                                                                                                                                      | VIOLATION IN THE SCRIP OF     | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------|----------------------------|
| 155     | Sampoorna Vyapar Pvt. Ltd. (Client)                                   | M/s Sampoorna Vyapar Private Limited (client) having address 24/A, Vivekanand Road, Kolkata – 70000                                                           | Sharadraj Tradefin Ltd.       | 25000                  | 31/05/2007                 |
| 156     | Wide Star Trading Wing (Client)                                       | M/s Wide Star Trade Wing Private Limited (client) having address 13B, P. K. Tagore Street, Kolkata – 70000                                                    | Sharadraj Tradefin Ltd.       | 25000                  | 31/05/2007                 |
| 157     | Sushovin Vinimay (Client)                                             | M/s Sushovin Vinimay Private Limited (client) having address 13B, P. K. Tagore Street, Kolkata – 70000                                                        | Sharadraj Tradefin Ltd.       | 25000                  | 31/05/2007                 |
| 158     | Apnapan Vyapar (Client)                                               | M/s Apnapan Vyapar Private Limited (client) having address 24/A, Vivekanand Road, Kolkata – 70000                                                             | Sharadraj Tradefin Ltd.       | 25000                  | 31/05/2007                 |
| 159     | Gouriputra Trading (Client)                                           | M/s Gouriputra Trading Private Limited (client) having address 13B, P. K. Tagore Street, Kolkata – 70000                                                      | Sharadraj Tradefin Ltd.       | 25000                  | 31/05/2007                 |
| 160     | M M Kothari                                                           | A- Ground Floor, 24- B, Rajabhadur Compound, Ambalal Doshi Marg, Behind Lalit Restaurant, Mumbai- 400023                                                      | Solid carbide Tools Ltd       | 500000                 | 01/06/2007                 |
| 161     | Pravin Sawant, Director of Machindranath Multi Trade Pvt. Ltd         | Shri Pravin Sawant, Director of Machindra Nath Multi Trade Pvt. Ltd.(client) having address at C-9 Laxman Mhatre Colony, Vijay Nagar, Kalyan(E), Mumbai-42130 | Prime Capital Markets Limited | 100000                 | 18/06/2007                 |
| 162     | Pravin Sawant, Director of Silverflag Trading Compant Private Limited | Shri Pravin Sawant, Director of Silver Flag Trading Co. Pvt. Ltd. (client) having address at C-9 Laxman Mhatre Colony, Vijay Nagar, Kalyan(E), Mumbai-42130   | Prime Capital Markets Limited | 100000                 | 18/06/2007                 |
| 163     | Ravi kumar Ghosh                                                      |                                                                                                                                                               | Typhoon Holdings Ltd          | 250000                 | 27/06/2007                 |
| 164     | Rajendra Kumar Linga                                                  |                                                                                                                                                               | Typhoon Holdings Ltd          | 250000                 | 27/06/2007                 |
| 165     | Kailash Chandra Gupta                                                 |                                                                                                                                                               | Typhoon Holdings Ltd          | 250000                 | 27/06/2007                 |
| 166     | Ajit Suryavanshi                                                      | Jagdhari Yadav Chawl, R -4, Koldongri, Sahar Road, Andheri (E), Mumbai                                                                                        | Mega Corporation Ltd          | 1000000                | 30/07/2007                 |
| 167     | Ritedeal Trading Co.                                                  | B-Wing, Flat No.11, 2nd Floor, Monami, Apartment, Near Chandan Talkies, Juhu, Mumbai - 400 049                                                                | Mega Corporation Ltd          | 1000000                | 30/07/2007                 |
| 168     | Santosh Rohidas Jagtap                                                | A/12, Mominpada Dhankar Chawl, New Panvel, Navi Mumbai, 410 206                                                                                               | Mega Corporation Ltd          | 1000000                | 30/07/2007                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                                                                                         | ADDRESS OF THE DEFAULTER                                                                                                                                  | VIOLATION IN THE SCRIP OF          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|------------------------|----------------------------|
| 169     | Pravinbahi Parshatambhai Dudhat, Proprietor, M/s Kumar Investments, SEBI Regn No. INS231153417 Sub-Broker affiliated to M/s Growth Avenues Ltd. Member- National Stock Exchange of India Ltd. | B-5, Super Tex Tower, Opp. Kinnery Cinema, Ring Road, Surat - 395 002 & Building No 4, 2nd Floor, Janata Appartments, Kuber Nagar 5, Warachha Road, Surat | Inspection                         | 200000                 | 03/08/2007                 |
| 170     | M K Srinivasan, Director, Karur Vysya Bank Ltd.                                                                                                                                               | Director, M/s Karur Vysya Bank Ltd., 393, Jawahar Bazar, karur - 639 002                                                                                  | Karur Vysya Bank Ltd.              | 50000                  | 07/08/2007                 |
| 171     | Pilliyar Pattiyar Textiles Ltd                                                                                                                                                                | 407-408, Anna Salai, Nandanam, Chennai - 600035                                                                                                           | DSQ Biotech Ltd.                   | 1000000                | 11/12/2007                 |
| 172     | A J Finance                                                                                                                                                                                   | 151, Chinswee Road, 09-08, manhattan House, Singapore - 169876                                                                                            | DSQ Biotech Ltd.                   | 50000                  | 28/12/2007                 |
| 173     | Southern Herbals Ltd (Company wound up and Official Liquidator appointed)                                                                                                                     | No. 64 Jigani Industrial Area, Anekal TQ, Bangalore- 562106                                                                                               | Investor Complaint                 | 500000                 | 30/01/2008                 |
| 174     | Greenfield Investment Ltd                                                                                                                                                                     | Happy World House, 7th Floor, Sir William Newton Street, Port Louis, Republic of Mauritius.                                                               | DSQ Biotech Ltd.                   | 1000000                | 05/02/2008                 |
| 175     | Sunil Shares & Stock Pvt. Ltd.                                                                                                                                                                | 206, 2 <sup>nd</sup> Floor, Rajani Bhawan, 569, M.G. Road, Indore-452001                                                                                  | Hindustan Continental Ltd.         | 200000                 | 30/04/2008                 |
| 176     | Optimates Textile Industries Ltd.                                                                                                                                                             | 101, 1st Floor, Shri Sagar Palace, 13, South Hathipala, Indore - 452007                                                                                   | Priyans Saree Industries Ltd.      | 200000                 | 29/05/2008                 |
| 177     | DPS Shares and Securities Pvt. Ltd.                                                                                                                                                           | R-414-A, 4th Floor, Rotunga Building, B. S. Marg, Fort, Mumbai - 400 02                                                                                   | Robinson Worldwide Trade Ltd.      | 400000                 | 28/07/2008                 |
| 178     | Vijay Hatankar                                                                                                                                                                                | 302/A, Sidhhi Apartment, 1 <sup>st</sup> Road, Shriprastha Complex, Nallasopara (W), Thane - 401203                                                       | SGSL                               | 100000                 | 30/09/2008                 |
| 179     | MD, Square D Textiles & Exports Ltd.                                                                                                                                                          | 407-408, Anna Salai, Nandanam, Chennai - 600 035                                                                                                          | DSQ Biotech Ltd.                   | 100000                 | 07/11/2008                 |
| 180     | MD, DSQ Industries Ltd.                                                                                                                                                                       | 407-408, Anna Salai, Nandanam, Chennai - 600 035                                                                                                          | DSQ Biotech Ltd.                   | 100000                 | 07/11/2008                 |
| 181     | MD, DSQ Holdings Ltd.                                                                                                                                                                         | 407-408, Anna Salai, Nandanam, Chennai - 600 035                                                                                                          | DSQ Biotech Ltd.                   | 100000                 | 11/11/2008                 |
| 182     | Liberty Stocklinks Pvt. Ltd. (Vilkas Mehta - Director)                                                                                                                                        | 33, Daitya Magri, Saheli Marg, Udaipur – 313 001                                                                                                          | Inspection                         | 400000                 | 20/11/2008                 |
| 183     | Kirit Pandya                                                                                                                                                                                  | B-20, Akshant Apartment, near Poojan Party Plot, Memnagar, Ahmedabad- 38005                                                                               | Shree Yaax Pharma & Cosmetics Ltd. | 300000                 | 24/11/2008                 |

| SL. NO. | NAME OF THE DEFAULTER                       | ADDRESS OF THE DEFAULTER                                                                                                                                                                                  | VIOLATION IN THE SCRIP OF | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|----------------------------|
| 184     | Sangam Infotech & its Directors             | Registered Office: 105/ 978, Ramabai Nagar, Ghatkopar (East), Mumbai – 400 07;<br>Correspondance Address: Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 185     | Mahesh Adsul, Dir. of Sangam Infotech       | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                          | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 186     | Vithal Patil, Dir. of Sangam Infotech       | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                          | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 187     | Adam Comsof & its Directors                 | Registered Office: 105/ 978, Ramabai Nagar, Ghatkopar (East), Mumbai – 400 07;                                                                                                                            | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 188     | Vikas Sethi, Dir. of Adam Comsof            | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                          | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 189     | Adsul Trading & its directors               | Registered Office: 105/ 978, Ramabai Nagar, Ghatkopar (East), Mumbai – 400 07;<br>Correspondance Address: Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 190     | Mahesh Adsul, Dir. of Adsul Trading         | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                          | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 191     | Vithal Patil, Dir. of Adsul Trading         | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                          | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 192     | Million Investrade & its Directors          | Registered Office: Rashmi Chawl, Room No.: 2, Shiv Tekri, Caves Road, Jogeshwari (E), Mumbai;<br>Correspondance Address: Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01 | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 193     | Nitin Sawant, Dir. of Million Investrade    | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                          | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 194     | Rajesh Sakharle, Dir. of Million Investrade | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                          | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |

| SL. NO. | NAME OF THE DEFAULTER                         | ADDRESS OF THE DEFAULTER                                                                                                                                                                                    | VIOLATION IN THE SCRIP OF | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|----------------------------|
| 195     | Structure Hsg & Finance & its Directors       | Registered Office: 1, Cosmos Commercial Centre, 2nd Floor, 3rd Road, Khar (W), Mumbai – 400 05; Correspondance Address: Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01    | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 196     | Nitin Sawant, Dir. of Structure Hsg           | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                            | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 197     | Hiralal Jadhav, Dir. of Structure Hsg         | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                            | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 198     | Mastermind Mercantile & Its Directors         | Registered Office: 1, Adhiraj Niwas, Ground Floor, M. L. Joshi Marg, Lower Parel, Mumbai – 400 01; Correspondance Address: Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01 | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 199     | Vilas Chougale, Dir. of Mastermind Mercantile | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                            | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 200     | Vithal Patil, Dir. of Mastermind Mercantile   | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                            | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 201     | Jugnu Sec. Pvt Ltd. & its directors           | Registered Office: 1, Cosmos Commercial Centre, 2nd Floor, 3rd Road, Khar (W), Mumbai – 400 05; Correspondance Address: Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01    | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 202     | Nitin Sawant, Dir of Jugnu Sec. Pvt. Ltd.     | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                            | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |
| 203     | Hiralal Jadhav, Dir of Jugnu Sec. Pvt. Ltd.   | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                            | Kolar Biotech Ltd.        | 100000                 | 29/11/2008                 |

| SL. NO. | NAME OF THE DEFAULTER                    | ADDRESS OF THE DEFAULTER                                                                                                                                                                                                 | VIOLATION IN THE SCRIP OF         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------|----------------------------|
| 204     | Albeli Trading Co. & its directors       | Registered Office: 11, Roshan Deep Apartment, 2nd Floor, Plot – 462, 15th Road, Khar – (W), Mumbai – 400 05;<br>Correspondance Address: Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01 | Kolar Biotech Ltd.                | 100000                 | 29/11/2008                 |
| 205     | Kalbadevi Finance Ltd. & its Directors   | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                                         | Kolar Biotech Ltd.                | 100000                 | 29/11/2008                 |
| 206     | Aravali Hsg. Fin & its Directors         | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                                         | Kolar Biotech Ltd.                | 100000                 | 29/11/2008                 |
| 207     | Maruti Gudulka, Dir. of Aravali Hsg. Fin | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                                                                                                                         | Kolar Biotech Ltd.                | 100000                 | 29/11/2008                 |
| 208     | DSQ Biotech Ltd.                         | Shanti Plaza, New No. 183, Old No. 79, 5th Floor, TTK Road, Alwarpet, Chennai - 600018                                                                                                                                   | DSQ Biotech Ltd.                  | 100000                 | 30/12/2008                 |
| 209     | Opee Stock Link Ltd.                     | 27/1, Cloth Commercial Centre, Sakar Bazaar, Ahmedabad - 380002                                                                                                                                                          | IPO Irregularities                | 2500000.00             | December 30, 2008          |
| 210     | Ashok K. Bagrecha                        | 27/1, Cloth Commercial Centre, Sakar Bazaar, Ahmedabad - 380002                                                                                                                                                          | IPO Irregularities                | 100000.00              | December 31, 2008          |
| 211     | Deepakkumar Shantilal Jain               | 97/1, Girdharnagar Society, Girdharnagar, Ahmedabad - 380004                                                                                                                                                             | IPO Irregularities                | 1000000.00             | December 31, 2008          |
| 212     | Umesh Choukekar                          | Sai Charan CHS, 4th Floor, 402, Telli Galli, N C Phadke Marg, Andheri (East), Mumba                                                                                                                                      | Karuna Cables Ltd (interim order) | 700000                 | 22/01/2009                 |
| 213     | Dattu Shitole                            | Room No 24, Datt Krupa Society, Plot No.44, Sec. No.6, New Panvel, Mumbai                                                                                                                                                | Karuna Cables Ltd (interim order) | 500000                 | 22/01/2009                 |
| 214     | Database Finance Ltd                     | 19/B Noble Chambers, S. A. Brelvi Road, Fort, Mumbai – 400 001                                                                                                                                                           | Database Finance Ltd              | 1500000                | 22/01/2009                 |
| 215     | Jayesh Waghela                           | Room No 28 Bldg No1, Gautam Ngr, Dadasaheb Phalke Rd, Dadar, Mumbai                                                                                                                                                      | Mega Corporation Ltd              | 500000                 | 28/01/2009                 |
| 216     | Umesh Choukekar                          | Sai Charan CHS, 4th Floor, 402, Telli Galli, N C Phadke Marg, Andheri (East), Mumba                                                                                                                                      | Mega Corporation Ltd              | 500000                 | 28/01/2009                 |
| 217     | Dattu Shitole                            | Room No 24, Datt Krupa Society, Plot No.44, Sec. No.6, New Panvel, Mumbai                                                                                                                                                | Mega Corporation Ltd              | 500000                 | 28/01/2009                 |
| 218     | Shri Jagdish Savaji                      | 2955, Ambali Pole, Jhawar Wadi, Relief Road, Ahmedabad - 380001                                                                                                                                                          | Shalibhadra Infosec Ltd.          | 100000                 | 04/03/2009                 |
| 219     | Arwind Makwana                           | 7/146, Muncipal Slum Quarters, Opp. Tirupati Estate, Saraspur Ahmedabad                                                                                                                                                  | Karuna Cables Ltd (interim order) | 1000000                | 16/03/2009                 |

| SL. NO. | NAME OF THE DEFAULTER                   | ADDRESS OF THE DEFAULTER                                                                                                           | VIOLATION IN THE SCRIP OF           | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|------------------------|----------------------------|
| 220     | Naidu Mohanbhai Narayanbhai             | Block No. 74/1772, Gujarat Housing Board, Meghani Nagar, Ahmedabad - 16                                                            | Karuna Cables Ltd                   | 1000000                | 17/03/2009                 |
| 221     | Prajapati G Punamchand                  | Sanskriti Trade Link, 59, Hirabhai Market, Diwan Ballubhai Road, Ahmedabad - 22                                                    | Karuna Cables Ltd (interim order)   | 1000000                | 16/03/2009                 |
| 222     | Anil D Modi                             | 1264, Bhaiyani Bari, Anand Chowk, Shamlani Pole, Raipur, Ahmedabad - 380001                                                        | Oasis Media Matrix Ltd.             | 2500000                | 16/03/2009                 |
| 223     | Vrajeshkumar R Patel                    | 4, Patel Society, Khanpur, Ahmedabad - 380001                                                                                      | Oasis Media Matrix Ltd.             | 2500000                | 17/03/2009                 |
| 224     | Ganesh Raut                             | Baburao Pahilvan Chawl, Lane No - 1/13, Sahar Road, Koldongri, Andheri (E), Mumbai                                                 | Mega Corporation Ltd                | 1000000                | 18/03/2009                 |
| 225     | Vivekanand Patankar                     | Flat No E-5 1st Flr, Sai Wadi Vikas Soc, Telligully, N S Phadke Rd Andheri (E), Mumbai                                             | Mega Corporation Ltd                | 2500000                | 18/03/2009                 |
| 226     | Bankesh Bhavsar                         | 2181, Bukhara Ni Pole, Delhi Chakla, Shahpur, Ahemdabad-380 001                                                                    | OMML-1                              | 200000                 | 23/03/2009                 |
| 227     | Vivekanand Patankar                     | Flat No E-5 1st Flr, Sai Wadi Vikas Soc, Telligully, N S Phadke Rd Andheri (E), Mumbai                                             | Mega Corporation Ltd                | 1000000                | 24/03/2009                 |
| 228     | Mr. Emmanuel Anthraper                  | 16, White House, Navghar, Vasai Road, Thane.                                                                                       | (In the m/o Yashraj Securities Ltd) | 60000                  | 25/03/2009                 |
| 229     | Ganesh Raut                             | Baburao Pahilvan Chawl, Lane No - 1/13, Sahar Road, Koldongri, Andheri (E), Mumbai                                                 | Mega Corporation Ltd                | 1000000                | 25/03/2009                 |
| 230     | Amit Bhavsar                            | 20, Nilkant Apartment, Chandranagar Society, Maninagar, Ahemdabad-380 008                                                          | OMML-1                              | 200000                 | 26/03/2009                 |
| 231     | Asif Chippa *                           | Room No. 3,4th Floor<br>Chistya Apartment<br>Opp Old FD. High School<br>Sindhiwar, Jamalpur<br>Ahmedabad 380 001                   | Oasis Media Matrix Ltd I            | 200000                 | 26/03/2009                 |
| 232     | Ahilya Commercial Pvt. Ltd (Member CSE) | Ahilya Commercial Pvt. Ltd (Member CSE) having address at 29A, Weston Street, 3 <sup>rd</sup> Floor, Room.No. C2, Kolkata – 700012 | Sarang Viniyog Ltd.                 | 300000                 | 08/04/2009                 |
| 233     | Apnapan Vyapar Pvt. Ltd. (Client)       | 137, Cotton Street, Kolkata - 7 and 24A, Vivekananda Road, Kolkata - 7                                                             | Patriot Trading Ltd.                | 100000                 | 13/04/2009                 |
| 234     | TUSHAR JHAVERI                          | A-11, Lavanya Apartment, Lavanya Society, Vasana, Ahmedabad (Gujrat)                                                               | ENRICH INDUSTRIES LTD               | 500000                 | 13/04/2009                 |
| 235     | Kajol Impex Ltd.                        | Vaghela Farm, Near Bagwat Petrol Pump, Gota Chokdi, Gota, Ahmedabad.                                                               | Shree Yaax Pharma & Cosmetics Ltd.  | 2500000                | 17/04/2009                 |

| SL. NO. | NAME OF THE DEFAULTER                       | ADDRESS OF THE DEFAULTER                                                                                        | VIOLATION IN THE SCRIP OF                          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------|------------------------|----------------------------|
| 236     | Deepak Narvekar                             | R N 5 Ballu Bhaiya Chawl, Lane No 1, Koldongri Sahar Road, Andheri East Mumbai                                  | Mega Corporation Ltd                               | 2500000                | 17/04/2009                 |
| 237     | Santosh Narvekar                            | Ballu Yadav Chawl, Room No. 11, Koldongari, Sahar Road, Andheri (E), Mumbai                                     | Mega Corporation Ltd                               | 2500000                | 20/04/2009                 |
| 238     | Ajit Suryavanshi                            | Room No. 4, Jagdhari Yadav Chawl, Sahar Road, Koldongri, Andheri (E) Mumbai 69                                  | Mega Corporation Ltd                               | 2500000                | 20/04/2009                 |
| 239     | Anil Kantilal Shah                          | 23, Narayan Chambers, Nr. Patang Hote, Nehrubridge, Ashram Road, Ahmedabad- 380 009                             | OMML-1                                             | 200000                 | 22/04/2009                 |
| 240     | Nirav Pandya                                | 6, Gajanan Colony, Near Daxini Society, Maninagar, Ahmedabad – 380 008                                          | OMML-1                                             | 500000                 | 23/04/2009                 |
| 241     | Usha India Ltd.                             | Usha (India) Limited,12/1, Mathura road,Faridabad-121 003                                                       | Violation of Clause 49 of Equity Listing Agreement | 500000                 | 27/04/2009                 |
| 242     | Usha Iron and Ferro Metals Corporation Ltd. | Usha Iron and Ferro Metals Corporation Limited,B-2/100, Usha House, (Sher Shah Sun Marg),MCIE,New Delhi- 110044 | Violation of Clause 49 of Equity Listing Agreement | 500000                 | 27/04/2009                 |
| 243     | Shri Brij Khanna                            | Community Service Centre, 8/33-34, Grant Bldg.,Arthur Bunder Rd, Colaba, Mumbai - 4000 005                      | Blue Information Technology Ltd.                   | 200000                 | 27/04/2009                 |
| 244     | Kingfisher Overseas Ltd.                    | Community Service Centre, 8/33-34, Grant Bldg.,Arthur Bunder Rd, Colaba, Mumbai - 4000 005                      | Blue Information Technology Ltd.                   | 200000                 | 27/04/2009                 |
| 245     | Vatsa Music Ltd.                            | Managing Director, Vatsa Music Limited, Vatsa house, 5th floor, Janma Bhoomi Marg, Fort, Mumbai-400 001         | Violation of Clause 49 of Equity Listing Agreement | 100000                 | 28/04/2009                 |
| 246     | B N Das (Client)                            | B N Das having address at 29A, Weston Street, 1 <sup>st</sup> Floor, Kolkata – 700012,                          | Sarang Viniyog Ltd.                                | 1000000                | 04/05/2009                 |
| 247     | Vijay Suryavanshi                           | Room No. 4, Jagdhari Yadav Chawl, Sahar Road, Koldongri, Andheri (E) Mumbai 69                                  | Mega Corporation Ltd                               | 1000000                | 06/05/2009                 |
| 248     | Poorva Trexim Private Limited               | Poorva Trexim Private Limited (Client) having address at Harikishan Nagar, D S Lane, Howrah                     | Bacchhat Investment Ltd.                           | 2500000                | 08/05/2009                 |
| 249     | Vasant C Shah                               | B/12, Ratri Sagar Complex,Opposite Gulab Tower, Thaltej,Ahmedabad                                               | OMML-1                                             | 500000                 | 19/03/2009                 |
| 250     | Parshwa Finance                             | 276, Opp Lahariya Pole, Relief Road, Zaveriwadi, Ahmedabad-380 001                                              | Havells India Ltd                                  | 100000                 | 20/05/2009                 |
| 251     | Abhishek Khare                              | C/o Sunil Shares and Stock Pvt. Ltd., 206, Rajani Bhavan, 569, M.G.Road Indore, Madhya Pradesh                  | Kwality Dairy (India) Ltd.                         | 100000                 | 21/05/2009                 |

| SL. NO. | NAME OF THE DEFAULTER              | ADDRESS OF THE DEFAULTER                                                                                                                | VIOLATION IN THE SCRIP OF        | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|------------------------|----------------------------|
| 252     | Laxmanbhai Patel                   | 28/30, Baroda Street, Masjid Bunder, Mumbai - 400 009                                                                                   | Kwality Dairy (India) Ltd.       | 50000                  | 22/05/2009                 |
| 253     | P.J. Chaudhary                     | 602, Mukta Building, 15th Road, Khar (West), Mumbai-400052                                                                              | Karuna Cables Ltd. Interim order | 700000                 | 25/05/2009                 |
| 254     | Pramod Kumar Kothari (Member CSE)  | Pramod Kumar Kothari (Member CSE)                                                                                                       | Cyber Family. Com Ltd.           | 300000                 | 02/06/2009                 |
| 255     | Shri Dhaval Jhaveri                | 333, Sarvodaya Commercial Centre, Salapose Road, Near G.P.O., Ahmedabad- 380001                                                         | Blue Information Technology Ltd. | 100000                 | 04/06/2009                 |
| 256     | Bhagwandas Aggarwal (HUF)          | Mittal Bhavan, Gouri Shankar Mandir Road, Raigarh, Chattisgarh – 49600                                                                  | Coromandal Fertilizers Ltd.      | 500000                 | 09/06/2009                 |
| 257     | K Venkatraman                      | C-903, Jalavayu Vihar, Powai, Mumbai- 400 07                                                                                            | Coromandal Fertilizers Ltd.      | 500000                 | 09/06/2009                 |
| 258     | PK Agarwal & Co.                   | PK Agarwal & Co.                                                                                                                        | Cyber Family. Com Ltd.           | 500000                 | 17/06/2009                 |
| 259     | Dattu Shitole                      | Room No 24, Datt Krupa Society, Plot No.44, Sec. No.6, New Panvel, Mumbai                                                               | Mega Corporation Ltd             | 1500000                | 22/06/2009                 |
| 260     | Umesh Choukekar                    | Sai Charan CHS, 4th Floor, 402, Telli Galli, N C Phadke Marg, Andheri (East), Mumba                                                     | Mega Corporation Ltd             | 1500000                | 23/06/2009                 |
| 261     | Jayesh Waghela                     | Room No 28 Bldg No1, Gautam Ngr, Dadasaheb Phalke Rd, Dadar, Mumbai                                                                     | Mega Corporation Ltd             | 1500000                | 23/06/2009                 |
| 262     | Rajesh Panchal                     | Room No.77, 2nd Floor,48 Noor Manzil, 6,Khubawada, Girgaon, Mumbai 400004                                                               | Fast Track Entertainment Ltd.    | 700000                 | 24/06/2009                 |
| 263     | Bimex Exports P Ltd (Client)       | Bimex Exports P Ltd (Client)                                                                                                            | Cyber Family. Com Ltd.           | 300000                 | 01/07/2009                 |
| 264     | Sarash Trading P Ltd (Client)      | Sarash Trading P Ltd (Client)                                                                                                           | Cyber Family. Com Ltd.           | 300000                 | 01/07/2009                 |
| 265     | Swarnganga Trading Private Limited | Swarnganga Trading Private Limited                                                                                                      | Nageshwar Investment Ltd.        | 300000                 | 02/07/2009                 |
| 266     | Anushree Tradelink Private Limited | Anushree Tradelink Private Limited (Client) having address at Stephen House, 4, B. B. D. (East), 5th Floor, Room No. 77, Kolkata 700001 | Nageshwar Investment Ltd.        | 300000                 | 02/07/2009                 |
| 267     | Millennium insurance Agent P Ltd   | Millennium insurance Agent P Ltd                                                                                                        | Nageshwar Investment Ltd.        | 1000000                | 02/07/2009                 |
| 268     | Ahilya Commercial Pvt. Ltd         | Ahilya Commercial Pvt. Ltd                                                                                                              | Minolta Finance Ltd              | 200000                 | 09/07/2009                 |
| 269     | Rajendra Prasad Shah               | 291A, Rabindra Sarani, Kolkata                                                                                                          | Minolta Finance Ltd              | 400000                 | 09/07/2009                 |
| 270     | Ballab Dass Daga                   | Ballab Dass Daga                                                                                                                        | Minolta Finance Ltd              | 300000                 | 09/07/2009                 |
| 271     | Ajit Suryavanshi                   | Room No. 4, Jagdhari Yadav Chawl, Sahar Road, Koldongri, Andheri (E) Mumbai 69                                                          | Mega Corporation Ltd             | 1000000                | 15/07/2009                 |
| 272     | Vijay Suryavanshi                  | Room No. 4, Jagdhari Yadav Chawl, Sahar Road, Koldongri, Andheri (E) Mumbai 69                                                          | Mega Corporation Ltd             | 1000000                | 16/07/2009                 |

| SL. NO. | NAME OF THE DEFAULTER             | ADDRESS OF THE DEFAULTER                                                                                                                 | VIOLATION IN THE SCRIP OF         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------|----------------------------|
| 273     | Santosh Narvekar                  | Ballu Yadav Chawl, Room No. 11, Koldongari, Sahar Road, Andheri (E), Mumbai                                                              | Mega Corporation Ltd              | 1000000                | 16/07/2009                 |
| 274     | Prasad Tandel                     | 10/118, labour Camp, Sasmira Marg, Worli, Mumbai-400 025                                                                                 | Fast Track Entertainment Ltd.     | 500000                 | 23/07/2009                 |
| 275     | Ahilya Commercial Pvt. Ltd        | Ahilya Commercial Pvt. Ltd                                                                                                               | Brahmanand Himghar Ltd            | 100000                 | 24/07/2009                 |
| 276     | Rajesh Panchal                    | Room No.77, 2nd Floor,48 Noor Manzil, 6,Khubawada, Girgaon, Mumbai 400004                                                                | Fast Track Entertainment Ltd.     | 500000                 | 24/07/2009                 |
| 277     | Tejash Ghelani                    | 4-A, New Ramniwas, 1st Floor, Mumbai-86                                                                                                  | K Sera Sera Productions Ltd.      | 2300000                | 30/07/2009                 |
| 278     | Manoj T Shah                      | 84, Jain Temple, Taluk Kalol, Pansar, Mehsana, Gujarat- 382740                                                                           | WOL-1                             | 250000                 | 07/08/2009                 |
| 279     | Harish kapadia                    | D-204, Ayojan Nagar, Liberty garden, Rd No 4, malad West, Mumbai 400064                                                                  | WOL-1                             | 150000                 | 07/08/2009                 |
| 280     | Harikishan Hiralal                | R-638,Rotunda Bldg., 6th floor, B.S. Marg, Fort, Mumbai - 400023                                                                         | Gravity India Ltd                 | 305000                 | 10/08/2009                 |
| 281     | Ahilya Commercial Pvt. Ltd        | Ahilya Commercial Pvt. Ltd                                                                                                               | Twenty First Century (India) Ltd  | 300000                 | 11/08/2009                 |
| 282     | Dharnendra Industries Ltd.        | 2nd Floor, Chakravarty Complex, Vijay Char Rasta, Navarangpura, Ahmedabad- 380006                                                        | Delay in demat of shares          | 2674000                | 13/08/2009                 |
| 283     | Zillion Pharmachem Ltd.           | IOL House, Near Swati Apartment, Ambawadi Bazaar, Ahmedabad- 380006                                                                      | Delay in demat of shares          | 1316000                | 13/08/2009                 |
| 284     | Deepak Narvekar                   | R N 5 Ballu Bhaiya Chawl, Lane No 1, Koldongri Sahar Road, Andheri East Mumbai                                                           | Mega Corporation Ltd              | 500000                 | 14/08/2009                 |
| 285     | Arwind Makwana                    | 7/146, Muncipal Slum Quarters, Opp. Tirupati Estate, Saraspur Ahmedabad                                                                  | Karuna Cables Ltd (interim order) | 200000                 | 14/08/2009                 |
| 286     | Naidu Mohanbhai Narayanbhai       | Block No. 74/1772, Gujarat Housing Board, Meghani Nagar, Ahmedabad - 16                                                                  | Karuna Cables Ltd                 | 200000                 | 14/08/2009                 |
| 287     | Harikishan Hiralal Shares         | R-638, Rotunda Bldg. 6th Floor, B.S. Marg, Fort, Mumbai -400 023                                                                         | Zigma Software Ltd.               | 500000                 | 14/08/2009                 |
| 288     | DPS Shares & Securities Pvt. Ltd. | 428, Rawal Building no. 1,1st Floor, Lamington Road,Mumbai – 400 004                                                                     | Zigma Software Ltd.               | 500000                 | 14/08/2009                 |
| 289     | Rajiv Acharya                     | A-1 Kalindi Apartments, Jayamala Busstop, Isanpur Road, Maninagar, Ahemdabad - 380008                                                    | Softrak technology Export Ltd     | 200000                 | 20/08/2009                 |
| 290     | Esskey Rubber Industries Ltd.     | 419, Hind Rajasthan Building, Dadasaheb Phalke Road, Dadar (East), Mumbai - 400014                                                       | Konkan Tyres Ltd.                 | 300000                 | 26/08/2009                 |
| 291     | Orbit Securities Pvt. Ltd.        | Orbit Securities Pvt. Ltd. - 1, Sheel Complex, Mayur Colony, Near Textile Traders Co-operative Bank Ltd. Navrangpura, Ahmedabad - 380009 | Shalibhadra Infosec Ltd.          | 200000                 | 31/08/2009                 |

| SL. NO. | NAME OF THE DEFAULTER              | ADDRESS OF THE DEFAULTER                                                                                  | VIOLATION IN THE SCRIP OF                          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------|------------------------|----------------------------|
| 292     | Patric Xess                        | Crayon Advertising Ltd, B-23, Greater Kailash I, New Delhi – 110048                                       | Mega Corporation Ltd.                              | 500000                 | 10/09/2009                 |
| 293     | Laxman Singh Saijari               | Qtr. No. 1267, Sector -3, M. B. Vihar, Pushp Vihar, New Delhi - 110017                                    | Mega Corporation Ltd.                              | 500000                 | 10/09/2009                 |
| 294     | Tejas Ghelani                      | 4/A, New Ram Niwas, Navroj Land, Ghatkopar (West), Mumbai-400086                                          | Adani Exports Ltd -2003                            | 50000                  | 10/09/2009                 |
| 295     | Anushree Tradelink Private Limited | Anushree Tradelink Private Limited                                                                        | Shree Nidhi Trading Co. Ltd                        | 300000                 | 17/09/2009                 |
| 296     | Tejas Ghelani                      | 41/A, New Ramnivas, Navroji Lane, Ghatkopar (W), Mumbai 86                                                | Gravity India Ltd.                                 | 450000                 | 23/09/2009                 |
| 297     | Ahilya Commercial Pvt. Ltd         | Ahilya Commercial Pvt. Ltd                                                                                | Parbati Holdings Ltd                               | 300000                 | 24/09/2009                 |
| 298     | Ballab Dass Daga                   | Ballab Dass Daga                                                                                          | Parbati Holdings Ltd                               | 600000                 | 24/09/2009                 |
| 299     | Ballab Dass Daga                   | Ballab Dass Daga                                                                                          | Konark Commerce Industries Ltd                     | 100000                 | 29/09/2009                 |
| 300     | Mr. Jayantilal Jitmal              | 34, Khetan Tower,Camp Road,Shahibaug,Ahmedabad – 380 004                                                  |                                                    | 3100000                | 29/09/2009                 |
| 301     | Prashant Narvekar                  | Swati Manor, B-Wing, 1/7, JK Sawant Marg, Opp. Shivaji Madir , Dadar, Mumbai-25                           | Fast Track Entertainment                           | 100000                 | 06/10/2009                 |
| 302     | Prashant Narvekar                  | Swati Manor, B-Wing, 1/7, JK Sawant Marg, Opp. Shivaji Madir , Dadar, Mumbai-25                           | Fast Track Entertainment                           | 250000                 | 06/10/2009                 |
| 303     | Arihant Industries Ltd.            | Managing Director, Arihant Industries Limited,B-35, Phase V, Focal Point, Dhandari Kalan, Ludhiana-141010 | Violation of Clause 49 of Equity Listing Agreement | 500000                 | 09/10/2009                 |
| 304     | Rajesh K Shah                      | 28/30 Baroda Street, Office No 9, Masjid Bunder, Mumbai 400009                                            | WOL-1                                              | 100000                 | 09/10/2009                 |
| 305     | Vikas Narnavar                     | C/151, Shastri Nagar, Vibhag C, Sion, Koliwada, Mumbai -22                                                | Somani Cements                                     | 100000                 | 22/10/2009                 |
| 306     | Prashant Narvekar                  | Swati Manor, B-Wing, 1/7, JK Sawant Marg, Opp. Shivaji Madir , Dadar, Mumbai-25                           | Shree Yaax Pharma                                  | 250000                 | 22/10/2009                 |
| 307     | Prashant Narvekar                  | Swati Manor, B-Wing, 1/7, JK Sawant Marg, Opp. Shivaji Madir , Dadar, Mumbai-25                           | Fast Track Entertainment                           | 250000                 | 23/10/2009                 |
| 308     | Bhanuben Jaisukhlal Shah           | 3/12, Rajendra Prakash, 3, S L Road, Dr. R P road, Mulund (West), Mumbai- 400 080                         | Alpha Hi Tech Fuel Ltd                             | 500000                 | 23/10/2009                 |
| 309     | Vikas Narnavar                     | C/151, Shastri Nagar, Vibhag C, Sion, Koliwada, Mumbai -22                                                | Shree Yaax Pharma                                  | 50000                  | 26/10/2009                 |
| 310     | Prashant Narvekar                  | Swati Manor, B Wing, 1/7 J.K. Sawant Road, Dadar, Mumbai 400 022                                          | JK Industries Ltd.                                 | 300000                 | 26/10/2009                 |
| 311     | Clip Securities Pvt. Ltd.          | Office No. 211, 2nd Floor, 407 Daulat Bhavan, Next to New Hanuman Gali, Kalbadevi Road, Mumbai - 400 002  | Prudential Pharmaceuticals Ltd.                    | 1500000                | 27/10/2009                 |
| 312     | Arshad Afzal Khan (PAN ADVDK6755C) | Office No. 211, 2nd Floor, 407 Daulat Bhavan, Next to New Hanuman Gali, Kalbadevi Road, Mumbai - 400 002  | Prudential Pharmaceuticals Ltd.                    | 700000                 | 27/10/2009                 |

| SL. NO. | NAME OF THE DEFAULTER               | ADDRESS OF THE DEFAULTER                                                                                 | VIOLATION IN THE SCRIP OF        | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------|----------------------------------------------------------------------------------------------------------|----------------------------------|------------------------|----------------------------|
| 313     | Rachana Bhanadaram (PAN AERPB1194B) | Office No. 211, 2nd Floor, 407 Daulat Bhavan, Next to New Hanuman Gali, Kalbadevi Road, Mumbai - 400 002 | Prudential Pharmaceuticals Ltd.  | 700000                 | 27/10/2009                 |
| 314     | NM Lohia & Co                       | NM Lohia & Co                                                                                            | Quest Financial Services Ltd     | 400000                 | 28/10/2009                 |
| 315     | Sunil Gaglani                       | 4 Amritdhara Apartments, Rambaug, Maninagar, Ahemdabad - 380008                                          | Softtrak technology Export Ltd   | 1500000                | 28/10/2009                 |
| 316     | M/s. Gamma Infoway Exalt Ltd        | 58, Goa Mansion, Goa street, 1st Floor, Fort, Mumbai – 40000                                             | Delay in demat of shares         | 1200000                | 28/10/2009                 |
| 317     | Ashapuran Binimay Pvt. Ltd          | Ashapuran Binimay Pvt. Ltd                                                                               | Minolta Finance Ltd              | 500000                 | 29/10/2009                 |
| 318     | Ektaa Vyapaar Pvt Ltd               | Ektaa Vyapaar Pvt Ltd                                                                                    | Minolta Finance Ltd              | 500000                 | 29/10/2009                 |
| 319     | ManojBhai Bhavsar Kanaiyalal        | 2201, Mohandas Pole, Delhi Chakla, shahpur, Ahmedabad- 380 001                                           | Alpha Hi tech Fuel Ltd.          | 100000                 | 04/11/2009                 |
| 320     | Ramesh Jain                         | 16, Venue Apartment, B J Deorukhkar Road, Kesar Baugh, Naigaon, Dadar (E), Mumbai - 400 014              | Alpha Hi tech Fuel Ltd.          | 100000                 | 04/11/2009                 |
| 321     | Jatin Shah *                        | 1208, Shamlani Pole, Raipur Ahemdabad-380 001                                                            | Oasis Media Matrix Ltd II        | 1000000                | 09/11/2009                 |
| 322     | Varshaben J Shah *                  | 1208, Shamlani Pole, Raipur Ahemdabad-380 001                                                            | Oasis Media Matrix Ltd II        | 500000                 | 09/11/2009                 |
| 323     | PK Agarwal & Co.                    | 34,Ezra Street, Kolkata - 700001                                                                         | Sudama Trading & Investment Ltd  | 150000                 | 10/11/2009                 |
| 324     | Dynamic Securities Ltd              | No.-5, Damodaran Street, Kellys, Chennai- 600 0                                                          | IQ Infotech Ltd.                 | 100000                 | 12/11/2009                 |
| 325     | Ranger Investments & Leasing Ltd.   | Community Service Centre, 8/33-34, Grant Bldg.,Arthur Bunder Rd, Colaba, Mumbai - 4000 005               | Blue Information Technology Ltd. | 100000                 | 12/11/2009                 |
| 326     | Bhairab Dutt Naliwal                | 89, Burari Parvatia Ancha Sant Nagar, New Delhi- 110053                                                  | GHCL Ltd.                        | 50000                  | 13/11/2009                 |
| 327     | Vinay Kumar Mehta                   | C/o Mr. Jilley Singh, F-29, Saidulajab Village, M.B. Road, Delhi- 110019                                 | GHCL Ltd.                        | 50000                  | 13/11/2009                 |
| 328     | Alok Srivastava                     | House No. 364, Sector-5, Mohan Meakin Society, Ghaziabad- 201012                                         | GHCL Ltd.                        | 50000                  | 13/11/2009                 |
| 329     | Pradeep Kumar                       | House No. 16-F, Pocket B-2, Mayur Vihar, Phase 3, Delhi-110096                                           | GHCL Ltd.                        | 50000                  | 13/11/2009                 |
| 330     | Asif Chippa                         | Room No. 3,4th Floor, Chistya Apartment, Opp Old FD. High School, Sindhiwar, Jamalpur, Ahmedabad 380 001 | OMML-1                           | 200000                 | 13/11/2009                 |
| 331     | Right Finstock Pvt. Ltd.            | C/161, Kamdhenu Complex, Panjarapole, Ambavadi, Ahmedabad - 380015                                       | Innovision eCommerce Ltd.        | 1000000                | 16/11/2009                 |

| SL. NO. | NAME OF THE DEFAULTER                                                              | ADDRESS OF THE DEFAULTER                                                                                                                              | VIOLATION IN THE SCRIP OF                                                             | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------|----------------------------|
| 332     | New Leader Trading Co. Pvt. Ltd.                                                   | C/O Umesh Choukekar, Director, Sai Charan Chs, 4th Floor, 402, Telli Galli, N C Phadke Marg, Andheri (E) , Mumbai                                     | Karuna Cables Ltd. Interim order                                                      | 100000                 | 19/11/2009                 |
| 333     | Smita Nagda                                                                        | Harison Compound,Near Godrej Petrol Pump,LBS Marg, Vikhroli (West), Mumbai – 400079 OR D/1, Sidpura Ind,Amrut Nagar,Ghatkopar (west), Mumbai – 400086 | Amit International Ltd.                                                               | 1000000                | 19/11/2009                 |
| 334     | Vasant Kumar Bissa                                                                 | Latiyal Bhavan, Pt. Solicitor Marg, Panlal, Umediram Chowk, Malad, (E) Mumbai                                                                         | Garavity India Ltd.                                                                   | 105000                 | 25/11/2009                 |
| 335     | Shri Narendra Shah and M/s Drishti Securities Pvt. Ltd. (Director - Narendra Shah) | D/28, Flat No.205, Yoginagar, Eksar Road, Borivali (West), Mumbai - 400 091                                                                           | Suryadeep Salt Refinery and Chemicals Works Limited                                   | 500000                 | 25/11/2009                 |
| 336     | Ramesh Jain                                                                        | 16, Venue Apartment, B J Deorukhkar Road, Naigoan, Dadar (E) Mumbai- 14                                                                               | WOL-1                                                                                 | 40000                  | 26/11/2009                 |
| 337     | Olypab Commercial Pvt. Ltd                                                         | Olypab Commercial Pvt. Ltd                                                                                                                            | S.T.Services Ltd                                                                      | 300000                 | 30/11/2009                 |
| 338     | Kamini Vyapaar Pvt. Ltd                                                            | Kamini Vyapaar Pvt. Ltd                                                                                                                               | S.T.Services Ltd                                                                      | 200000                 | 30/11/2009                 |
| 339     | Niraj Vyapaar Pvt. Ltd                                                             | Niraj Vyapaar Pvt. Ltd                                                                                                                                | S.T.Services Ltd                                                                      | 200000                 | 30/11/2009                 |
| 340     | Ahilya Commercial Pvt. Ltd                                                         | Ahilya Commercial Pvt. Ltd                                                                                                                            | Limtex Investments Ltd                                                                | 500000                 | 01/12/2009                 |
| 341     | Ballab Dass Daga                                                                   | Ballab Dass Daga                                                                                                                                      | Limtex Investments Ltd                                                                | 300000                 | 01/12/2009                 |
| 342     | Mrigtrishna Consultants Pvt Ltd                                                    | Mrigtrishna Consultants Pvt Ltd                                                                                                                       | Coronet Industries Ltd                                                                | 200000                 | 01/12/2009                 |
| 343     | Bimex Exports P Ltd                                                                | Bimex Exports P Ltd                                                                                                                                   | Konark Commerce Industries Ltd                                                        | 400000                 | 01/12/2009                 |
| 344     | Pramod Kumar Agarwal                                                               | N/A                                                                                                                                                   | In respect of Ahilya Commercial Pvt. Ltd in the matter of M/s Limtex Investments Ltd. | 250000                 | 01/12/2009                 |
| 345     | Pramod Kumar Agarwal                                                               | N/A                                                                                                                                                   | Kay Vee Aar Ltd                                                                       | 300000                 | 03/12/2009                 |
| 346     | Prakash D'Souza                                                                    | 204, Shivali Apartment, B-34, Shanti Park, Mira road (E), 401107                                                                                      | JIK Industries Ltd.                                                                   | 200000                 | 08/12/2009                 |
| 347     | Aadit Medicine Ltd.                                                                | 310,311 Mahakant Building, Near V S Hospital, Ellis Bridge,Ahmedabad – 380006                                                                         | Blue Information Technology Ltd.                                                      | 150000                 | 11/12/2009                 |
| 348     | Beta Agro Industries Ltd.                                                          | 62, Lav Kush Towers, Drive-in Road, Ahmedabad - 380054                                                                                                | Blue Information Technology Ltd.                                                      | 300000                 | 11/12/2009                 |
| 349     | Unique Lease Finance Ltd.                                                          | 202, Prasad Chamber, pratap Road, Raopura, Vadodara -390 001                                                                                          | FTEL (Fast Track Entertainment)                                                       | 150000                 | 14/12/2009                 |
| 350     | M/s.Suman Motels Limited,                                                          | 208, Parshva Chambers,19/21 Essaji Street, Vadgadi, Mumbai – 40000                                                                                    | Delay in demat of shares                                                              | 3000000                | 14/12/2009                 |

| SL. NO. | NAME OF THE DEFAULTER         | ADDRESS OF THE DEFAULTER                                                                                                    | VIOLATION IN THE SCRIP OF         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------|----------------------------|
| 351     | Parasmal Rampuria             | G R Magnest Ltd. 57/2 f, Diamond Harbour Raod, Kolkata - 700 023                                                            | Zigma Software Ltd.               | 300000                 | 15/12/2009                 |
| 352     | G R Magnets Ltd.              | G R Magnest Ltd. 57/2 f, Diamond Harbour Raod, Kolkata - 700 023/ 305, Jessore Road, Dumdum Ground Floor, Kolkata – 700 048 | Zigma Software Ltd.               | 400000                 | 15/12/2009                 |
| 353     | Prasad Tandel                 | ACSPT7007J                                                                                                                  | Shree Yaax pharma & Cosmetics Ltd | 500000                 | 16/12/2009                 |
| 354     | Prasad Tandel                 | 10/118, labour Camp, Sasmira Marg, Worli, Mumbai-400 025                                                                    | Shree Yaax Pharma                 | 500000                 | 16/12/2009                 |
| 355     | Rajesh panchal                | Room.NO. 77, 2nd Floor, 48, Noor Manzil, 6, Khubawada, Girgaon, Mumbai -04                                                  | Shree Yaax Pharma                 | 200000                 | 16/12/2009                 |
| 356     | Vikas Narnavar                | C/151, Shastri Nagar, Vibhag C, Sion, Koliwada, Mumbai -22                                                                  | Shree Yaax Pharma                 | 60000                  | 16/12/2009                 |
| 357     | Nagendra M. Patel             | A/26, Punit Nagar, Nr. Mahesh Nagar, Waghodia Road, Vadodara - 390019                                                       | Somani Cement Company Ltd.        | 200000.00              | December 17, 2009          |
| 358     | Devjibhai Parmar              | Ganpati Niwas, Nagnath Gate, Opp. Tin Factore, Jamnagar, Gujarat - 361 001                                                  | Somani Cements compnay Ltd.       | 200000                 | 17/12/2009                 |
| 359     | Narendra Muljibhai Patel      | A/26, Pnit Nagar, Near Mahesh Nagar, Waghodia Road, Vadodara                                                                | Somani Cements compnay Ltd.       | 200000                 | 17/12/2009                 |
| 360     | Vijay Singh Bhandari          | 580, 10th C Road, Sardarpura, Jodhpur, PIN: 342 001                                                                         | Axel Polymers Ltd.                | 400000                 | 18/12/2009                 |
| 361     | Ramesh Jain                   | 16, Venue Apartment, B J Deorukhkar Road, Naigoan, Dadar (E) Mumbai- 14                                                     | Somani Cements compnay Ltd.       | 60000                  | 21/12/2009                 |
| 362     | Shri Vikas Gourihar Narnavar  | C – 151, Shastri Nagar, Vibhag – C, Sion, Koliwada, Mumbai – 400022                                                         | Eltrol Ltd.                       | 500000                 | 21/12/2009                 |
| 363     | T H Vakil Shares & Securities | B-101, Rajshree Avenue, near Dinesh Hall, Ashram Road, Ahmedabad -380 009                                                   | FTL (Fast Track Entertainment)    | 200000                 | 22/12/2009                 |
| 364     | T H Vakil Shares & Securities | B-101, Rajshree Avenue, near Dinesh Hall, Ashram Road, Ahmedabad -380 009                                                   | FTL (Fast Track Entertainment)    | 500000                 | 22/12/2009                 |
| 365     | Bimlesh Kumar Mishra          | C/O of Somani Cements, opp. Railway Station, Kharsaliya, Distt. Panchmahal, Gujarat - 389 340                               | Somani Cements compnay Ltd.       | 700000                 | 23/12/2009                 |
| 366     | Somani Cements Company Ltd.   | opp. Railway Station, Kharsaliya, Distt. Panchmahal, Gujarat - 389 340                                                      | Somani Cements compnay Ltd.       | 700000                 | 23/12/2009                 |
| 367     | Yogini Patel                  | 14, Patel Scoiety, Near Bhorshali Appt, Khanpur, Ahmedabad 380 001                                                          | OMML-1                            | 100000                 | 29/12/2009                 |
| 368     | Dipak Kumar Shah              | 2167, Jund Mahajan Vado, Near Swaminarayan Temple, Kalupur, Ahmedabad - 380001                                              | Eltrol Ltd.                       | 600000                 | 30/12/2009                 |
| 369     | Rajesh Kantilal Shah          | 28/30, Baroda St, Office No.9, Masjid bunder, Mumbai 400 009                                                                | OMML-1                            | 1300000                | 11/01/2010                 |

| SL. NO. | NAME OF THE DEFAULTER        | ADDRESS OF THE DEFAULTER                                                                                          | VIOLATION IN THE SCRIP OF                                                                                                                                                   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------|
| 370     | Systel Infotech Ltd.         | 18, Madon house, Vanka Mohalla, 4th Floor, Dr. M.B. welkar Street, Chira Bazar, Mumbai 400 002                    | Systel Infotech Ltd.                                                                                                                                                        | 1500000                | 12/01/2010                 |
| 371     | Tejash D Ghelani             | 4/A, New Ramniwas Navroji Lane, Ghatkopar –West, Mumbai,                                                          | Aarti drugs Ltd., and Havells India Ltd.                                                                                                                                    | 500000                 | 13/01/2010                 |
| 372     | Tejash D Gilani              | 4/A, New Ramniwas Naroji Lane, Ghstkoper west, Mumbai                                                             | Report on 10 scrips                                                                                                                                                         | 500000                 | 13/01/2010                 |
| 373     | OMML (remanded case)         | Cabin No.1, 209, 2nd, floor, Wall St.opp orient club, Ellisbridge, Ahembadad 380 006                              | OMML-1                                                                                                                                                                      | 2000000                | 14/01/2010                 |
| 374     | Pramod Kumar Agarwal         | N/A                                                                                                               | Bolton Properties Ltd                                                                                                                                                       | 3, 00,000              | 19/01/2010                 |
| 375     | Dharmesh K Sheth             | B/311, Prabhu Ashish Appartments, Bhayander- west, Distt.- Thane.                                                 | Aarti Industries Ltd., Aarti drugs Ltd., Havells India Ltd., Jindal Polyester Ltd., KRBL Ltd., Lyka Labs Ltd., Nirma Ltd., Opto Circuits Ltd., and Tasc Pharmaceuticals Ltd | 600000                 | 19/01/2010                 |
| 376     | Ahilya Commercial Pvt. Ltd   | Ahilya Commercial Pvt. Ltd                                                                                        | M.P. Investment & Consultancy                                                                                                                                               | 400000                 | 21/01/2010                 |
| 377     | Prasad Tandel                | 10/118, Labour camp, Sasmira Marg, Worli, Mumbai 400 025                                                          | JK Industries Ltd.                                                                                                                                                          | 300000                 | 21/01/2010                 |
| 378     | Umesh Choukekar              | Sai Charan Chs, 4th Floor, 402, Tellli Galli, N C Phadke Marg, Andheri (E) , Mumbai                               | Karuna Cables Ltd. Interim order                                                                                                                                            | 205000                 | 22/01/2010                 |
| 379     | Dattu Shitole                | Room Bo, 24, Datt Krupa Society, Plot No. 44, Sec. No.6, New Panvel, Mumbai                                       | Karuna Cables Ltd. Interim order                                                                                                                                            | 125000                 | 22/01/2010                 |
| 380     | Ashok Bhagat                 |                                                                                                                   | FTEL (Fast Track Entertainment)                                                                                                                                             | 200000                 | 25/01/2010                 |
| 381     | Ashok Bhagat                 |                                                                                                                   | FTEL (Fast Track Entertainment)                                                                                                                                             | 300000                 | 25/01/2010                 |
| 382     | Godavari Mercantile Pvt. Ltd | Godavari Mercantile Pvt. Ltd                                                                                      | Offshore Finvest Ltd                                                                                                                                                        | 500000                 | 27/01/2010                 |
| 383     | Raghav Commotrade Pvt. Ltd   | Raghav Commotrade Pvt. Ltd                                                                                        | Offshore Finvest Ltd                                                                                                                                                        | 500000                 | 27/01/2010                 |
| 384     | Sushil Mukherjee             | Sushil Mukherjee                                                                                                  | Offshore Finvest Ltd                                                                                                                                                        | 500000                 | 27/01/2010                 |
| 385     | Prashant Narvekar            | Shri Prashant Narvekar,, Swati Manor, B Wing, 1/7, J. K. Sawant Road, Opp. Shivaji Mandir, Dadar, Mumbai – 400 02 | HighLand Industries Ltd                                                                                                                                                     | 200000                 | 27/01/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                      | ADDRESS OF THE DEFAULTER                                                                                                | VIOLATION IN THE SCRIP OF                          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|------------------------|----------------------------|
| 386     | Yogini Patel                               | 14, Patel Scoiety, Near Bhorshali Appt, Khanpur, Ahmedabad 380 001                                                      | OMML-2                                             | 100000                 | 28/01/2010                 |
| 387     | Rajendra Prasad Shah                       | 291A, Rabindra Sarani, Kolkata                                                                                          | Sangotri Constructions Ltd                         | 950000                 | 03/02/2010                 |
| 388     | Mahesh Mistry                              | Manraj Driver Chawl 2, Room No-6, Coal Dongri, Lane No-1, Andheri (E), Mumbai-400 064                                   | Betala Global Securities Limited                   | 200000                 | 10/02/2010                 |
| 389     | Prakash Electronics Sys. Ltd.              | Prakash Electronics systems Ltd. - 10, Ganesh Chander Avenue, Kolkata - 700013                                          | Zigma Software Ltd.                                | 200000                 | 11/02/2010                 |
| 390     | Ahilya Commercial Pvt. Ltd                 | Ahilya Commercial Pvt. Ltd                                                                                              | Blue Print Securities Ltd                          | 400000                 | 15/02/2010                 |
| 391     | R K Maheshwari, Dir. of Kolar Biotech Ltd. | Additional Director, Kolar Biotech Ltd., Narayan Building, 2nd Floor, 23, lacamshi Napoo Road, Dadar (E), Mumbai- 40001 | Kolar Biotech Ltd.                                 | 2500000                | 15/02/2010                 |
| 392     | Vikas Sethi, Dir. of Kolar Biotech Ltd.    | Narayan Building, 2nd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                        | Kolar Biotech Ltd.                                 | 2500000                | 16/02/2010                 |
| 393     | Mohammedyunus Huseinmiya Lokhandwala       | House No. 12/395-A, 3 <sup>rd</sup> Floor, Tankshaliwad, Rantitalao, Surat: 395003                                      | Parsoli Corporation Ltd - Case 2                   | 150000                 | 18/02/2010                 |
| 394     | Burr Brown India Ltd.                      | Burr Brown India Ltd., Village Gujaratola, Amethi Road, Amethi Road, Gauriganj, Raibareilly, Sultanpur-228001           | Violation of Clause 49 of Equity Listing Agreement | 150000                 | 19/02/2010                 |
| 395     | Dharmendra S Thapa                         | Balu Yadav Colony, Room No-4, Koldonagri, Andheri(East), Mumbai-400 069                                                 | Betala Global Securities Limited                   | 200000                 | 23/02/2010                 |
| 396     | Arun Kumar Gupta                           | Arun Kumar Gupta                                                                                                        | Sangotri Constructions Ltd                         | 200000                 | 24/02/2010                 |
| 397     | Mahesh Mistry                              | 6, Ground Floor, 1 Manraj Driver Chawl, Koldongri, Andheri (E), Mumbai-400002                                           | Kolar Biotech Ltd.                                 | 2000000                | 25/02/2010                 |
| 398     | Vikas Seth, Dir., ACL                      | Narayan Building, 2nd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                        | Adam Comsof Ltd.                                   | 2000000                | 25/02/2010                 |
| 399     | Raja Yadav, Dir., ACL                      | Narayan Building, 2nd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01                                        | Adam Comsof Ltd.                                   | 2000000                | 25/02/2010                 |
| 400     | Pramod Kumar Kothari                       | Pramod Kumar Kothari                                                                                                    | M/s PSL Financial Services Ltd.                    | 100000                 | 26/02/2010                 |
| 401     | Sangam Infotech Pvt. Ltd. (SIL)            | 105/978, Ramabai, Nagar, Ghatkopar (East), Mumbai- 40007                                                                | Adam Comsof Ltd.                                   | 1000000                | 26/02/2010                 |
| 402     | Mahesh Adsul, Dir., SIL                    | 105/978, Ramabai, Nagar, Ghatkopar (East), Mumbai- 40007                                                                | Adam Comsof Ltd.                                   | 200000                 | 26/02/2010                 |
| 403     | Vithal Patil, Dir., SIL                    | 105/978, Ramabai, Nagar, Ghatkopar (East), Mumbai- 40007                                                                | Adam Comsof Ltd.                                   | 200000                 | 26/02/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                  | ADDRESS OF THE DEFAULTER                                                             | VIOLATION IN THE SCRIP OF       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------|--------------------------------------------------------------------------------------|---------------------------------|------------------------|----------------------------|
| 404     | Ramniklal Patel                        | Khravavada Plot, Taluka - Dhoraji, Rajkot - 360001                                   | Eltrol Ltd.                     | 500000                 | 26/02/2010                 |
| 405     | Ahilya Commercial Pvt. Ltd             | Ahilya Commercial Pvt. Ltd                                                           | Sangotri Constructions Ltd      | 400000                 | 04/03/2010                 |
| 406     | Nilesh Vinodchandra Sheth              | 57, Ashok Tenament, Opp. Cadila Lab, Godhasar, Ahmedabad – 380050                    | Alka India Ltd                  | 200000                 | 05/03/2010                 |
| 407     | Adsul Trading Pvt. Ltd. (ATL)          | 105/978, Ramabai, Nagar, Ghatkopar (East), Mumbai- 40007                             | Adam Comsof Ltd.                | 1000000                | 09/03/2010                 |
| 408     | Rajendra Prasad Shah                   | 291A, Rabindra Sarani, Kolkata                                                       | M/s Limtex Investments Ltd      | 100000                 | 10/03/2010                 |
| 409     | Mr. Ballabh Dass Daga                  | Mr. Ballabh Dass Daga                                                                | M/s PSL Financial Services Ltd. | 200000                 | 10/03/2010                 |
| 410     | Rajendra Prasad Shah                   | 291A, Rabindra Sarani, Kolkata                                                       | M/s Limtex Investments Ltd      | 150000                 | 10/03/2010                 |
| 411     | Deepak Narvekar                        | N 5, Ballu Bhaiya Chawl, Lane No.1, Koldongri Sahar Rd, Andheri (E), Mumbai          | Today's Writing Products-II     | 200000                 | 10/03/2010                 |
| 412     | Santosh Narvekar                       | Ballu Yadav Chawl, Room No. 11, Koldongari, Sahar Road, Andheri (E), Mumbai          | Today's Writing Products-II     | 100000                 | 10/03/2010                 |
| 413     | Bobby Lease Finance Ltd.               | 202, Prasad chambers, Pratap Road, Raopura, Vadodara- 390001                         | Fast Track Entertainment Ltd.   | 100000                 | 11/03/2010                 |
| 414     | Ajit Suryavanshi                       | Room No. 4, Jagdhari Yadav Chawl, Sahar Road, Koldongri, Andheri (E) Mumbai 69       | Karuna cables ltd Interim order | 300000                 | 11/03/2010                 |
| 415     | Vijay Suryavanshi                      | Room No. 4, Jagdhari Yadav Chawl, Sahar Road, Koldongri, Andheri (E) Mumbai 69       | Karuna cables ltd Interim order | 200000                 | 11/03/2010                 |
| 416     | Mastermind Mercantile Co-op Ltd. (MML) | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01     | Adam Comsof Ltd.                | 400000                 | 12/03/2010                 |
| 417     | Umesh Choukekar                        | Sai Charan CHS, 4th Floor, 402, Telli Galli, N C Phadke Marg, Andheri (East), Mumbai | Today's Writing Products-II     | 100000                 | 12/03/2010                 |
| 418     | Vilas Chowgle, Dir., MML               | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01     | Adam Comsof Ltd.                | 100000                 | 19/03/2010                 |
| 419     | Vithal Patil, Dir., MML                | Narayan Building, 3rd Floor, 23, Laxmi Napoo Road, Dadar (East), Mumbai – 400 01     | Adam Comsof Ltd.                | 100000                 | 19/03/2010                 |
| 420     | Shri Rajesh Durgalal                   | 305, Kachnar Apartment, 3rd Floor, Dahisar (West), Mumba                             | Adam Comsof Ltd.                | 200000                 | 19/03/2010                 |
| 421     | P.K. Agarwal & Co.                     | 34,Ezra Street, Kolkata - 700001                                                     | Konark Commerce Industries Ltd  | 800000                 | 22/03/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                              | ADDRESS OF THE DEFAULTER                                                                                                                                                                                                       | VIOLATION IN THE SCRIP OF          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|------------------------|----------------------------|
| 422     | M/s. International Diamond Services Ltd (directors Ashok Kumar Jain, Kushal Jain, Praveen Jain & Sunil Kumar Jain) | 201, Shanti Niketan Apartment, Opp Central Bank Of India Chud, Navsari, 396445, Gujarat or at A-4, 3rd Floor, Sarvodaya Enclave, New Delhi-110 026 or at 611, Somdutta Chamber- II, 9, Bhikaji Cama Place, New Delhi – 110 066 | International Diamond Services Ltd | 300000                 | 22/03/2010                 |
| 423     | Shri Ashok Kumar Jain                                                                                              | B4/158, Safdarjung Enclave, New Delhi 110 026                                                                                                                                                                                  | International Diamond Services Ltd | 250000                 | 22/03/2010                 |
| 424     | C J Kumar                                                                                                          | S/O Shri T R Ghellapon, R/B-8, Shivam Park, Suessan Tarasil Road, Markan Pura, Vadodara                                                                                                                                        | Taurus Mutual Fund Ltd             | 100000                 | 22/03/2010                 |
| 425     | Million Investrade Ltd.(MIL)                                                                                       | Rashmi Chawl, Room No: 2, Shiv Tekri, Caves Road, Jogeswari(East), Mumbai-40000                                                                                                                                                | Adam Comsof Ltd.                   | 1700000                | 23/03/2010                 |
| 426     | M/s.Mukerian Papers Limited                                                                                        | G.T.Road, Dhandari Kalan,Ludhiana – 1410                                                                                                                                                                                       | Delay in demat of shares           | 800000                 | 23/03/2010                 |
| 427     | Shri Pintu Dey                                                                                                     | Shri Pintu Dey                                                                                                                                                                                                                 | Offshore Finvest Ltd               | 500000                 | 25/03/2010                 |
| 428     | Mahesh Mistry                                                                                                      | Manraj Driver Chawl 2, Room No-6, Coal Dongri, Lane No-1, Andheri (E), Mumbai-400 064                                                                                                                                          | Betala Global Securities Limited   | 1500000                | 25/03/2010                 |
| 429     | Dalsukhbhai Patel                                                                                                  | Flar No. 30, Patel Bldg, 3rd floor, 8/16 MK Amin Marg, Bora Street, Fort, Mumbai - 400002                                                                                                                                      | Eltrol Ltd.                        | 500000                 | 26/03/2010                 |
| 430     | Vinod Patel                                                                                                        | Flar No. 30, Patel Bldg, 3rd floor, 8/16 MK Amin Marg, Bora Street, Fort, Mumbai - 400002                                                                                                                                      | Eltrol Ltd.                        | 500000                 | 26/03/2010                 |
| 431     | Navin Patel                                                                                                        | Flar No. 30, Patel Bldg, 3rd floor, 8/16 MK Amin Marg, Bora Street, Fort, Mumbai - 400002                                                                                                                                      | Eltrol Ltd.                        | 500000                 | 26/03/2010                 |
| 432     | Jayesh Kumar Shah                                                                                                  | Flar No. 30, Patel Bldg, 3rd floor, 8/16 MK Amin Marg, Bora Street, Fort, Mumbai - 400002                                                                                                                                      | Eltrol Ltd.                        | 500000                 | 26/03/2010                 |
| 433     | Ramniklal Patel                                                                                                    | Khravavada Plot, Taluka - Dhoraji, Rajkot – 360 001                                                                                                                                                                            | Eltrol Ltd.                        | 500000                 | 26/03/2010                 |
| 434     | Bela Kayastha                                                                                                      | Nalanda Complex, near Mansi Complex, Prem Chand Nagar Road, Satellite, Ahmedabad-380015                                                                                                                                        | Havells India Ltd.                 | 200000                 | 29/03/2010                 |
| 435     | P Textiles & Co.                                                                                                   | P Textiles & Co.                                                                                                                                                                                                               | Coronet Industries Ltd             | 100000                 | 30/03/2010                 |
| 436     | P Textiles & Co.                                                                                                   | P Textiles & Co.                                                                                                                                                                                                               | Coronet Industries Ltd             | 100000                 | 30/03/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                               | ADDRESS OF THE DEFAULTER                                                                     | VIOLATION IN THE SCRIP OF         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------|------------------------|----------------------------|
| 437     | Kailash Ficom Ltd. (Directors MD: Prahalad Panchal) | 206, Helix Complex, Opp Hotel Surya, Sayaji gunj, Baroda-390005                              | Kailash Ficom Ltd.                | 200000                 | 30/03/2010                 |
| 438     | Dharmendra S Thapa                                  | Balu Yadav Colony, Room No-4, Koldonagri, Andheri(East), Mumbai-400 069                      | Betala Global Securities Limited  | 1500000                | 30/03/2010                 |
| 439     | Ashish Stock Broking Pvt Ltd                        | Ashish Stock Broking Pvt Ltd                                                                 | Aurangabad Cryogenics Ltd         | 250000                 | 31/03/2010                 |
| 440     | Ahilya Commercial Pvt. Ltd                          | Ahilya Commercial Pvt. Ltd                                                                   | Oasis Cine Communications Ltd.    | 300000                 | 31/03/2010                 |
| 441     | Ramsinhabhai N. Chaudhari                           | 27/A, Raghukul Bunglow, Near Gulab Tower, Thaltej, Ahmedabad – 380 054                       | Gujarat Terce Laboratories Ltd.   | 175000                 | 31/03/2010                 |
| 442     | Navghan Rambhai Gadhavi                             | G/12, Navratha Avenue, Near Sola Crossing, Sola Rd, Ahmedabad – 380 061                      | Gujarat Terce Laboratories Ltd.   | 150000                 | 31/03/2010                 |
| 443     | Shree Parshwa Finance                               | 2796, Jhayeriwad, Opp-Laheriya Pol, Relief Road, Ahmedabad - 1                               | Tasc Pharmaceutical Ltd. (May 200 | 200000                 | 31/03/2010                 |
| 444     | Bela Kayashtha                                      | 2796, Jhayeriwad, Opp-Laheriya Pol, Relief Road, Ahmedabad - 1                               | Tasc Pharmaceutical Ltd. (May 200 | 200000                 | 31/03/2010                 |
| 445     | Prem Thanvi                                         | S S Chandra Chawl, Room no. 10, Kabutar Khana, Caves Road, Jogeshwari (E), Mumbai - 400 060  | Tasc Pharmaceutical Ltd. (May 200 | 100000                 | 31/03/2010                 |
| 446     | Ajit Suryavanshi                                    | Jagdhari Yadav Chawl, R/4, kodongri Sahar Road, Andheri(E), Mumbai - 400 069                 | Vijay Textile Ltd                 | 100000                 | 31/03/2010                 |
| 447     | Deepak Narvekar                                     | R. N. 5, Ballu Bhaiya Chawl, Lane no 1, Koldongri Sahar Road, Andheri (e) Mumbai             | Vijay Textile Ltd                 | 100000                 | 31/03/2010                 |
| 448     | Enkay Texofood Ltd.                                 | Shree Sitaram Sadan, (Bhatia Bldg) 4th Floor, 282, Shamaldas Gandhi Marg, Mumbai-400 002     | Investor Grievances.              | 3000000                | 31/03/2010                 |
| 449     | Choksi Tube Company Limited.                        | 305-306, B Wing, 3rd Floor, Navbharat Estate,Zakaria Bunder Road,Sewree (West),Mumbai 400015 | Delay in demat of shares          | 2400000                | 31/03/2010                 |
| 450     | M/s Surya Agroils Limited,                          | 1, Industrial Area, Dist. Raissen, Salamatpur – 464651, Madhya Pradesh                       | Delay in demat of shares          | 1000000                | 31/03/2010                 |
| 451     | R Foundry and Rajendra Aggarwal                     | R Foundry and Rajendra Aggarwal                                                              | G R Industries and Finance Ltd.   | 200000                 | 13/04/2010                 |
| 452     | Arihant Securities                                  | 102 EDWARD APARTMENTS, EVERSHINE NAGAR MALAD-WEST, MUMBAI-40006                              | Betala Global Securities Limited  | 300000                 | 13/04/2010                 |
| 453     | Pravin Kumar Jain                                   | 102 EDWARD APARTMENTS, EVERSHINE NAGAR MALAD-WEST, MUMBAI-40006                              | Betala Global Securities Limited  | 300000                 | 13/04/2010                 |
| 454     | Laxman Singh Saijari                                | Qtr. No. 1267, Sector -3, M. B. Vihar, Pushp Vihar, New Delhi - 110017                       | Mega Corporation Ltd              | 100000                 | 20/04/2010                 |
| 455     | Vrajesh Patel                                       | 4, Patel Society, Nr Bhorshali Apartment, Khanpur, Ahmedabad 380001                          | OMML-2                            | 100000                 | 20/04/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                                               | ADDRESS OF THE DEFAULTER                                                                              | VIOLATION IN THE SCRIP OF                                                                                              | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------|
| 456     | Deepak Sharma                                                       | S-49, Param Puri, Uttam Nagar (West), Delhi - 110059                                                  | Mega Corporation Ltd                                                                                                   | 200000                 | 21/04/2010                 |
| 457     | R C Overseas Pvt. Ltd.                                              | 5743/46 South Basti Harpool Singh, Sadar Bazar, Delhi 110006                                          | Sai Info Ltd.                                                                                                          | 500000                 | 21/04/2010                 |
| 458     | Elbee Services Ltd.                                                 | 75, Nehru Road, Vile Parle (E), Mumbai, 400099                                                        | Elbee Services Ltd.                                                                                                    | 600000                 | 22/04/2010                 |
| 459     | Naisharg Vakharia                                                   | 4-B Kailashpati Apartments Gopal Chowk Maninagar Ahmedabad-380008                                     | Softtrak Technology Export Ltd                                                                                         | 500000                 | 22/04/2010                 |
| 460     | Bela H Kayastha                                                     | 60-1, Upper Level Nalanda Complex, Prem Chand Road, Ahmedabad.                                        | Aarti Industries Ltd., Aarti drugs Ltd., Havells India Ltd., Lyka Labs Ltd., Nirma Ltd., and Tasc Pharmaceuticals Ltd. | 650000                 | 23/04/2010                 |
| 461     | M/s Bela H Kayastha                                                 | 2796 Jhayeriwad, Opp. Laheriya Pol, Relief Road, Ahmedabad - 380001                                   | 10 Scrips case                                                                                                         | 650000                 | 23/04/2010                 |
| 462     | Swarnganga Trading Private Limited                                  | Swarnganga Trading Private Limited                                                                    | Twenty First Century (India) Ltd                                                                                       | 300000                 | 24/04/2010                 |
| 463     | Chandrakant Patel                                                   | G/17 – 195, Shivam Apartments, Near Octroi Naka, Nava Vadaj, Ahmedabad – 380 013                      | Tanu Helathcare Ltd                                                                                                    | 150000                 | 26/04/2010                 |
| 464     | Nirav M Shah                                                        | 1/4, Angan Flats, Near Gokul Row House Satellite, Ahmedabad-380015                                    | Aarti drugs Ltd., Havells India Ltd., Lyka Labs Ltd., Nirma Ltd., and Tasc Pharmaceuticals Ltd                         | 450000                 | 26/04/2010                 |
| 465     | Swell International Pvt. Ltd.                                       | 5743/46 South Basti Harpool Singh, Sadar Bazar, Delhi 110006                                          | Sai Info Ltd.                                                                                                          | 500000                 | 27/04/2010                 |
| 466     | Umesh B. Choukekar                                                  | Saicharan CHS, 4th Floor, 402, Telli Galli, NC Phadke Marg, Andheri East, Mumbai- 400069              | Millenium Cybertech Ltd.                                                                                               | 100000                 | 27/04/2010                 |
| 467     | Forward Exim Bank (formerly known as Swell International Pvt. Ltd.) |                                                                                                       | Sai Info Ltd                                                                                                           | 500000                 | 27/04/2010                 |
| 468     | Kaustubh Credit and Investment Ltd                                  | 1, Sheel Complex, Mayur Colony, Near TTC Bank, Mathahali Six Road, Navrangpura, Ahmedabad             | Alka India Ltd                                                                                                         | 1000000                | 28/04/2010                 |
| 469     | Vinod Hingorani, Dir. of Adam Comsof                                | Chairman Adam Comsof Ltd., Narayan Building, 2nd Floor, 23, L. N. Road, Dadar (East), Mumbai – 400 01 | Kolar Biotech Ltd.                                                                                                     | 2500000                | 28/04/2010                 |
| 470     | Sunil Satish Kuril                                                  | Kaillash Nagar, Raod no.28, Badrinath Chawl, ITI Wagle Estate, Thave(W)                               | Sterling Biotech Limited                                                                                               | 100000                 | 29/04/2010                 |

| SL. NO. | NAME OF THE DEFAULTER            | ADDRESS OF THE DEFAULTER                                                                                | VIOLATION IN THE SCRIP OF          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------|------------------------|----------------------------|
| 471     | Rajkishore Singh                 | Office No.5, Ground Floor, Kalpataru Chamber, Nanik Motwani Lane, Opp. HDFC Bank, fort, Mumbai -400 001 | Today's Writing Products-II        | 300000                 | 29/04/2010                 |
| 472     | Umit V. patel                    | 8, Syamsunder Row House, Near Manav Mandir, Drive-Inn Road, Ahmedabad-38005                             | Shree Yaax Pharma & cosmetics Ltd. | 100000                 | 30/04/2010                 |
| 473     | Dipak Dwarkadas                  | 1, Archana Niwas, 1 E 1=Bor, Vijay Darkar Chal, Mahim Rd, Parghar, Thane, 40140                         | Gravity India Ltd                  | 275000                 | 30/04/2010                 |
| 474     | Kolar Biotech Ltd. (KBL)         | Narayan Building, 2nd Floor, 23, L. N. Road, Dadar (East), Mumbai – 400 01                              | Kolar Biotech Ltd.                 | 3000000                | 30/04/2010                 |
| 475     | Adam comsof Ltd.(ACL)            | Narayan Building, 2nd Floor, 23, L.N. Road, Dadar (E), Mumbai- 400014                                   | Adam Comsof Ltd.                   | 3000000                | 30/04/2010                 |
| 476     | Chirag Vadilal Shah              | 2871, Patnis Street, Zaveriwad Relief road, Ahmedabad, 380001                                           | Ind Tra Deco Ltd.                  | 1000000                | 30/04/2010                 |
| 477     | Deepak Chandrakant Shah          | 2167, Juno Mahajan Vado, Near Swaminarayan Temple, Kalupur, Ahmedabad-380001                            | Ind Tra Deco Ltd.                  | 10000                  | 30/04/2010                 |
| 478     | Dimple Chirag Shah               | 2871, Patnis Street, Zaveriwad Relief road, Ahmedabad, 380001                                           | Ind Tra Deco Ltd.                  | 200000                 | 30/04/2010                 |
| 479     | Hemrajsingh Vaghela              | Vaghela Farm, Nr Bhagwat Petrol Pump, Gota cross road, Ahmedabad - 382481                               | MOH Ltd.                           | 1000000                | 03/05/2010                 |
| 480     | Nicholas N Gomes                 | 16/ A, Dudhwala Building, 1st Floor, R. No. 17, Chira Bazaar, Mumbai-400002                             | Betala Global Securities Limited   | 200000                 | 04/05/2010                 |
| 481     | Mohammed Alibhai Kothawala       | 1050, Jivan Pole, Panchpatti, Kalupur, Ahmedabad 380001                                                 | Parsoli Corporation Ltd - Case 1   | 7000000                | 05/05/2010                 |
| 482     | Ameena Maksud Kothawala          | 17/2, Faize Mohammedi Society, Narayan Nagar, Paldi, Ahmedabad 380007                                   | Parsoli Corporation Ltd - Case 1   |                        |                            |
| 483     | Fatema Mukhtar Kothawala         | 17/2, Faize Mohammedi Society, Narayan Nagar, Paldi, Ahmedabad 380007                                   | Parsoli Corporation Ltd - Case 1   |                        |                            |
| 484     | Maksud Yusufbhai Kothawala       | 17/2, Faize Mohammedi Society, Narayan Nagar, Paldi, Ahmedabad 380007                                   | Parsoli Corporation Ltd - Case 1   |                        |                            |
| 485     | Mariyam Mohammed Yusuf Kothawala | 17/2, Faize Mohammedi Society, Narayan Nagar, Paldi, Ahmedabad 380007                                   | Parsoli Corporation Ltd - Case 1   |                        |                            |
| 486     | Mukhtar Yusufbhai Kothawala      | 17/2, Faize Mohammedi Society, Narayan Nagar, Paldi, Ahmedabad 380007                                   | Parsoli Corporation Ltd - Case 1   |                        |                            |
| 487     | Yusufbhai Umarbhai Kothawala     | 17/2, Faize Mohammedi Society, Narayan Nagar, Paldi, Ahmedabad 380007                                   | Parsoli Corporation Ltd - Case 1   |                        |                            |
| 488     | Gulamrasul Mohiuddin Bombaywala  | House No. 2125, Lane No. 10, Navi Mohlat, Panchkuwa, Ahmedabad 380001                                   | Parsoli Corporation Ltd - Case 1   | 1000000                | 05/05/2010                 |
| 489     | Iftekar Mohammadyusuf Mansuri    | 1154, B/h, New Karanj Bhavan, Bhadra, Ahmedabad 380001                                                  | Parsoli Corporation Ltd - Case 1   | 1000000                | 05/05/2010                 |
| 490     | Abdul Hameed Abdul Gaffar Memon  | Block No. 6, Ground Floor, Asiana Flat, P.T.College Rd., Paldi Ahmedabad 380007                         | Parsoli Corporation Ltd - Case 1   | 1000000                | 05/05/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                              | ADDRESS OF THE DEFAULTER                                                                                   | VIOLATION IN THE SCRIP OF          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------|------------------------------------------------------------------------------------------------------------|------------------------------------|------------------------|----------------------------|
| 491     | Aslamkhan Rehmat Khan Pathan                       | 276, Motgi Sodagar Ni Pole, Raikhad, Ahmedabad 380001                                                      | Parsoli Corporation Ltd - Case 1   | 1000000                | 05/05/2010                 |
| 492     | Parsoli Corporation Ltd. (PAN: AABCP9030F)         | 402-403, Amba Sadan, 45h Floor, Linking Road, Khar(West), Mumbai-400052                                    | Parsoli Corporation Ltd., - Case I | 2500000                | 05/05/2010                 |
| 493     | Zafar Sareshwala (PAN: ANYPS8494D)                 | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                                   | Parsoli Corporation Ltd., - Case I |                        | 05/05/2010                 |
| 494     | Uves Sareshwala (PAN: AOFPS5856M)                  | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                                   | Parsoli Corporation Ltd., - Case I |                        | 05/05/2010                 |
| 495     | Zafar Sareshwala (PAN: ANYPS8494D)                 | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                                   | Parsoli Corporation Ltd., - Case I | 30000000               | 05/05/2010                 |
| 496     | Uves Sareshwala (PAN: AOFPS5856M)                  | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                                   | Parsoli Corporation Ltd., - Case I |                        | 05/05/2010                 |
| 497     | Talha Yunus Sareshwala (PAN: Not available)        | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                                   | Parsoli Corporation Ltd., - Case I |                        | 05/05/2010                 |
| 498     | Saleha Mohammed Yunus Sareshwala (PAN: AGSPS5490C) | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                                   | Parsoli Corporation Ltd., - Case I |                        | 05/05/2010                 |
| 499     | Abdulsamad Abdul Gaffar Memon (PAN: Not available) | B-4/6, Iqbal flat, B/H Memon Hall, Maktampura, Juhapura, Ahmedabad-380055                                  | Parsoli Corporation Ltd., - Case I | 1000000                | 05/05/2010                 |
| 500     | Anil Modi                                          | 1264, bhaiyani Bari, Shamlani Pole, raipur, Ahmedabad 380001                                               | OMML-2                             | 100000                 | 05/05/2010                 |
| 501     | Kalpesh babriya                                    | 8/16, M. K. Amin Marg, Patel Building, 3 <sup>rd</sup> Floor, Off. 30, Bora Street, Fort, Mumbai – 400 001 | Karuna cables ltd Interim order    | 200000                 | 06/05/2010                 |
| 502     | Mukesh Shah                                        | 14, Lily Kunj, Near Damodar Wadi, Kandivali (East), Mumbai – 400 101                                       | Karuna cables ltd Interim order    | 500000                 | 06/05/2010                 |
| 503     | Sureshkumar P Jain                                 | Shop No 2, Maharashtra Agency, Nagoo Safafi Building No. 16, Balaram Street, Mumbai 400007                 | Karuna cables ltd Interim order    | 500000                 | 06/05/2010                 |
| 504     | Tejash Dinesh Ghelani                              | 4/A, New Ramnivas Navroji Lane, Ghatkopar (W), Mumbai                                                      | Sterling Biotech Limited           | 100000                 | 06/05/2010                 |
| 505     | Cosmos Corporate Services Limited                  | C-3, Amar Colony Market, Lajpat Nagar IV, New Delhi                                                        | ATN International Limited          | 200000                 | 06/05/2010                 |
| 506     | Umesh B. Choukekar                                 | Saicharan CHS, 4th Floor, 402, Telli Galli, NC Phadke Marg, Andheri East, Mumbai- 400069                   | Millenium Cybertech Ltd.           | 150000                 | 06/05/2010                 |
| 507     | Ashok Joshi                                        | 44/ 479, S. G. Road, Tardeo, Mumbai                                                                        | Sterling Biotech Limited           | 100000                 | 07/05/2010                 |
| 508     | M/s Teem Laboratories Limited                      | 54-B, Drug House, Proctor Road, Mumbai - 400007, Maharashtra                                               | Delay in demat of shares           | 500000                 | 07/05/2010                 |
| 509     | Pradeep Bhavnani                                   | 15, 1st Floor, Golden Palace Co-operative Society, Beside Popely Turner Road, Bandra west, Mumbai-400050   | United Western Bank                | 500000                 | 11/05/2010                 |

| SL. NO. | NAME OF THE DEFAULTER             | ADDRESS OF THE DEFAULTER                                                                                 | VIOLATION IN THE SCRIP OF        | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------|----------------------------------------------------------------------------------------------------------|----------------------------------|------------------------|----------------------------|
| 510     | Dalsukhbhai Patel                 | Flat No. 30, Patel Bldg, 3 <sup>rd</sup> floor, 8/16, MK Amin Marg, Bora Street, Fort, Mumbai - 400002   | Eltrol Ltd.                      | 200000                 | 12/05/2010                 |
| 511     | Vinod Patel                       | Flat No. 30, Patel Bldg, 3 <sup>rd</sup> floor, 8/16, MK Amin Marg, Bora Street, Fort, Mumbai - 400002   | Eltrol Ltd.                      | 200000                 | 12/05/2010                 |
| 512     | Navin Patel                       | Flat No. 30, Patel Bldg, 3 <sup>rd</sup> floor, 8/16, MK Amin Marg, Bora Street, Fort, Mumbai - 400002   | Eltrol Ltd.                      | 200000                 | 12/05/2010                 |
| 513     | Jayesh Kumar Shah                 | Flat No. 30, Patel Bldg, 3 <sup>rd</sup> floor, 8/16, MK Amin Marg, Bora Street, Fort, Mumbai - 400002   | Eltrol Ltd.                      | 200000                 | 12/05/2010                 |
| 514     | Santosh Ramanath Gade             | Lalhati Hanuman Tekdi, Khanderkar Maidan Asalffa Village, Ghatkopar(W), Mumbai                           | Sterling Biotech Limited         | 100000                 | 14/05/2010                 |
| 515     | Naresh N. Shah                    | Manoj Palance, Block No. 302, Chakrahat Nagar, behind New Suryakiran Palace, Nallasopara west, Thane Dt. | KRBL Ltd.                        | 250000                 | 17/05/2010                 |
| 516     | Ramasudhakaran Menon              | B-304 Geetha Bhavan, Geeta Nagar, Phase-3, Mira Road (E), Thane - 401107                                 | KRBL Ltd.                        | 200000                 | 18/05/2010                 |
| 517     | BTW Industries Limited.           | Landmark, 10th Floor<br>228 A J C Bose Road<br>Kolkata – 700 020                                         | Delay in demat of shares         | 4000000                | 18/05/2010                 |
| 518     | M/s G. R. Magnet Limited,         | 305, Jessore Road, Ground Floor, Kolkata, West Bengal - 700 048                                          | Delay in demat of shares         | 1200000                | 18/05/2010                 |
| 519     | Umesh Choukekar                   | Sai Charan CHS, 4th Floor, 402, Telli Galli, N.C. Phadke Marg, Andheri (E), Mumbai – 400058              | Vijay Textiles-II                | 500000                 | 19/05/2010                 |
| 520     | Ganesh Raut                       | Baburao Pahalvan Chawl,<br>Gali no.6, Koldnagari,<br>Sahar Road,<br>Andheri (east),<br>Mumbai            | Vijay Textile Ltd                | 500000                 | 20/05/2010                 |
| 521     | Deepak Narvekar                   | N 5, Ballu Bhaiya Chawl, Lane No.1, Koldongri Sahar Rd, Andheri (E), Mumbai                              | Vijay Textile Ltd                | 500000                 | 21/05/2010                 |
| 522     | Anushree Tradelink Pvt. Ltd.      | Anushree Tradelink Pvt. Ltd.                                                                             | Twenty First Century (India) Ltd | 300000                 | 25/05/2010                 |
| 523     | Interlink Financial Services Ltd. | 203, Kanchan Sagar, 4th floor, 18/1, Old Palasia, Indore-452001                                          | Millenium Cybertech Ltd.         | 200000                 | 25/05/2010                 |
| 524     | Aman Vyapaar Pvt. Ltd.            | Aman Vyapaar Pvt. Ltd.                                                                                   | Bakra Pratisthan Ltd.            | 150000                 | 28/05/2010                 |
| 525     | Ashapuran Distributors Pvt. Ltd.  | Ashapuran Distributors Pvt. Ltd.                                                                         | Bakra Pratisthan Ltd.            | 150000                 | 28/05/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                        | ADDRESS OF THE DEFAULTER                                                                                       | VIOLATION IN THE SCRIP OF                                                  | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|------------------------|----------------------------|
| 526     | Ektaa Vyapaar Pvt Ltd                                                                                                        | Ektaa Vyapaar Pvt Ltd                                                                                          | Bakra Pratisthan Ltd.                                                      | 150000                 | 28/05/2010                 |
| 527     | Balbeer Singh                                                                                                                | C-7, Bhajanpura, Delhi- 110019                                                                                 | GHCL Ltd.                                                                  | 50000                  | 31/05/2010                 |
| 528     | Manohar Ram                                                                                                                  | C-7, Bhajanpura, Delhi- 110019                                                                                 | GHCL Ltd.                                                                  | 75000                  | 31/05/2010                 |
| 529     | Rajesh Kumar                                                                                                                 | K-73, Ground Floor, Kalkaji, Delhi-110019                                                                      | GHCL Ltd.                                                                  | 50000                  | 31/05/2010                 |
| 530     | Ujjwal Trade Wing                                                                                                            | Office No-22, 1st Floor, 337, Bedanwadi, Kalbadevi Road, Mumbai-400002                                         | Divine Entertainment Limited (Formerly Known as Denim Enterprises Limited) | 1000000                | 31/05/2010                 |
| 531     | Parul Shah                                                                                                                   | Jakatnaka, PADRA, Opp. Paranami Agarbatti District Vadodara Gujarat                                            | Wellworth Oversea Ltd. 1& 2                                                | 100000                 | 31/05/2010                 |
| 532     | Krishan Agarwal                                                                                                              | B-306, Gayatri Apartment, Navghar Cross Road, No. 5, Navghar Road, Bhayander (E), Dist. Thane-401105           | Wellworth Oversea Ltd. 1& 2                                                | 60000                  | 31/05/2010                 |
| 533     | Genus Commu Trade Ltd. (HITESH MAHENDRABHAI PANCHAL ,KEYUR BABULAL MODI, PRAFUL VITHALBHAI MISTRY, BALKISHAN ISHWAR PRASAD ) | S-33, TIRUPATI PLAZA, COLLEGE ROAD,PALANPUR, PALANPUR, Gujarat -385001                                         | Genus Commu Trade Ltd.                                                     | 500000                 | 10/06/2010                 |
| 534     | Genus Commu Trade Ltd.                                                                                                       | Office No. E, 3rd Floor, Sumeru Center,Opp Boot Bazar, Near Parimal Railway Crossing Paldi, Ahmedabad – 380007 | Genus Commu Trade Ltd.                                                     | 500000                 | 10/06/2010                 |
| 535     | K K Fintrade                                                                                                                 | CL/ 101, Sukhaliya, Pandit Dindayal Upadhya Nagar, Indore (M.P)                                                | Hindustan Continental & Priyan Sarees                                      | 200000                 | 11/06/2010                 |
| 536     | Pramod Kumar Kothari                                                                                                         | Pramod Kumar Kothari                                                                                           | Bolton Properties Ltd                                                      | 500000                 | 14/06/2010                 |
| 537     | Prince Securities (PAN not known)                                                                                            | 10-A Etkhar Housing Society, Lokhandwala Road, Andheri (West), Mumbai – 400058                                 | Silicon Valley Infotech Ltd.                                               | 600000                 | 16/06/2010                 |
| 538     | Sunil Kuril (PAN not known)                                                                                                  | Kailash Nagar, Road No.28, Wagle estate, Badrinath Chawl, Thane (W)-400604                                     | Parsoli Corporation Ltd - Case 2                                           | 200000                 | 18/06/2010                 |
| 539     | M/s. Addlife Pharma Ltd                                                                                                      | 305, Ashwamegh Avenue, Near Mithakhali Underbridge, Navrangpura, Ahmedabad – 38000                             | Delay in demat of shares                                                   | 2000000                | 21/06/2010                 |
| 540     | Jagdish Jaychandrabahi Pandya                                                                                                | 8/16, M. K. Amin Marg, Patel Building, 3 <sup>rd</sup> Floor, Off. 30, Bora Street, Fort, Mumbai – 400 001     | Karuna Cables Ltd                                                          | 500000                 | 22/06/2010                 |
| 541     | Giridharbhai Vagadia                                                                                                         | 8/16, M. K. Amin Marg, Patel Building, 3 <sup>rd</sup> Floor, Off. 30, Bora Street, Fort, Mumbai – 400 001     | Karuna Cables Ltd                                                          | 300000                 | 22/06/2010                 |
| 542     | Jayesh Shah                                                                                                                  | A/19 Sai Nagar, M G Road, Kandivali, Mumbai                                                                    | Karuna Cables Ltd                                                          | 150000                 | 22/06/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                     | ADDRESS OF THE DEFAULTER                                                                                                          | VIOLATION IN THE SCRIP OF        | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------|------------------------|----------------------------|
| 543     | M/s Kunal Overseas Limited.               | 307- A, Kailash Esplanade Building, Opp.Shreyas Cinema, Ghatkopar (W), Mumbai 400086                                              | Delay in demat of shares         | 800000                 | 24/06/2010                 |
| 544     | M/s Nexus Software Limited.               | 6/R, Trilok Nagar Society, Outside Panigate, Near Ayurvedic College, Baroda 390018                                                | Delay in demat of shares         | 600000                 | 24/06/2010                 |
| 545     | M/s. Gujarat Texspin Limited              | 25-26, Super Yarn Market, Zampa Bazar, Surat - 395002                                                                             | Delay in demat of shares         | 250000                 | 24/06/2010                 |
| 546     | M/s Duck Tarpaulins Limited               | Yogeshwar, 135 Kazi Sayed Street, Mumbai – 400 003, Maharashtra                                                                   | Delay in demat of shares         | 100000                 | 25/06/2010                 |
| 547     | M/s Gleitlager (India) Limited.           | Manu Mansion, 3rd Floor, Shahid Bhagat Singh Marg, Mumbai 400023                                                                  | Delay in demat of shares         | 500000                 | 25/06/2010                 |
| 548     | M/s Bharat Commerce & Industries Limited. | ( Surya Kiran, 5th Floor, 19 Kasturba Gandhi Marg, New Delhi -110 001 (i111, Hemkunt Towers, 6, Rajendra Place, New Delhi 1100008 | Delay in demat of shares         | 300000                 | 25/06/2010                 |
| 549     | Dharmendra Thapa                          | Balu Yadav Chawl, Koldongri, Sahar Road, Lane no.1, Andheri (East), Mumabi, 400069                                                | Shukun Construction Ltd.         | 500000                 | 28/06/2010                 |
| 550     | Bimlesh Kumar Mishra                      | C/O of Somani Cements, opp. Railway Station, Kharsaliya, Distt. Panchmahal, Gujarat - 389 340                                     | Somani Cement company Ltd.       | 500000                 | 29/06/2010                 |
| 551     | Ramesh Jain                               | 16, Venue Apartment, B J Deorukhkar Road, Naigoan, Dadar (E) Mumbai- 14                                                           | Somani Cement company Ltd.       | 150000                 | 29/07/2010                 |
| 552     | Dharmendra thapa                          | Koldongri, Balu Chawl, Sahar Road, Andheri (E), Mumbai                                                                            | Vijay Textile Ltd                | 700000                 | 29/06/2010                 |
| 553     | Ajit Suryavanshi                          | R/4, Jagdhari Yadav Chawl, Koldongri, Sahar Rd, Andheri (E), Mumbai- 69                                                           | Vijay Textile Ltd                | 2500000                | 29/06/2010                 |
| 554     | Shiner Trading Co Private Ltd             | R-3, Palm Springs Apartments, 18th Floor, Cuffe Parade Road, Cuffe Parad, Mumba 400005                                            | Betala Global Securities Limited | 100000                 | 01/07/2010                 |
| 555     | Santosh Pawar                             | 8 Devraj Jagmohan Chawl, Kaldongri Rd No 1 Sahar Rd, Andheri (E) Mumbai                                                           | Today's Writing Products-II      | 300000                 | 02/07/2010                 |
| 556     | Ramdas Kshirsagar                         | Room No 229 Geeta Nagar, Navy Nagar Mumbai                                                                                        | Today's Writing Products-II      | 200000                 | 02/07/2010                 |
| 557     | M/s. Sharpline Trading Company Pvt. Ltd.  | B Wing, Flat No. 11, 2nd Floor, Monami Apartment, Near Chandan Talkies, Juhu, Mumbai- 400049                                      | Eskay K'n'IT Ltd.                | 500000                 | 08/07/2010                 |
| 558     | Nilesh Upadhyay                           | 11/1, Vaibhav Society, Jivraj park, Near Dena Bank, Ahmedabad - 5                                                                 | Empower Industries India Ltd.    | 100000                 | 08/07/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                       | ADDRESS OF THE DEFAULTER                                                                                                                                              | VIOLATION IN THE SCRIP OF                                       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|------------------------|----------------------------|
| 559     | Santosh Gade (PAN not known)                | Lal Bhatti Hanuman Tekdi, Khandekar Maidan, Aslfa Village, Ghatkopar (W), Mumbai - 400086                                                                             | Parsoli Corporation Ltd - Case 2                                | 200000                 | 08/07/2010                 |
| 560     | M/s Softrak Technology Exports Limited.     | Agarwal Complex, 6th Floor, C.G. Road, Navranpura, Ahmedabad – 380009, Gujarat                                                                                        | Delay in demat of shares                                        | 300000                 | 08/07/2010                 |
| 561     | M/s Softrak Venture Investments Limited.    | Agarwal Complex, 6th Floor, C.G. Road, Navranpura, Ahmedabad – 380009, Gujarat                                                                                        | Delay in demat of shares                                        | 250000                 | 08/07/2010                 |
| 562     | M/s Penta Bio-Tech Limited                  | 92/93, Sector 6A, II E, Sidcul, Haridwar - 246155                                                                                                                     | Delay in demat of shares                                        | 500000                 | 08/07/2010                 |
| 563     | Omega Housing Ltd.                          | Vishnu Villa, Station Road, Cambay(Khambhat) - 388620(Gujrat                                                                                                          | Blue Information Technology Ltd.                                | 200000                 | 09/07/2010                 |
| 564     | M/s Rane Computers Consultancy Limited.     | B-14, Borivali Shopping Centre, Chandavarkar lane, Borivali (West), Mumbai – 400092                                                                                   | Delay in demat of shares                                        | 250000                 | 09/07/2010                 |
| 565     | Chandrakant Parekh                          | A-62, Kirtisagar Apartment, 6th Floor, Near Prenatirath Derasar, Jodhpur, Satellite, Ahmedabad - 380 015                                                              | In the matter of IPO irregularities during the period 2003-2005 | 6600000                | 11/07/2010                 |
| 566     | Kalpesh Babariya                            | 8/16, M. K. Amin Marg, Patel Building, 3 <sup>rd</sup> Floor, Off. 30, Bora Street, Fort, Mumbai – 400 001                                                            | Karuna Cables Ltd                                               | 500000                 | 12/07/2010                 |
| 567     | Mehul Arunbhai Shah                         | 24, Parichay Park, Diwalipura, Baroda-390015                                                                                                                          | Parsoli Corporation Ltd - Case 2                                | 200000                 | 13/07/2010                 |
| 568     | Dipak Dwarkadas Vyas                        | 10, Bora Masjid Street, Modi House, 4th floor, Mumbai (E)-400 001                                                                                                     | KRBL Ltd.                                                       | 150000                 | 14/07/2010                 |
| 569     | Cavalier Securities Ltd.                    | C/O Ruchiraj Shares & Stock Brokers Private Limited, 306,Natwar Chamber,3rd Floor,94,Nagindas Master Road, Fort, Mumbai 400001                                        | Adam Comsof Ltd.                                                | 1800000.00             | July 28, 2010              |
| 570     | Girdharbhai Karu, Dir of Aravali Hsg. Fin   | 55/B, Pavitra Nagar Tenement, Opp. Cadila Lab, Ghodsar, Ahmedabad- 380 025                                                                                            | Kolar Biotech Ltd.                                              | 3000000                | 16/07/2010                 |
| 571     | Reliable Wind Energy Ltd.                   | B/23, 2nd Floor, Suryavan, Nr. Judges Bunglow, Bodakdev, Ahmedabad                                                                                                    | GCCL Infrastructure & Projects Ltd                              | 200000                 | 16/07/2010                 |
| 572     | Bela Kayastha                               | 601, Upper Level, Nalanda Complex, Near Mansi Complex, Premchand Nagar Road, Satellite, Ahmedabad-380015                                                              | Adani Exports Ltd 2003                                          | 150000                 | 19/07/2010                 |
| 573     | M/s. Scintilla Software Technology Limited. | ( 111, Marwah Industrial Estate, Off. Saki Vihar Road, Sakinaka, Andheri (East), Mumbai – 400072 (iB- 48, 63/2, Citi Centre, The Mall, Kanpur , Uttar Pradesh- 208001 | Delay in demat of shares                                        | 500000                 | 21/07/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                                             | ADDRESS OF THE DEFAULTER                                                                                   | VIOLATION IN THE SCRIP OF        | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|----------------------------------|------------------------|----------------------------|
| 574     | Kolar Sharex Pvt Ltd.                                             | Narayan Building, 23, L. N. Road, Dadar (East), Mumbai – 400 01                                            | Kolar Biotech Ltd.               | 3000000                | 22/07/2010                 |
| 575     | Rajesh Kantilal Shah                                              | 28/30 Baroda Street, Office No. 9, Masjid Bander, Mumbai-9                                                 | KRBL Ltd.                        | 50000                  | 23/07/2010                 |
| 576     | Dilip Vakharia                                                    | 42, Raval Bldg, B. D. Marg, Mumbai-400 007                                                                 | KRBL Ltd.                        | 225000                 | 23/07/2010                 |
| 577     | M/s Biowin Pharma (India) Limited                                 | D-11, Kalyan-Shill Road, Phase 2, Manpada, Dombivali (East), Mumbai - 421204                               | Delay in demat of shares         | 200000                 | 24/07/2010                 |
| 578     | M/s Chicago Software Industries Limited.                          | 28, Hajoori Chambers, Zampa Bazar, Surat – 395003, Gujarat                                                 | Delay in demat of shares         | 350000                 | 24/07/2010                 |
| 579     | Vishal kumar Textile Ltd                                          | C/o Ajit Suryavanshi, Director, Jagdhari Bhaiya Chawl, Koldongri, Sahar Road, Andheri (East), Mumbai       | Karuna Cables Ltd                | 500000                 | 26/07/2010                 |
| 580     | Vikas Narnavar                                                    | C/151, Shastri Nagar, Vibhag C, Sion, Koliwada, Mumbai -22                                                 | Somani Cement company Ltd.       | 150000                 | 27/07/2010                 |
| 581     | Vikas Narnavar                                                    | C-51, Shastri Nagar, Vibhag - C, Sion Koliwada, Mumbai - 400022                                            | Somani Cements Ltd.              | 150000                 | 27/07/2010                 |
| 582     | Ramesh Chandra Kesharimal Jain(ADIPJ1942R)                        | 29 MADINA MANSION GOVINDJI KENI ROAD.HIND MATA NAIGOAN DADAR EAST MUMBAI                                   | Shalimar Production Ltd.         | 500000                 | 27/07/2010                 |
| 583     | Rameshchandra Kesharimal Jain                                     | 16, Venu Apartment, Keshar Bagh, B J Deorukh Road, Naigaon, Dadar (E), Mumbai - 400014                     | Shalimar Production Ltd.         | 500000                 | 27/07/2010                 |
| 584     | Giridhar Jayaram Vagadia                                          | 8116, MK Amin Marg, Patel Building, 3rd Floor, Room No. 30, Fort, Mumbai- 400 00                           | Somani Cement company Ltd.       | 200000                 | 28/07/2010                 |
| 585     | Ramniklal Patel                                                   | 8116, MK Amin Marg, Patel Building, 3rd Floor, Room No. 30, Fort, Mumbai- 400 00                           | Somani Cement company Ltd.       | 200000                 | 28/07/2010                 |
| 586     | Ramniklal Patel                                                   | 8116, MK Amin Marg, Patel Building, 3rd Floor, Room No. 30, Fort, Mumbai- 400 00                           | Somani Cement company Ltd.       | 200000                 | 28/07/2010                 |
| 587     | Shri Sai Shraddha Leasing & Hire Purchase Finance Private Limited | R-3, Palm Springs Apartments, 18th Floor, Cuffe Parade Road, Cuffe Parad, Mumba 400005                     | Betala Global Securities Limited | 300000                 | 28/07/2010                 |
| 588     | Shr Sachin Suresh Patil                                           | 2/3, Gopal Bhuvan Wadi, K. G. Marg, Opp. Khed Galli, Prabhadevi, Mumbai – 25                               | Genus Commu Trade Ltd.           | 100000                 | 28/07/2010                 |
| 589     | Nexus Software Ltd.                                               | 210, Golden Trade Centre, Station Road, Near ST Depot, Vadodara- 390005                                    | Investor Complaint               | 1000000                | 28/07/2010                 |
| 590     | Ramesh Jain                                                       | Flat No. 16, 1st Floor, Venu Apartment, Kesar Baug, B.J Deorukar Road, Naigaon, Dadar (E), Mumbai - 400014 | Somani Cements Ltd.              | 150000                 | 29/07/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                 | ADDRESS OF THE DEFAULTER                                                                                                                                               | VIOLATION IN THE SCRIP OF                | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|------------------------|----------------------------|
| 591     | Innovision Ecommerce Ltd.             | Skyscraper 'B' Building, Ground Floor,4/697, Bhulabhai Desai Road, Mumbai – 400 026                                                                                    | Innovision Ecommerce Ltd.                | 200000                 | 29/07/2010                 |
| 592     | DPS Shares & Securities Pvt. Ltd.     | 428, Rawal Building no.1, 1st Floor, Lamington Road, Mumbai- 400 00                                                                                                    | KRBL Ltd.                                | 350000                 | 29/07/2010                 |
| 593     | Harish Amrutlal Mehta                 | B-3, Kusum Kunj, Gokhale Road, Dahanukar Wadi, Kandivali West, Mumbai                                                                                                  | Shree Yaax Pharma & cosmetics Ltd.       | 500000                 | 30/07/2010                 |
| 594     | Cavelier Sec Ltd.                     | 34, Kamdhenu Complex, Near Panjrapole, Ambawadi, Ahmedabad-380015                                                                                                      | Kolar Biotech Ltd.                       | 2500000                | 30/07/2010                 |
| 595     | Cavelier Securities Ltd.              | 34, Kamdhenu Complex, Near Panjrapole, Ambawadi, Ahmedabad-380015                                                                                                      | Adam Comsof Ltd.                         | 1800000                | 30/07/2010                 |
| 596     | Sachin Patil                          | T2/3, Gopal Bhuvan Wadi, K.G. Marg, Opposite Khed Galli, Prabhadevi, Mumbai                                                                                            | Vijay Textile Ltd                        | 500000                 | 30/07/2010                 |
| 597     | Elite Investments & Holdings P Ltd.   | 111, Marwah Industrial Estate, Saki Vihar Road, Saki Naka, Andheri (East), Mumbai - 400072 3-6-418, Street No.3, Himayatnagar, Hyderabad - 500029                      | Cauvery Software Engineering Systems Ltd | 1500000                | 30/07/2010                 |
| 598     | Amazing Investments & Finance P Ltd.  | 111, Marwah Industrial Estate, Saki Vihar Road, Saki Naka, Andheri (East), Mumbai - 400072 502B, Kalpana Apartment, Shirley Rajan Road, Bandra (West), Mumbai - 400050 | Cauvery Software Engineering Systems Ltd | 1500000                | 30/07/2010                 |
| 599     | M/s Synthetics and Chemicals Limited. | D 6 Shri Vakrantund Co op Hsg. Society Ltd., Plot No. 565, Sector-5, Opp charkop Bus Depot, Kandivali, Mumbai – 40006 Maharashtra.                                     | Delay in demat of shares                 | 300000                 | 30/07/2010                 |
| 600     | Navin Thakkar                         | Indira Nagar No. 3, Munshi Apt, Mehul Talkies, Nahur Road, Mulund (W), Mumbai - 400080                                                                                 | Eltrol Ltd.                              | 250000                 | 03/08/2010                 |
| 601     | Ranjan Mandal                         | C-32, Blue Mountain Bldg, Flat No.701, Shastri Nagar, Andheri (W), Mumbai                                                                                              | Today's Writing Products-II              | 200000                 | 03/08/2010                 |
| 602     | M/s Solid Carbide Tools Limited.      | Plot No. R- 402, TTC Industrial Area, Rabale, Navi Mumbai – 400701,Maharashtra                                                                                         | Delay in demat of shares                 | 500000                 | 05/08/2010                 |
| 603     | Ritedeal Trading Co. Pvt. Ltd.        | Jagdhari Yadav Chawl, Koldongri, Sahar Road, Andheri (East), Mumbai                                                                                                    | Mega Corporation Ltd                     | 300000                 | 06/08/2010                 |
| 604     | Vishal kumar Textile Ltd              | C/o Ajit Suryavanshi, Director, Jagdhari Bhaiya Chawl, Koldongri, Sahar Road, Andheri (East), Mumbai                                                                   | Mega Corporation Ltd                     | 400000                 | 06/08/2010                 |
| 605     | Mahendra Gopal Gorivale               | 3, Hemu Castle, Dadabhai Road, Gokhalebai School, Vile Parle (W), Mumbai – 400 056                                                                                     | Mega Corporation Ltd                     | 500000                 | 06/08/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                      | ADDRESS OF THE DEFAULTER                                                                       | VIOLATION IN THE SCRIP OF  | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------------|------------------------------------------------------------------------------------------------|----------------------------|------------------------|----------------------------|
| 606     | M/s Ventron Polymers Limited.              | 5, Onlooker Building, 14, Sir P.M. Road, Mumbai – 400001                                       | Delay in demat of shares   | 250000                 | 06/08/2010                 |
| 607     | Deepak Todkar                              | Baburao Pahilvan Chawl, Lane, No.1/13, Sahar Road, Koldongri, Andheri (East), Mumbai           | Mega Corporation Ltd       | 75000                  | 11/08/2010                 |
| 608     | Deepak Todkar                              | Baburao Pahilvan Chawl, Lane, No.1/13, Sahar Road, Koldongri, Andheri (East), Mumbai           | Mega Corporation Ltd       | 100000                 | 11/08/2010                 |
| 609     | Jaitra Estate Holdings Pvt. Ltd.           | 1, Cosmos Commercial Centre, 2nd Floor, 3rd Khar (west), Mumbai - 400052                       | Soundcraft Industries Ltd. | 2500000                | 11/08/2010                 |
| 610     | Jagdish Parab                              | 404 Jay Sreeram Appt Phakhadi, Kharegaon, Kalwa (W), Mumbai-Pune Rd, Thane                     | Mega Corporation Ltd       | 100000                 | 12/08/2010                 |
| 611     | Prakash hanumant Yadav                     | Chowl No 15, Gaikwad Nagar, P. Y. Thorat Marg, Chembur, Mumbai - 400 079                       | Mega Corporation Ltd       | 100000                 | 12/08/2010                 |
| 612     | Santosh Pawar                              | 8 Devraj Jagmohan Chawl, Kaldongri Rd No 1 Sahar Rd, Andheri (E) Mumbai                        | Mega Corporation Ltd       | 300000                 | 12/08/2010                 |
| 613     | Stockholm Mercantile Co. Pvt. Ltd          | C / 18 Asha Building, Dixit Road, Near Dahanukar College, Vile Parle (E), Mumbai               | Mega Corporation Ltd       | 100000                 | 12/08/2010                 |
| 614     | Ramesh Chandra Kesharimal Jain(ADIPJ1942R) | 29 MADINA MANSION GOVINDJI KENI ROAD.HIND MATA NAIGOAN DADAR EAST MUMBAI                       | Genus Commu Trade Ltd.     | 40000                  | 16/08/2010                 |
| 615     | Shr Rameshchandra Kesharimal Jain          | 29, Madina Manision, Govindji Keni Road, Hindmata Naigaon, Dadar, Mumbai 14                    | Genus Commu Trade Ltd.     | 50000                  | 16/08/2010                 |
| 616     | Malvika Engineering Ltd. (Mr. P.Y. Jhala)  | B/10, Siddharth Patel Square, Opp. Vaccine Institute, Old Padra Road, Vadodara-390015          | Malvika Engineering Ltd    | 1300000                | 24/08/2010                 |
| 617     | P.Y. Jhala                                 | B/10, Siddharth Patel Square, Opp. Vaccine Institute, Old Padra Road, Vadodara-390015          | Malvika Engineering Ltd    | 1600000                | 24/08/2010                 |
| 618     | M/s Yash Capital                           | Shop No. 4, Laxmandhara, Near Samnath Temple, Desai Wadi, Jetpur, Gujarat – 360 370.           | Atul Auto Ltd.             | 250000                 | 24/08/2010                 |
| 619     | Usha (India) Limited.                      | Road No. 1, Upsidic Industrial Estate, Jandishpur, Sultanpur District, Uttar Pradesh – 227 817 | Delay in demat of shares   | 10000000               | 26/08/2010                 |
| 620     | Prem Prakash Thanvi                        | 10, S.S. Chanda Chawl, Kabutar Khana, Caves Road, Jogeshwari-E, Mumbai -400 060                | KRBL Ltd                   | 350000                 | 27/08/2010                 |
| 621     | Rajendra Prasad Shah                       | 291A, Rabindra Sarani, Kolkata                                                                 | Stenly Credit Capital Ltd  | 400000                 | 30/08/2010                 |
| 622     | Rajendra Prasad Shah                       | 291A, Rabindra Sarani, Kolkata                                                                 | Kay Vee Aar Ltd            | 300000                 | 30/08/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                | ADDRESS OF THE DEFAULTER                                                                                                                              | VIOLATION IN THE SCRIP OF           | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|------------------------|----------------------------|
| 623     | Carnation Financial Services Ltd.    | World Trade Centre, 6th Floor, 14/1/B, Ezra Street, Kolkata - 700 001                                                                                 | Jubuilant Organosys Ltd.            | 250000                 | 30/08/2010                 |
| 624     | Girdhar Vagadia (PAN: Not available) | 16 Palak Apartment, Jodhpur Gam, Ahmedabad-3850015<br>8/16, MK Amin Marg, Patel Building,, 3rd Floor, Office No. 30, Bora Street, Fort, Mumbai-400001 | Parsoli Corporation Ltd., - Case II | 150000                 | 30/08/2010                 |
| 625     | Vikas G. Narnavar                    | C/151, Shastri Nagar, Vibhag C, Sion Koliwada, Mumbai- 400 02                                                                                         | KRBL Ltd                            | 50000                  | 30/08/2010                 |
| 626     | Devyaniben Patel                     | Chowk Bazar, sani seri, Surat,, Gujarat- 390025                                                                                                       | Alpha Hi Tech Fuel Ltd              | 500000                 | 31/08/2010                 |
| 627     | Ramnikbhai Manjibhai Patel           | Patel building, Flat no.30,3rd floor, 8/16 M.K. Amin Marg,Fort,Mumbai                                                                                 | Alpha Hi Tech Fuel Ltd              | 800000                 | 31/08/2010                 |
| 628     | Madhubhai C Thakkar                  | D/2, Shantinath Flat, Behind Medilink hospital, Shayamal Char Rasta, Satellite, Ahmedabad – 380 051                                                   | Alpha Hi Tech Fuel Ltd              | 500000                 | 31/08/2010                 |
| 629     | M/s.Sunku Auto Limited               | Gautami Nagar, Cheekatimanupally, Kadiri Mandal, Anantapur - 315231, Andhra Pradesh.                                                                  | Delay in demat of shares            | 500000                 | 31/08/2010                 |
| 630     | M/s Vishwas Steels Limited.          | J-79, Tarapur MIDC Area, Boisar, Thane – 401 506, Maharashtra                                                                                         | Delay in demat of shares            | 1000000                | 31/08/2010                 |
| 631     | Sirpur Marketing Pvt. Ltd.           | Sirpur Marketing Pvt. Ltd.                                                                                                                            | Bolton Properties Ltd               | 700000                 | 03/09/2010                 |
| 632     | Shilpa Sakpal                        | c/o Sagar P. Ruprelia, 8, Jalaram Niwas, GG Road, Mulund (W), Mumbai 400080                                                                           | Eltrol Ltd.                         | 400000                 | 03/09/2010                 |
| 633     | Vikas Narnavar Ltd.                  | C-151, Shastri Nagar, Vibhog – C, Sion, Koliwada, Mumbai – 400022                                                                                     | Saarc Net Ltd.                      | 200000                 | 06/09/2010                 |
| 634     | Albright Consultants Pvt. Ltd.       | Albright Consultants Pvt. Ltd.                                                                                                                        | Bolton Properties Ltd               | 200000                 | 07/09/2010                 |
| 635     | Navin Thakkar                        | Indira Nagar No. 3, Munshi Apt, Mehul Talkies, Nahur Road, Mulund (W), Mumbai - 400080                                                                | Eltrol Ltd.                         | 500000                 | 07/09/2010                 |
| 636     | Shilpa Sakpal                        | c/o Sagar P. Ruprelia, 8, Jalaram Niwas, GG Road, Mulund (W), Mumbai 400080                                                                           | Eltrol Ltd.                         | 450000                 | 08/09/2010                 |
| 637     | Ramesh K Jain *                      | 16, Venu Apartment Kesar Bagh,B.J. Deorukh Road, Naigaon, Dadar (E) Mumbai 400014                                                                     | Oasis Media Matrix Ltd I            | 200000                 | 09/09/2010                 |
| 638     | Jignesh P Lakhani                    | 1/27, Anam Niwas, MG Road, Mulund (W), Mumbai - 400080                                                                                                | Eltrol Ltd.                         | 350000                 | 14/09/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                  | ADDRESS OF THE DEFAULTER                                                                                                                | VIOLATION IN THE SCRIP OF           | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|------------------------|----------------------------|
| 639     | OMML                                   | 209/1, Second Floor, Wall Street-I, Opp. Orient Club, Ellisbridge, Ahmedabad – 380006                                                   | OMML-2                              | 2500000                | 16/09/2010                 |
| 640     | Vasant C Shah                          | B/12, Ratri Sagar Complex, Opposite Gulab Tower, Thaltej, Ahmedabad                                                                     | OMML-2                              | 1000000                | 16/09/2010                 |
| 641     | Vasant C Shah *                        | Director Oasis Media Matrix Ltd,<br>B/12, Ratri Sagar Complex<br>Opposite Gulab Tower<br>Thaltej<br>Ahmedabad                           | Oasis Media Matrix Ltd I            | 1000000                | 16/09/2010                 |
| 642     | Ashwin C Shah                          | 209/2508, Pratiksha Apartment, Sola Road, Naranpura, Ahmedabad-380 013                                                                  | OMML-2                              | 200000                 | 17/09/2010                 |
| 643     | Madhusudan Thakkar(ACAPT3474F)         | 301, Nehdep Avenue, Opp Priyadarshani Tower, near Judges Banglow Road, Bodakdev, Gujrat-380054                                          | Shalimar Production Ltd.            | 1000000                | 21/09/2010                 |
| 644     | Madhusudan C Thakkar                   | 301, Nrhdpe Avenue, Opp. Priyadarshini Tower, Near Judges Bunglow Road, Bodakdev, Ahmedabad, Gujarat - 380054                           | Shalimar Production Ltd.            | 1000000                | 21/09/2010                 |
| 645     | TH Vakil Share & Securities P. Ltd.    | 922, PJ Towers, Dalal Street, Fort Mumbai 400001                                                                                        | Eltrol Ltd.                         | 400000                 | 23/09/2010                 |
| 646     | Amin Distributors (PAN: Not Available) | 3117 Kothawala Estate, Charwat, Dariapur, Ahmedabad-380001                                                                              | Parsoli Corporation Ltd., - Case II | 300000                 | 23/09/2010                 |
| 647     | Anushree Tradelink Private Ltd         | Anushree Tradelink Private Limited (Client) having address at Stephen House, 4, B. B. D. (East), 5th Floor, Room No. 77, Kolkata 700001 | Stenly Credit Capital Ltd           | 200000                 | 24/09/2010                 |
| 648     | harshad C Shah                         | 212/2541, Pratiksha Apartment, Sola Road, Naranpura,Ahmedabad-380 013                                                                   | OMML-2                              | 200000                 | 24/09/2010                 |
| 649     | bankesh Bhavsar                        | 2181, Bukhara Ni Pole, Delhi Chakla, Shahpur, Ahmedabad-380 001                                                                         | OMML-2                              | 200000                 | 28/09/2010                 |
| 650     | Amit Bhavsar                           | 20, Nilkant Apartment, Chandranagar Society, Maninagar, Ahmedabad 380001                                                                | OMML-2                              | 200000                 | 28/09/2010                 |
| 651     | Bankesh Bhavsar *                      | 2181, Bukhara Ni Pole,<br>Delhi Chakla,<br>Shahpur,<br>Ahmedabad – 380 001                                                              | Oasis Media Matrix Ltd I            | 200000                 | 28/09/2010                 |
| 652     | Godavari Commerce Pvt. Ltd             | Godavari Commerce Pvt. Ltd                                                                                                              | Bolton Properties Ltd               | 700000                 | 29/09/2010                 |
| 653     | Ashok Bhagat                           | H-19, Ramji Gupta Chawl, Sakharam Buva Patil Marg, (Gazadhar Band) Santacruz (W), Mumbai – 400 054                                      | Karuna cables ltd Interim order     | 200000                 | 29/09/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                               | ADDRESS OF THE DEFAULTER                                                                         | VIOLATION IN THE SCRIP OF                            | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------|--------------------------------------------------------------------------------------------------|------------------------------------------------------|------------------------|----------------------------|
| 654     | Ms. Bimla Gupta                                     | 60/39, New Rohtak Road, Karol Bagh, New Delhi 110 005                                            | Millenium Cybertech Ltd.                             | 200000                 | 29/09/2010                 |
| 655     | Rightstar Trading Co. Pvt. Ltd                      | B-102 Padmavati Palace, Nanda Patkar Road, Vile Parle (East), Mumba                              | Mega Corporation Ltd                                 | 100000                 | 30/09/2010                 |
| 656     | Ritedeal Trading Co. Pvt. Ltd.                      | Jagdhari Yadav Chawl, Koldongri, Sahar Road, Andheri (East), Mumbai                              | Mega Corporation Ltd                                 | 200000                 | 30/09/2010                 |
| 657     | Fineline Mercantile Co. Pvt. Ltd.                   | B/2, Flat No. 11, Monami Apartment, Near Chandan Talkies, Juhu, Mumbai                           | Mega Corporation Ltd                                 | 200000                 | 30/09/2010                 |
| 658     | Mahesh Adsul, Director of Adsul Trading Pvt. Ltd.   | Director- Adsul Trading Pvt. Ltd., 105/978, Ramabai, Nagar, Ghatkopar (East), Mumbai- 40007      | Adam Comsof Ltd.                                     | 100000                 | 30/09/2010                 |
| 659     | Ahimsa Leasing & Finance Ltd                        | 9-55 Royal Palace, Ist Floor, Main Vikas Marg Laxmi Nagar, Delhi                                 | Aarti Drugs Ltd, Havells India Ltd and Lyka Labs Ltd | 350000                 | 30/09/2010                 |
| 660     | Modi Hobert Ltd                                     | G-55, Royal Place, Main Vikash Marg, Laxmi Nagar, Delhi                                          | Aarti Drugs Ltd, Havells India Ltd and Lyka Labs Ltd | 350000                 | 30/09/2010                 |
| 661     | Santosh Gade                                        | Lal Batti, Hanuman Tekdi, Khandekar Maidan, Asalfa Village, Ghatkopar, Mumbai                    | K Sera Sera Production Ltd.                          | 400000                 | 30/09/2010                 |
| 662     | Anil Solanki                                        | C-207, Premratan CHS, Mandreshwar Road, Borivali (W), Mumbai - 400092                            | Eltrol Ltd.                                          | 75000                  | 30/09/2010                 |
| 663     | Vipul Bhavsar                                       | M-50, Shivani Apartment, Azad Society, Ambawadi, Ahmedabad 380006                                | OMML-2                                               | 200000                 | 30/09/2010                 |
| 664     | Amit Goel                                           | 308/19, Arunachal Building, Barakhamba Road, Connaught Place, New Delhi 110 001                  | Millenium Cybertech Ltd.                             | 200000                 | 30/09/2010                 |
| 665     | Piyush Jhaveri (Proprieter of M/s. Parshwa Finance) |                                                                                                  | IND Swift Ltd.                                       | 125000                 | 30/09/2010                 |
| 666     | S J Securities Private Limited                      | 308/19, Arunachal Building, Barakhamba Road, Connaught Place, Karol Bagh, New Delhi 110 001      | Millenium Cybertech Ltd.                             | 100000                 | 30/09/2010                 |
| 667     | Bela Kayastha                                       |                                                                                                  | IND Swift Ltd.                                       | 250000                 | 30/09/2010                 |
| 668     | Hemant Upadhyay                                     | D-302, Kanak Kala II, Someshwar Road, Satellite, Ahmedabad-380 015                               | OMML-2                                               | 200000                 | 01/10/2010                 |
| 669     | Harsiddhi Man-Power Services Pvt Ltd                | 45, Empire Tower, 4th Floor, Amiraj CHS, Near Associate Petrol Pump. CG Road, Ahmedabad - 380009 | Eltrol Ltd.                                          | 200000                 | 08/10/2010                 |

| SL. NO. | NAME OF THE DEFAULTER              | ADDRESS OF THE DEFAULTER                                                                                    | VIOLATION IN THE SCRIP OF              | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------|-------------------------------------------------------------------------------------------------------------|----------------------------------------|------------------------|----------------------------|
| 670     | Lila Laboratories (India) Ltd      | 45, Empire Tower, 4th Floor, Amiraj CHS, Near Associate Petrol Pump. CG Road, Ahmedabad - 380009            | Eltrol Ltd.                            | 150000                 | 08/10/2010                 |
| 671     | Vijay H Shah                       | 202, Kartavya Flats, Bhatha, Paldi, Ahmedabad 380007                                                        | OMML-2                                 | 200000                 | 11/10/2010                 |
| 672     | Shreerath Marketing Pvt Ltd        | 108, K-Block, Shree Ghanta Karan Mahavir Market, Near New Cloth Market, Sarangpur, Ahmedabad - 380002       | Eltrol Ltd.                            | 250000                 | 12/10/2010                 |
| 673     | Falgun D Shah                      | 302, Bodhi III, Opp mangalaya Towers, Anjali Cross Road, Vasna, Ahmedabad 380007                            | OMML-2                                 | 300000                 | 13/10/2010                 |
| 674     | Mona Falgun Shah                   | 302, Bodhi III, Opp mangalaya Towers, Anjali Cross Road, Vasna, Ahmedabad 380007                            | OMML-2                                 | 200000                 | 14/10/2010                 |
| 675     | Amit Goel                          | 308/19, Arunachal Building, Barakhamba Road, Connaught Place, New Delhi 110 001                             | Millenium Cybertech Ltd.               | 100000                 | 14/10/2010                 |
| 676     | Ms. Bimla Gupta                    | 60/39, New Rohtak Road, Karol Bagh, New Delhi 110 005                                                       | Millenium Cybertech Ltd.               | 100000                 | 14/10/2010                 |
| 677     | Ramesh Arora                       | 60/39, New Rohtak Road, Karol Bagh, New Delhi 110 005                                                       | Millenium Cybertech Ltd.               | 100000                 | 14/10/2010                 |
| 678     | S J Securities Private Limited     | 308/19, Arunachal Building, Barakhamba Road, Connaught Place, Karol Bagh, New Delhi 110 001                 | Millenium Cybertech Ltd.               | 100000                 | 14/10/2010                 |
| 679     | Anushree Tradelink Private Limited | 4, BBD Bag (East), 5th Floor, Kolkata-70001                                                                 | Bacchhat Investment Ltd.               | 300000                 | 15/10/2010                 |
| 680     | Cavalier Securities Pvt. Ltd.      | 34, Kamdhenu Complex Near Panjarpole, Ambawadi, Ahmedabad 380 015                                           | KC Bokadia Films Ltd. (non Compliance) | 100000                 | 21/10/2010                 |
| 681     | Lalchand Mewara                    | Flat No C-1, 1st Floor, C Bldg, Shamaile Complex, Balaji Nagar, Near Railway Station, Bhayander (W), Mumbai | Karuna cables ltd Interim order        | 100000                 | 21/10/2010                 |
| 682     | Amit Easy Finance Ltd              | 45, Empire Tower, 4th Floor, Amiraj CHS, Near Associate Petrol Pump. CG Road, Ahmedabad - 380009            | Eltrol Ltd.                            | 250000                 | 21/10/2010                 |
| 683     | Hitesh P Shah                      | Ground Floor, Kinjal House, Karnavati Society, Usmanpura, Ahmedabad 380013                                  | OMML-2                                 | 200000                 | 21/10/2010                 |
| 684     | Anushree Tradelink Private Limited | 4, BBD Bag (East), 5th Floor, Kolkata-70001                                                                 | Amluckie Investment Co. Ltd.           | 200000                 | 26/10/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                             | ADDRESS OF THE DEFAULTER                                                                                             | VIOLATION IN THE SCRIP OF                   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---------------------------------------------|------------------------|----------------------------|
| 685     | Srijan Vyapar Pvt. Ltd.                           | 23/1, Principal Khudiram Bose Road, Kolkata-700006                                                                   | Amluckie Investment Co. Ltd.                | 200000                 | 26/10/2010                 |
| 686     | Swarnanga Trading Private Limited                 | Swarn Ganga Trading Private Limited (Client) having address at 137, Cotton Street, Kolkata 70000                     | Amluckie Investment Co. Ltd.                | 200000                 | 26/10/2010                 |
| 687     | Sanyog Commodities (P) Ltd.                       | 13, K B Saran Mall Enclave, Block A, Flat 204, Kolkata-700080                                                        | Sangotri Constructions Ltd                  | 400000                 | 26/10/2010                 |
| 688     | Vithal Patil, Director of Adsul Trading Pvt. Ltd. | Director- Adsul Trading Pvt. Ltd., 105/978, Ramabai, Nagar, Ghatkopar (East), Mumbai- 40007                          | Adam Comsof Ltd.                            | 100000                 | 26/10/2010                 |
| 689     | Abor Vitae Investments                            | Suite 308, St. James Court , St. Denis Street,Port Louis, Mauritius                                                  | GDR Conversions-Silverline Technologies Ltd | 3500000                | 26/10/2010                 |
| 690     | Abor Vitae Investments                            | Suite 308, St. James Court, St. Denis Street, Port Louis, Mauritius                                                  | GDR Convsrsions-Pentamedia Graphics Ltd     | 3000000                | 26/10/2010                 |
| 691     | Sharpline Trading Co Pvt. Ltd                     | B/2, Flat No.11, Monami Apartment, Near Chandan Talkies, Juhu, Mumbai                                                | Mega Corporation Ltd                        | 200000                 | 27/10/2010                 |
| 692     | Ramdas Kshirsagar                                 | Room No 229 Geeta Nagar, Navy Nagar Mumbai                                                                           | Mega Corporation Ltd                        | 200000                 | 27/10/2010                 |
| 693     | Cavalior Securities Ltd.                          | 34, Kamdhenu Complex, Near Panjrapole, Ambawadi, Ahmedabad-380 015                                                   | India Polyspin Ltd.                         | 600000                 | 27/10/2010                 |
| 694     | Naresh shah                                       |                                                                                                                      | Havells India Ltd.                          | 300000                 | 27/10/2010                 |
| 695     | KC Bokadia Films Ltd.                             | 402, Anand Housing Society, JuhuVersova Link Road, Andheri (W), Mumbai 400053                                        | KC Bokadia Films Ltd. (non Compliance)      | 1000000                | 28/10/2010                 |
| 696     | Giridharbhai J Vagadiya                           | 8/16, M. K. Amin Marg, Patel Building, 3rd Floor, Off. 30, Bora Street, Fort, Mumbai – 400 001                       | Karuna Cables Ltd Interim order             | 200000                 | 28/10/2010                 |
| 697     | Nitin Sawant, Director of Million Investrade Ltd. | Director of Million Investrade Ltd., Rashmi Chawl, Room No: 2, Shiv Tekri, Caves Road, Jogeswari(East), Mumbai-40000 | Adam Comsof Ltd.                            | 100000                 | 28/10/2010                 |
| 698     | Bela H. Kayastha                                  | 60-1, Upper Level, Nalanda Complex, Near Mansi Complex, Prem Chand Nagar Road, Satelite, Ahmedabad.                  | KRBL Ltd                                    | 500000                 | 28/10/2010                 |
| 699     | Shri Parshwa Finance (Prop: Piyush Jhaveri        | 113, Kamdhenu Complex, Opposite, opposite Sahjanand, Ambawadi, Ahmedabad-380 001                                     | KRBL Ltd                                    | 800000                 | 28/10/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                       | ADDRESS OF THE DEFAULTER                                                                                  | VIOLATION IN THE SCRIP OF       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------------------------------|------------------------|----------------------------|
| 700     | Fineline Mercantile Company Private Limited | B-2, Flat No. 11, Momani Apartment, Near Chandan Talkies, Juhu, Mumbai 400 049                            | Millenium Cybertech Ltd.        | 200000                 | 28/10/2010                 |
| 701     | Ramasudhkaran Menon                         | B/304, Geeta Bhavan CHSL, Geeta Sagar, Phase 3, Mira Road (E), Thane 401107                               | Millenium Cybertech Ltd.        | 100000                 | 28/10/2010                 |
| 702     | Anushree Tradelink Private Limited          | 4, BBD Bag (East), 5th Floor, Kolkata-70001                                                               | Oasis Cine Communications Ltd.  | 200000                 | 29/10/2010                 |
| 703     | Swarnganga Trading Private Limited          | 23/1, Principal Khudiram Bose Road, Kolkata-700006                                                        | Oasis Cine Communications Ltd.  | 200000                 | 29/10/2010                 |
| 704     | Kishan Agarwal                              | A/201, Kasabalnka Building, Old Raviraj Complex, Jasal Park, Bhayander East - 401105                      | Rajesh Exports Ltd              | 200000                 | 29/10/2010                 |
| 705     | Ratnaram B Rabari                           | 13, Amar Chamber, Reid Road, Railwaypura, Ahmedabad – 380002                                              | Alka India Ltd 2                | 150000                 | 29/10/2010                 |
| 706     | Cavalier Securities Ltd                     | 205, Sahajanand, Shahibaug Road, Shahibaug Ahmedabad 380004                                               | Alka India Ltd 2                | 250000                 | 29/10/2010                 |
| 707     | Jayesh Shah                                 | A/19 Sai Nagar, M G Road, Kandivali, Mumbai                                                               | Karuna cables ltd Interim order | 300000                 | 02/11/2010                 |
| 708     | Hitendra Chaturbhai Makwana                 | 107, Bhagesh Nagar, Near Santoshi Nagar, Amraiwadi, Ahmedabad - 380026                                    | Eltrol Ltd.                     | 200000                 | 02/11/2010                 |
| 709     | Devendra Vadhaiya                           | Mr. Devendra Vadhaiya, A/14, Mahakali Kutir, Saibaba Mandir, Mahakali Road, Andheri (East), Mumbai-400004 | Gemstone Investments Ltd.       | 300000                 | 02/11/2010                 |
| 710     | Fineline Mercantile Company Private Limited | B-2, Flat No. 11, Momani Apartment, Near Chandan Talkies, Juhu, Mumbai 400 049                            | Millenium Cybertech Ltd.        | 200000                 | 02/11/2010                 |
| 711     | Goldcity Exports Private Limited            | 2nd Floor, Asha Building, Dixit Road, Near Dahanukar College, Vile Parle (East), Mumbai 400 057           | Millenium Cybertech Ltd.        | 300000                 | 02/11/2010                 |
| 712     | Rajput Textiles Private Limited             | 162/68-70, Dadi Seth Agiary Lane, Room No. 12, 2nd Floor, Mumbai 400 002                                  | Millenium Cybertech Ltd.        | 100000                 | 02/11/2010                 |
| 713     | Ritedeal Trading Company Private Limited    | B-2, Momani Apartment, Near Chandan Talkies, Juhu, Mumbai 400049                                          | Millenium Cybertech Ltd.        | 100000                 | 02/11/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                        | ADDRESS OF THE DEFAULTER                                                                                 | VIOLATION IN THE SCRIP OF | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------|----------------------------------------------------------------------------------------------------------|---------------------------|------------------------|----------------------------|
| 714     | Deepak Todkar                                | Jai Singh Satari Chawl, Near Aradhna Building, Juhu Galli, Andheri (W), Mumbai 400 058                   | Millenium Cybertech Ltd.  | 100000                 | 03/11/2010                 |
| 715     | Amar Yuvraj Adhav                            | Saroj Hiralal Building, Koldongari, Galli No. 1, Sahar Road, Andheri East, Mumbai- 400069                | Millenium Cybertech Ltd.  | 100000                 | 12/11/2010                 |
| 716     | Deepak Narvekar                              | N 5 Ballu Bhaiya Chawl, Lane No.1, Koldongri, Sahar Road, Andheri (E), Mumbai 400069                     | Millenium Cybertech Ltd.  | 100000                 | 12/11/2010                 |
| 717     | Newleader Trading Company Private Limited    | 58, Maharashtra Bhavan, 4th Floor, Bora Masjid Street, Fort, Mumbai 400001                               | Millenium Cybertech Ltd.  | 100000                 | 12/11/2010                 |
| 718     | Prashant Khankari                            | 8/16, M. K. Amin Marg, Patel Building, 3rd Floor, Opp. Bora Street, fort, Mumbai 400001                  | Millenium Cybertech Ltd.  | 100000                 | 12/11/2010                 |
| 719     | Rightstar Trading Company Private Limited    |                                                                                                          | Millenium Cybertech Ltd.  | 200000                 | 12/11/2010                 |
| 720     | Stockholm Mercantile Company Private Limited |                                                                                                          | Millenium Cybertech Ltd.  | 200000                 | 12/11/2010                 |
| 721     | Vishal kumar Textile Ltd                     | C/o Ajit Suryavanshi, Director, Jagdhari Bhaiya Chawl, Koldongri, Sahar Road, Andheri (East), Mumbai     | Mega Corporation Ltd      | 200000                 | 15/11/2010                 |
| 722     | Premkumar Singh                              | New Pushpa Bihar Bldg - 159 Mumbai                                                                       | Mega Corporation Ltd      | 100000                 | 15/11/2010                 |
| 723     | Nirmal Jain                                  | Amaltas, Above HDFC Bank, Juhu Versova Link Road, Andheri (W), Mumbai - 53                               | Mega Corporation Ltd      | 200000                 | 15/11/2010                 |
| 724     | Amar Yuvraj Adhav                            | Saroj Hiralal Building, Koldongari, Galli No. 1, Sahar Road, Andheri East, Mumbai- 400069                | Millenium Cybertech Ltd.  | 100000                 | 15/11/2010                 |
| 725     | Rajkishore Singh                             | Office No. 5, Ground Floor, Kalpataru Chambers, Nanik Motwani Marg, Opp. HDFC Bank, Fort, Mumbai 400 001 | Millenium Cybertech Ltd.  | 100000                 | 15/11/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                                                        | ADDRESS OF THE DEFAULTER                                                                                                                                                                                                          | VIOLATION IN THE SCRIP OF | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|----------------------------|
| 726     | Shri Santosh Krishna Pawar                                                   | 8 Devraj Jagmohan Chawl,<br>Road No. 1, Koldongri,<br>Sahar Road, Andheri (East)<br>Mumbai 400069                                                                                                                                 | Millenium Cybertech Ltd.  | 100000                 | 15/11/2010                 |
| 727     | Madhusudan Nair                                                              | D/46, Prijat , Gunsagar Nagar, Kalwa (W), Thane                                                                                                                                                                                   | KRBL Ltd.                 | 200000                 | 18/11/2010                 |
| 728     | Cardioid Marketing Pvt. Ltd (Directors Mr. Paras Chaplot & Mr. Rakesh Singhv | 28, Lal Building, 36, Goa Street, Ballard Estate, Mumbai – 400 038                                                                                                                                                                | Karuna Cables Ltd         | 100000                 | 19/11/2010                 |
| 729     | Mahendra Gopal Gorivale                                                      | 3, Hemu Castle, Dadabhai Road, Gokhalebai School, Vile Parle (W), Mumbai – 400 056                                                                                                                                                | Mega Corporation Ltd      | 200000                 | 19/11/2010                 |
| 730     | Deepak Narvekar                                                              | N 5 Ballu Bhaiya Chawl, Lane No.1, Koldongri, Sahar Road, Andheri (E),Mumbai 400069                                                                                                                                               | Millenium Cybertech Ltd.  | 100000                 | 19/11/2010                 |
| 731     | Rightstar Trading Company Private Limited                                    | 58, Maharashtra Bhavan, 4th Floor, Bora Masjid Street, Fort, Mumbai - 400 001                                                                                                                                                     | Millenium Cybertech Ltd.  | 200000                 | 19/11/2010                 |
| 732     | Newleader Trading Company Private Limited                                    | 58, Maharashtra Bhavan, 4th Floor, Bora Masjid Street, Fort, Mumbai 400001                                                                                                                                                        | Millenium Cybertech Ltd.  | 200000                 | 19/11/2010                 |
| 733     | Top Flag Exports Pvt Ltd (Directors Ramdas Kshirsagar and Santosh Jagtap)    | D-708 Crystal Plaza, Andheri Link Rd, Opp. Fame Adlabs, Andheri (W), Mumbai a) Ramdas Kshirsagar, Room No 229 Geeta Nagar, Navy Nagar Colabal, Mumbai-400005 b) Santosh Jagtap, Dhankar Chawl, Mominpada, New Panvel, Navi Mumbai | Mega Corporation Ltd      | 200000                 | 22/11/2010                 |
| 734     | Amar Adhav                                                                   | Saroj Hiralal Building, Koldongari Gali No. 1, Sahar Road., Andheri (E), Mumbai                                                                                                                                                   | Mega Corporation Ltd      | 500000                 | 22/11/2010                 |
| 735     | Ampu Traders Private Ltd.                                                    | 27/20, Mallam Market, Grant Road, X Lane, Mumbai - 400 007                                                                                                                                                                        | Millenium Cybertech Ltd.  | 100000                 | 22/11/2010                 |
| 736     | Stockholm Mercantile Company Private Ltd.                                    | 58, Maharashtra Bhavan, 4th Floor, Bora Masjid Street, Fort, Mumbai - 400 001                                                                                                                                                     | Millenium Cybertech Ltd.  | 200000                 | 22/11/2010                 |
| 737     | Prashant Khankari                                                            | 8/16, M. K. Amin Marg, Patel Building, 3rd Floor, Opp. Bora Street, fort, Mumbai 400001                                                                                                                                           | Millenium Cybertech Ltd.  | 100000                 | 22/11/2010                 |
| 738     | Seagull Leafin Limited                                                       | 11/12, Raghuvanshi Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai- 400003                                                                                                                                               | Seagull Leafin Limited    | 1000000                | 25/11/2010                 |

| SL. NO. | NAME OF THE DEFAULTER                                                               | ADDRESS OF THE DEFAULTER                                                                                       | VIOLATION IN THE SCRIP OF                                                           | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------|----------------------------|
| 739     | M/s. Bhuvana Tripura Industries Ltd.,                                               | 3rd Floor, Pushkar Chambers, Prof. Manek Rao Road, Dandibazar, Baroda - 390001                                 | Delay in demat of shares                                                            | 500000                 | 25/11/2010                 |
| 740     | Santosh Pawar                                                                       | 8 Devraj Jagmohan Chawl, Kaldongri Rd No 1 Sahar Rd, Andheri (E) Mumbai                                        | Mega Corporation Ltd                                                                | 200000                 | 26/11/2010                 |
| 741     | Santosh Jagtap                                                                      | Mominpada, Dinkar Chawl, New Panvel, New Mumbai, Mumbai                                                        | Mega Corporation Ltd                                                                | 200000                 | 26/11/2010                 |
| 742     | Rajkishor Singh                                                                     | Office No. 5, Ground Floor Kalpataru Chamber, Nanik Motwani Lane, Opp. HDFC Bank, Fort, Mumbai                 | Mega Corporation Ltd                                                                | 200000                 | 26/11/2010                 |
| 743     | Ranjan Mandal                                                                       | C-32, Blue Mountain Bldg, Flat No.701, Shastri Nagar, Andheri (W), Mumbai                                      | Mega Corporation Ltd                                                                | 200000                 | 26/11/2010                 |
| 744     | Mr. Rajnish B Jain                                                                  | BRICS Securities Ltd., Sadhana House, 1st Floor, 570, P.B.Marg, Behind Mahindra Towers, Worli, Mumbai – 400018 | Allcargo Global Logistics Ltd. and Unity Infraprojects Ltd.                         | 500000                 | 29/11/2010                 |
| 745     | S N Iyer                                                                            | 11/12, Raghuvanshi Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai- 400005                            | Seagull Leafin Limited                                                              | 100000                 | 29/11/2010                 |
| 746     | Euphoria Multitrade Private Limited                                                 | 603/B, Golden Green Building No. 2, C. Colony, Borivali (W), Mumbai-400102                                     | Seagull Leafin Limited                                                              | 500000                 | 29/11/2010                 |
| 747     | Knox Finance Limited                                                                | Gen Costa Road, Panaji, Goa-403001                                                                             | Seagull Leafin Limited                                                              | 100000                 | 29/11/2010                 |
| 748     | M/s.Gordon Herbert (India) Limited,                                                 | Village Gujartola, Amethi Road, Gauriganj, Raibareilly, Dist. Sultanpur, Uttar Pradesh – 22800                 | Delay in demat of shares                                                            | 1000000                | 29/11/2010                 |
| 749     | R K Kapur                                                                           | 11/12, Raghuvanshi Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai- 400004                            | Seagull Leafin Limited                                                              | 200000                 | 30/11/2010                 |
| 750     | Eastwood Investment Private Limited                                                 | 111, Marwah Industrial Estate, Saki Vihar Road, Andheri (E), Mumbai- 400072                                    | Seagull Leafin Limited                                                              | 500000                 | 30/11/2010                 |
| 751     | Amol Finance and Investment Private Limited                                         | 603, Golden Green 2, C. Colony Extension, Holy Cross Road, Borivali (W), Mumbai-400102                         | Seagull Leafin Limited                                                              | 500000                 | 30/11/2010                 |
| 752     | Asian Films Production and Distributions Ltd. (Formerly K C Bokadia Films Limited ) | 402, Anand Housing Society, JuhuVersova Link Road, Andheri (W), Mumbai 400053                                  | Asian Films Production and Distributions Ltd. (Formerly K C Bokadia Films Limited ) | 500000                 | 01/12/2010                 |
| 753     | Anil Kumar Bhootra                                                                  | B-1, Navdeep Flats, opp. Sarthi Bunglow, Nr. A-One School, Subash Chowk, Gurukul, Ahmedabad - 380052           | Somani Cements Ltd.                                                                 | 100000                 | 01/12/2010                 |
| 754     | Amit Tripathi                                                                       |                                                                                                                | Somani Cements Company Ltd                                                          | 100000                 | 01/12/2010                 |

| SL. NO. | NAME OF THE DEFAULTER              | ADDRESS OF THE DEFAULTER                                                                                                                | VIOLATION IN THE SCRIP OF          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|------------------------|----------------------------|
| 755     | Sureshkumar P Jain                 | Shop No 2, Maharashtra Agency, Nagoo Safafi Building No. 16, Balaram Street, Mumbai 400007                                              | Karuna cables ltd Interim order    | 200000                 | 02/12/2010                 |
| 756     | Mukesh Shah                        | 14, Lily Kunj, Near Damodar Wadi, Kandivali (East), Mumbai – 400 101                                                                    | Karuna cables ltd Interim order    | 200000                 | 02/12/2010                 |
| 757     | Star Corporation                   | A/104, Ramesh Aparment, Kastur Park, Simpoly Road, Mumbai - 400092                                                                      | Kosian Industries Ltd              | 75000                  | 03/12/2010                 |
| 758     | Santosh Narvekar                   | Kalptaru Chamber<br>Ground floor, office no 5,<br>Nanik motwani marg,<br>Mumbai                                                         | Vijay Textile Ltd                  | 300000                 | 03/12/2010                 |
| 759     | Hemrajsingh Vagehla                |                                                                                                                                         | Shree Yaax Pharma & Cosmetics Ltd  | 200000                 | 03/12/2010                 |
| 760     | Samir M Shah                       |                                                                                                                                         | Shree Yaax Pharma & cosmetics Ltd. | 200000                 | 03/12/2010                 |
| 761     | Dadasaheb Gavhane                  | A-12, Dhankar Chawl, Maninpada, Panvel, Navi Mumbai                                                                                     | Mega Corporation Ltd               | 300000                 | 07/12/2010                 |
| 762     | Anushree Tradelink Private Limited | Anushree Tradelink Private Limited (Client) having address at Stephen House, 4, B. B. D. (East), 5th Floor, Room No. 77, Kolkata 700001 | Toplight Commercial Ltd            | 100000                 | 08/12/2010                 |
| 763     | Kiran Dhanavade                    | Nagesh Wadi GhatlaVillage, Chembur, Mumbai                                                                                              | Mega Corporation Ltd               | 100000                 | 08/12/2010                 |
| 764     | Sandeep Kadam                      | 16 A Hirji Bhoj Raj Chawl, Ghodap Deo, 1st Floor Room No 27, Mumbai                                                                     | Mega Corporation Ltd               | 100000                 | 08/12/2010                 |
| 765     | Mahesh Kokate                      | 100 Saldhari Chawl, Koldongari, Sahar Road, Andheri(E), Mumbai                                                                          | Mega Corporation Ltd               | 100000                 | 08/12/2010                 |
| 766     | Ramdas Kshirsagar                  | Ground floor, 229 Geta Nagar,<br>Navy Nagar,<br>Colaba,<br>Mumbai                                                                       | Vijay Textile Ltd                  | 300000                 | 08/12/2010                 |
| 767     | Scope Vyapar Private Limited       | Scope Vyapar Private Limited                                                                                                            | Shubh Laxmi Projects Ltd.          | 500000                 | 15/12/2010                 |
| 768     | Smita Hate                         | C/o-Shukun Construction Ltd. 421, Centre Point, R C Dutt Road, Alka Puri, Vadodara, Gujarat, 390007                                     | Shukun construction Ltd.           | 100000                 | 20/12/2010                 |
| 769     | Aiswarya Housing                   | 2, Gharangan Apartment, Behind Child Care Hospital, Navrangpura, Ahmedabad, 380009                                                      | Shukun construction Ltd.           | 500000                 | 20/12/2010                 |

| SL. NO. | NAME OF THE DEFAULTER             | ADDRESS OF THE DEFAULTER                                                                                                                                           | VIOLATION IN THE SCRIP OF                                       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|------------------------|----------------------------|
| 770     | Ramniklal Patel                   | 42/204, Rajeshwari Building, Jagdusa Nagar, Ghatkopar (W), Mumbai – 400 069 or at 8/16, Amin Marg, Patel Building, 3rd Floor, Office No 30, Fort, Mumbai – 400 001 | Saarc Net Ltd.                                                  | 100000                 | 21/12/2010                 |
| 771     | Sunil Satish Kuril                | Kailash Nagar Road No 28, Badrinath Chawl, I T I Wagle Estate, Thane West                                                                                          | Adani Exports Ltd. 2004-05                                      | 100000                 | 21/12/2010                 |
| 772     | Santosh Ramanath Gade             | Lalbatl Hanuman Tekdi Khandekar Maidan Asalffa Village, Ghatkopar West Mumbai -400084- Maharashtra                                                                 | Adani Exports Ltd. 2004-05                                      | 100000                 | 22/12/2010                 |
| 773     | Hitendra Chaturbhai Makwana       | 29 Shreeram Park, Opp Lotus School, Virat Nagar, Isanpur, Ahmedabad                                                                                                | Alka India Ltd                                                  | 200000                 | 23/12/2010                 |
| 774     | Ramdas Kshirsagar                 | Room No. 229, Geeta Nagar, Navy Nagar, Mumbai - 400005                                                                                                             | Eltrol Ltd.                                                     | 100000                 | 23/12/2010                 |
| 775     | Chandrakant Patel                 | G-17-195, Shivam Appartments, Near Octori naka, Nawa vadaj, Ahmedabad- 380 013                                                                                     | Alpha Hi Tech Fuel ltd                                          | 300000                 | 23/12/2010                 |
| 776     | Rajendra Adukia                   | B/302, Laxmi Narayan Apartment, Jesal Park, Bhayander (E), Thane                                                                                                   | Millenium Cybertech Ltd.                                        | 200000                 | 23/12/2010                 |
| 777     | Rajendra Adukia                   | B/302, Laxmi Narayan Apartment, Jesal Park, Bhayander (E), Thane                                                                                                   | Millenium Cybertech Ltd.                                        | 200000                 | 23/12/2010                 |
| 778     | Mahesh Mistry                     | Manraj Driver Chawl -2, Room no. 6, Koldongri, R.B. No. 1, Andheri (East), Mumbai                                                                                  | Shukun construction Ltd.                                        | 600000                 | 24/12/2010                 |
| 779     | Harish Kapadia                    | D 202, Goyal Shopping Centre, Borivali (W), Mumbai, 400064                                                                                                         | Shukun construction Ltd.                                        | 200000                 | 24/12/2010                 |
| 780     | Vishwas Kohli                     | R-19 Raj Kunj, Raj Nagar, Ghaziabad-201001                                                                                                                         | Vijay Textile Ltd                                               | 500000                 | 24/12/2010                 |
| 781     | Shankar Mahato                    | R-19, Raj Kunj, Raj Nagar, Ghaziabad – 201001                                                                                                                      | Vijay Textile Ltd                                               | 100000                 | 24/12/2010                 |
| 782     | Rajeev Kant                       | R-33, Raj Kunj, Raj Nagar, Ghaziabad                                                                                                                               | Vijay Textile Ltd                                               | 100000                 | 24/12/2010                 |
| 783     | Jayesh C Shah                     | 207, Jineshwar Darshan, D. N. Road, Opp. 52, Jinalaya, Bhayender, Thane - 401101                                                                                   | Hit Kit Global Solutions Ltd. (earlier: Sun Beam Infotech Ltd.) | 800000                 | 30/12/2010                 |
| 784     | Falguni Shah                      | 235, DoshiWada Ni Pole, Kuwa Walo Khancho, Kalupur, Ahmedabad.                                                                                                     | ADANI EXPORTS LTD -2003                                         | 150000                 | 30/12/2010                 |
| 785     | Jayantilal Patel                  | Pramanik Society, Radha Swami Road, 35, Rani Park,Ahmedabad-382480                                                                                                 | Aditya Infosoft LTd                                             | 300000                 | 31/12/2010                 |
| 786     | Bubna Stock Broking Services Ltd. | 4, Fairlie Place, 6th Flr., R.No.610, Kolkata-70001                                                                                                                | Oasis Cine Communications Ltd.                                  | 300000                 | 03/01/2011                 |

| SL. NO. | NAME OF THE DEFAULTER                              | ADDRESS OF THE DEFAULTER                                                                                 | VIOLATION IN THE SCRIP OF       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------|----------------------------------------------------------------------------------------------------------|---------------------------------|------------------------|----------------------------|
| 787     | Naimish K Shah                                     | Naimish K Shah & Co., 206, Kalashi, Near Jain Temple, Navrangpura, Ahmedabad-380008                      | Tripex Overseas Limited         | 500000                 | 11/01/2011                 |
| 788     | Amit Shantilal Mehta                               | Shri Krishna Kunj, 13/3, Nivedita Nagar, Behind, Telephone Exchange, Raiya Road, Rajkot, 360007 (Gujrat) | Nandan Exim Ltd                 | 500000                 | 12/01/2011                 |
| 789     | Chanderkant Mehta                                  | Director, Oasis Media Matrix Ltd<br>13, Patel Society<br>Khanpur, Ahemdabad-380 001                      | Oasis Media Matrix Ltd II       | 1000000                | 13/01/2011                 |
| 790     | DSQ Software Ltd                                   | C/o K P dalmia, 10, Sunny Park, Kolkata                                                                  | DSQ Software Ltd                | 1000000                | 17/01/2011                 |
| 791     | Varshaben Shah                                     | 1208, Shamlani Pole, Raipur, Ahemdabad-380 001                                                           | OMML-2                          | 200000                 | 17/01/2011                 |
| 792     | Prasad Tandel                                      | 10/118- Labour Camp, Sasmira Marg, Worli, Mumbai- 400 025                                                | Karuna Cables Ltd Interim order | 200000                 | 18/01/2011                 |
| 793     | Prasad Tandel                                      | 10/118- Labour Camp, Sasmira Marg, Worli, Mumbai- 400 025                                                | Karuna Cables Ltd Interim order | 300000                 | 18/01/2011                 |
| 794     | Chetan A Mehta                                     |                                                                                                          | Chamatkar.net India Ltd.        | 100000                 | 19/01/2011                 |
| 795     | Girdhar J Vagadia                                  | 8/16-M.K.Amin Marg, Patel Building, 3rd Floor, Bora Bazar, Fort, Mumbai - 400001                         | Empower Industries India Ltd.   | 200000                 | 19/01/2011                 |
| 796     | Shree Ambey Textiles Private Ltd.                  | 162/68-70 Dadi Seth Agiary Lane, 2nd Floor, R. No. 12, Mumbai -400 00                                    | Millenium Cybertech Ltd.        | 300000                 | 20/01/2011                 |
| 797     | Govind Gendalal Somani (HUF)                       | 39/A, Kashiveshwar Township, Opp. Bank Of Baroda, Jetalpur Road, Vadodra - 390007                        | Somani Cements Ltd.             | 100000                 | 21/01/2011                 |
| 798     | Hitesh Gendalal Somani                             | 8, Sevagnagar, B/H Gautam Nagar, race Course, Vadodra - 390007                                           | Somani Cements Ltd.             | 100000                 | 21/01/2011                 |
| 799     | Ushaben Bhandari                                   | 8, Sevagnagar, B/H Gautam Nagar, race Course, Vadodra - 390007                                           | Somani Cements Ltd.             | 100000                 | 21/01/2011                 |
| 800     | Clip Securities and Finance Pvt. Ltd. (AAACC9591P) | 407, Daulat Bhavan, 2nd Floor, Next to Hanuman Gali, Kalbadevi Road, Mumbai - 400 002                    | Prudential Pharmaceuticals Ltd. | 300000                 | 25/01/2011                 |
| 801     | Claud Monsurate (AEVPM2786G)                       | 407, Daulat Bhavan, 2nd Floor, Next to Hanuman Gali, Kalbadevi Road, Mumbai - 400 002                    | Prudential Pharmaceuticals Ltd. | 200000                 | 25/01/2011                 |
| 802     | Arshad Afzal Khan (ADVPM6755C)                     | 407, Daulat Bhavan, 2nd Floor, Next to Hanuman Gali, Kalbadevi Road, Mumbai - 400 002                    | Prudential Pharmaceuticals Ltd. | 200000                 | 25/01/2011                 |
| 803     | Rachana Bhandaram (AERP1194B)                      | 407, Daulat Bhavan, 2nd Floor, Next to Hanuman Gali, Kalbadevi Road, Mumbai - 400 002                    | Prudential Pharmaceuticals Ltd. | 200000                 | 25/01/2011                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                     | ADDRESS OF THE DEFAULTER                                                                                                                                               | VIOLATION IN THE SCRIP OF                                       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|------------------------|----------------------------|
| 804     | Rajesh Sakharle, Director of Million Investrade Ltd.                                                      | Rashmi Chawl, Room No: 2, Shiv Tekri, Caves Road, Jogeswari(East), Mumbai-40000                                                                                        | Adam Comsof Ltd.                                                | 100000                 | 27/01/2011                 |
| 805     | Harmohan Singh Sabharwal                                                                                  | Nippon II, Flat No. 301, Juhu Church Road, Juhu, Behind Kings Hotel, Vile Parle (W), Mumbai- 40004                                                                     | Dhanalaxmi Roto Spinners Ltd.                                   | 1000000                | 28/01/2011                 |
| 806     | Jayesh Shah *                                                                                             | 207, Jineshwar Darshan, D N Road Bhayandar(W), Thane – 401 101                                                                                                         | Systel Infotech                                                 | 400000                 | 28/01/2011                 |
| 807     | Bhavesh Shah *                                                                                            | 9 Mari Bhuvan, Jitendra Road Malad (E), Mumbai – 400 097                                                                                                               | Systel Infotech                                                 | 50000                  | 28/01/2011                 |
| 808     | Amrut Securities Ltd (Directors Paresh C Doshi, Manoj S Gandhi and Dilip S Josh                           | 822- Star Chambers, Harihar Chawk, Rajkot – 360 001                                                                                                                    | Tanu Helathcare Ltd                                             | 100000                 | 31/01/2011                 |
| 809     | Indasia Holderings Ltd.                                                                                   | C/o Kross Border Trust,Services Ltd. St. LouisBusiness Centre Cnr Desroches & St. LouisStreets Port Louis,Mauritius.                                                   | GDR- Pentamedia Graphics Ltd.                                   | 2000000                | 31/01/2011                 |
| 810     | Fast Track Entertainment Ltd                                                                              |                                                                                                                                                                        | Fast Track Entertainment Ltd                                    | 2000000                | 31/01/2011                 |
| 811     | SJ Capital Ltd.<br>Directors:<br>(a)Shri Jagdish Chand Gupta (b) Smt. Saraswati Gupta c) Sri Manoj Mittal | 439 A, Gali Sadawali, Pooth Khurd, Delhi - 110039<br>a) C-9/6, Sector-8, Rohini, Delhi-85<br>b) C-9/6, Sector-8, Rohini, Delhi-85<br>c) E-10, C.C. Colony, Delhi-10007 | Examination in the matter of issue of fictitious contract notes | 100000                 | 07/02/2011                 |
| 812     | Sparc Pesticides Pvt. Ltd.                                                                                | Wagle Estate, Thane                                                                                                                                                    | Jindal Stainless Ltd.                                           | 100000                 | 07/02/2011                 |
| 813     | Indasia holding ltd                                                                                       | C/o Kross Border Trust Services Ltd. St. Louis Business Centre Cnr Desroches & St. Louis Streets Port Louis, Mauritius                                                 | Silverline Technologies Ltd                                     | 2100000                | 15/02/2011                 |
| 814     | N M Lohia & Co.                                                                                           | N M Lohia & Co.                                                                                                                                                        | PSL Financial Services Ltd.                                     | 100000                 | 21/02/2011                 |
| 815     | Hari Om Bhatia                                                                                            | C/O, Associated Infotech Ltd, H, 242, Ashok Vihar-I, Delhi                                                                                                             | Taurus Mutual Fund                                              | 100000                 | 22/02/2011                 |
| 816     | Sanjay Bhatia                                                                                             | S/O Shri D.C. Bhatia, Damodar Bhavan, Opp. Bank Of Baroda, Usmanpura, Ashram Road, Ahmedabad-380013                                                                    | Taurus Mutual Fund                                              | 100000                 | 22/02/2011                 |
| 817     | SFI Software Limited                                                                                      | B/301, Link Palace, Link Road, C. Colony, Borivali (W), Mumbai - 400092                                                                                                | Seagull Leafin Limited                                          | 100000                 | 22/02/2011                 |
| 818     | Tripex Overseas Limited                                                                                   | 317, Saman Complex, Opp. Nalanda Complex, Vastrapur, Ahmedabad-380015                                                                                                  | Tripex Overseas Limited                                         | 1000000                | 24/02/2011                 |
| 819     | M/s. Usha Ispat Limited                                                                                   | Terekhol Road, Redi, Sindhudurg Dist., Vengurla – 416517, Maharashtra.                                                                                                 | Delay in demat of shares                                        | 2000000                | 24/02/2011                 |

| SL. NO. | NAME OF THE DEFAULTER                          | ADDRESS OF THE DEFAULTER                                                                         | VIOLATION IN THE SCRIP OF           | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------------|--------------------------------------------------------------------------------------------------|-------------------------------------|------------------------|----------------------------|
| 820     | Giridharbhai Chaitulal Karu                    | 55/B, Pavitra Nagar, Opp. Cadila Laboratory, Ghodasar, Ahmedabad                                 | Alka India Ltd (Case I              | 500000                 | 25/02/2011                 |
| 821     | Rajput Vikramsingh Jaggusingh                  | Sawalbai Ki Chali, B/H Kalyan Mills, Hira Wadi Narol, Ahmedabad - 380025                         | Alka India Ltd (Case I              | 300000                 | 25/02/2011                 |
| 822     | Ashwin K Patel                                 | 29 Shreeram Park, Opp Lotus School, Virat Nagar, Isanpur, Ahmedabad                              | Alka India Ltd (Case I              | 200000                 | 25/02/2011                 |
| 823     | Ratnam Bhararam Choyal                         | 147, Shree Ghantakarna – Mahavir, Sarangpur, Ahmedabad                                           | Alka India Ltd (Case I              | 100000                 | 25/02/2011                 |
| 824     | Amrut Securities Ltd                           | 822, Star Chamber, Harihar Chowk, Rajkot - 360002                                                | Monalisa Infotech Ltd               | 10000                  | 25/02/2011                 |
| 825     | Kirit Kotadia                                  | Kirit Kotadia, B/6/24, Mira Co-op Society, Near Amar Palace, Miragam, Mira Road, Mumbai - 401107 | Baffin Engineering Ltd. - New Case  | 650000                 | 25/02/2011                 |
| 826     | Dharmendra Kumar                               | MIG-99, Chankaya Puri, Gaya-52300<br>Alternate Address: Rasalpur, Manpur, Gaya-823003            | Tulip IT Services Ltd.,             | 200000                 | 25/02/2011                 |
| 827     | Uves Sureshwala (PAN: AOFPS5856M)              | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                         | Parsoli Corporation Ltd., - Case II | 750000                 | 25/02/2011                 |
| 828     | Pawan Kumar Verma                              | NA                                                                                               | Veronica Laboratories               | 100000                 | 25/02/2011                 |
| 829     | Deepak Sharma                                  | S-49, Param Puri, Uttam Nagar (West), Delhi - 110059                                             | Mega Corporation Ltd                | 100000                 | 28/02/2011                 |
| 830     | Mahesh Kothari Share and Stock Brokers Pvt Ltd | 54, Bombay Mutual Chambers, Hamam Street, Fort Mumbai – 400023                                   | Alka Securities Ltd                 | 1000000                | 28/02/2011                 |
| 831     | Zafar Sureshwala (PAN: ANYPS8494D)             | 6, Faize Mohammedi Society, Narayan Nagar, Road, Paldi, Ahmedabad-380007                         | Parsoli Corporation Ltd., - Case II | 550000                 | 28/02/2011                 |
| 832     | Amul Sheth                                     | 18, Swastik Society, Narangpura, Ahmedabad - 380006                                              | Saarc Net Ltd.                      | 100000                 | 28/02/2011                 |
| 833     | Bharat K. Patel *                              | G 17-195, Shivam Apartment, Nr Octroi Naka, Nava Vadaj, Ahmedabad - 380 013                      | Systel Infotech                     | 75000                  | 28/02/2011                 |
| 834     | Pratik Ramchandra Shah                         | Mumbai                                                                                           | Jindal Stainless Ltd.               | 50000                  | 28/02/2011                 |
| 835     | Parsoli Corporation Ltd. (PAN: AABCP9030F)     | 402-403, Amba Sadan, 45h Floor, Linking Road, Khar(West), Mumbai-400052                          | Parsoli Corporation Ltd., - Case II | 500000                 | 08/03/2011                 |
| 836     | Arvind Thesia                                  | Unit No 28/29 Gotalavadi, Nandu Doshi Ni Wadi, Katargam, Surat Gujrat                            | Baffin Engg. Ltd. - New Case        | 650000                 | 10/03/2011                 |
| 837     | Suresh Mavani                                  | B/6/24 Mira Co Op Society, Near Amar Palace Hotel, Miragam Mira Road, Mumbai                     | Baffin Engg. Ltd. - New case        | 650000                 | 10/03/2011                 |
| 838     | Mahendra Panchani                              | Unique Powder Coating, Near Bhageria Chemical Ltd., Phase III GIDC, Vapi Gujara                  | Baffin Engg. Ltd. - New case        | 650000                 | 10/03/2011                 |
| 839     | Bankim Patel                                   | Unit No 28/29 Gotalavadi, Nandu Doshi Ni Wadi, Katargam, Surat Gujarat                           | Baffin Engg. Ltd. - New case        | 650000                 | 10/03/2011                 |

| SL. NO. | NAME OF THE DEFAULTER                                 | ADDRESS OF THE DEFAULTER                                                                | VIOLATION IN THE SCRIP OF                                                           | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------------------|-----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------|----------------------------|
| 840     | Yogesh Sakharam Ambekar                               | Bhagwate Wadi, Dayaldas Road, Vile Parle (E), Mumbai-400057,                            | Cupid Ltd, Garnet Constructions Ltd. and EZ-Comm Trade Technologies Ltd.            | 200000                 | 11/03/2011                 |
| 841     | Shri Gopichand Banshal, Director Ashi Forge Pvt. Ltd. | Shri Gopichand Banshal, Director Ashi Forge Pvt. Ltd.                                   | GR Industries and Finance Ltd.                                                      | 200000                 | 15/03/2011                 |
| 842     | Vinayak Sarkhot                                       | 3/14, Parijat, Parimal Society, Vishnu Nagar, Dombivali (West), Mumbai – 421 202        | Karuna Cables Ltd Interim Order                                                     | 300000                 | 16/03/2011                 |
| 843     | Anushree Trade Link Pvt. Ltd.                         | Anushree Trade Link Pvt. Ltd.                                                           | GR Industries and Finance Ltd.                                                      | 200000                 | 17/03/2011                 |
| 844     | Glacier Credit Capital Limited                        | Sumeru Centre, 2nd Floor, Near Piramal Crossing , Paldi, Ahmedabad-380007               | Asian Films Production and Distributions Ltd. (Formerly K C Bokadia Films Limited ) | 50000                  | 18/03/2011                 |
| 845     | SKM Travels Pvt. Ltd.                                 | SKM Travels Pvt. Ltd.                                                                   | GR Industries and Finance Ltd.                                                      | 200000                 | 21/03/2011                 |
| 846     | Shakun Construction Ltd                               | 124, Shiv Shakti Industrial Estate, Kotarpur, Opp. Noble Nagar, Naroda, 380050, Gujarat | Shukun Construction Ltd.                                                            | 1000000                | 21/03/2011                 |
| 847     | Swaranganga Trading Pvt. Ltd.                         | Swaranganga Trading Pvt. Ltd.                                                           | GR Industries and Finance Ltd.                                                      | 200000                 | 23/03/2011                 |
| 848     | Kaleidoscope Films Ltd                                | Kaleidoscope Films Ltd.,<br>102, Panorama,<br>R. C. Dutt Road,<br>Vadodara - 390007     | Investor Complaint                                                                  | 1700000                | 23/03/2011                 |
| 849     | Dinesh Patel                                          | 17, Bhavanpura Society, Behind Dhanraj Nagar,Chandlodiya, Ahmedabad – 380 061           | Aditya Infosoft LTd                                                                 | 100000                 | 25/03/2011                 |
| 850     | Jatin Shah                                            | 1208, Shamlani Pole, Raipur, Ahemdabad-380 001                                          | OMML-2                                                                              | 1000000                | 28/03/2011                 |
| 851     | Jatin Shah *                                          | 1208, Shamlani Pole, Raipur<br>Ahemdabad-380 001                                        | Oasis Media Matrix Ltd II                                                           | 1000000                | 28/03/2011                 |
| 852     | M/s. Classic Investments                              | KB-112, Kavi Nagar, Ghaziabad, Uttar Pradesh - 201002                                   | Videocon Industris Ltd. and NRB Bearings Ltd.                                       | 150000                 | 30/03/2011                 |
| 853     | Classic Investments                                   | KB-112, Kavi Nagar, Gaziabad 201002, Uttar Pradesh                                      | NRB Bearing Ltd.                                                                    | 150000                 | 30/03/2011                 |
| 854     | Ashok S Bhagat                                        | H-19, Ramji Gupta Chawl, Sakaram Buva Patil Marg, Santracruz (West), Mumbai - 400 054   | G-Tech Info Training Ltd.                                                           | 25000                  | 31/03/2011                 |

| SL. NO. | NAME OF THE DEFAULTER                   | ADDRESS OF THE DEFAULTER                                                                                                                           | VIOLATION IN THE SCRIP OF                                   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|------------------------|----------------------------|
| 855     | Pritam Mohite                           | Room no. 6, Ambedkar sadan, Artha Road, Sane Guruji Marg, "B" Building, Mumbai - 400 011                                                           | Tasc Pharmaceutical Ltd.                                    | 300000                 | 31/03/2011                 |
| 856     | Manoj T Shah                            | 84, Jain Temple, P. O. Pansar, Taluka- Kalol- 382740 (Gujarat)                                                                                     | Adani Exports Ltd -2004-05                                  | 200000                 | 01/04/2011                 |
| 857     | Manish Joshi                            | S-13, Hazari Bagh, Vikroli Station Road, Vikhroli(West), Mumbai-40007                                                                              | Gemstone Investments Ltd.                                   | 200000                 | 27/04/2011                 |
| 858     | Bipinkumar Gandhi                       | 8/16, M K AMIN MARG, PATEL BLDG, ROOM NO 30, 3RD FLR, FORT, MUMBAI MAHARASHTRA INDIA 400001                                                        | Gemstone Investments Ltd.                                   | 50000                  | 28/04/2011                 |
| 859     | Patel Associates                        | C/o Sunilbhai Patel, 7, Purn Purushottam Nagar, Vibhag-2, Ranip, Ahmedabad-380015                                                                  | Classic Diamonds India Limited                              | 100000                 | 29/04/2011                 |
| 860     | Narendra Ganatra                        | B/36, Kumar Apts. Behind Church, Vikhroli(W), Mumbai-400079                                                                                        | Shukun Construction Ltd.                                    | 200000                 | 29/04/2011                 |
| 861     | Mr. Suresh Hanswal                      | 64, Road No.-3, Machhala Magra, Udaipur, Rajasthan-31300                                                                                           | Allcargo Global Logistics Ltd. and Unity Infraprojects Ltd. | 200000                 | 10/05/2011                 |
| 862     | Dinesh Dalmia                           | C/o, K.P.Dalmia, 10, Sunny Park, Kolkata                                                                                                           | DSQ Software Ltd                                            | 500000                 | 26/05/2011                 |
| 863     | Radha Dalmia                            | C/o, K.P.Dalmia, 10, Sunny Park, Kolkata                                                                                                           | DSQ Software Ltd                                            | 500000                 | 26/05/2011                 |
| 864     | Shreehari Heera Stock Broking Pvt. Ltd. | 6th Floor, R-638, Rotunda Bldg., Mumbai Samachar Marg, Fort, Mumbai-400001 12/A, Haji Kasam Bldg., 3rd Floor, Tamarind Lane, Fort, Mumbai - 400023 | KRBL Ltd.                                                   | 400000                 | 10/06/2011                 |
| 865     | Vassant Bissa                           | 3rd Floor, Latiyal Bhavan, Pandit Solicitor Road, Malad (East), Mumbai - 400097                                                                    | KRBL Ltd.                                                   | 400000                 | 10/06/2011                 |
| 866     | Neelkanth Goods Pvt. Ltd                | 89, Burtolla Street, 3rd Floor, Kolkata-700007                                                                                                     | Bakra Pratisthan Ltd.                                       | 500000                 | 14/06/2011                 |
| 867     | Dharavi Management Services Pvt. Ltd    | 89, Burtolla Street, 3rd Floor, Kolkata-700007                                                                                                     | Bakra Pratisthan Ltd.                                       | 500000                 | 14/06/2011                 |
| 868     | Udhav Commercial Pvt. Ltd.              | 89, Burtolla Street, 3rd Floor, Kolkata-700007                                                                                                     | Bakra Pratisthan Ltd.                                       | 500000                 | 14/06/2011                 |
| 869     | Mukund Merchandize Pvt. Ltd.            | 89, Burtolla Street, 3rd Floor, Kolkata-700007                                                                                                     | Bakra Pratisthan Ltd.                                       | 400000                 | 14/06/2011                 |
| 870     | Swarn Ganga Trading P. Ltd.             | 137, Cotton Street, Kolkata - 7                                                                                                                    | Sangotri Constructions Ltd                                  | 500000                 | 20/06/2011                 |
| 871     | Suresh Hanswal                          | Sidhi Enterprise, 24-C, Madhuvan, Opp. HDFC Bank Ltd., Nr. Chetak Circle, Udaipur-313001                                                           | RTS Power Corporation Ltd.                                  | 700000                 | 20/06/2011                 |
| 872     | Chetna Patel                            | 227, Samta Building, D-Wing, 2nd Floor, Chitrabazaar, Mumbai-400002                                                                                | RTS Power Corporation Ltd.                                  | 1000000                | 28/06/2011                 |

| SL. NO. | NAME OF THE DEFAULTER           | ADDRESS OF THE DEFAULTER                                                                                                     | VIOLATION IN THE SCRIP OF                 | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------|------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------|----------------------------|
| 873     | Pradesh Bandhu Nimawat          | PAN-AEGPN3200B, 24C, Madhuban, II Floor, Chetak Circle, Opp. BSNL Shop, Udaipur, Rajasthan - 313004                          | Asian Star Co., Ltd.,                     | 800000                 | 30/06/2011                 |
| 874     | Suresh Hanswal (PAN ABPDH6308A) | 64, Road No.3, Machha Magra, Udaipur, Rajasthan - 313001                                                                     | Asian Star Co., Ltd.,                     | 1000000                | 30/06/2011                 |
| 875     | Jord Engineers India Limited    | Jord Engineers India Ltd. 536/541 Ishwarpura, Asoj, Baroda - Halol Toll Naka, Baroda 391510                                  | Jord Engineers India Limited              | 100000                 | 30/06/2011                 |
| 876     | Pradesh Bandhu Nimawat          | Sidhi Enterprise, 24-C, Madhuvan, Opp. HDFC Bank Ltd., Nr. Chetak Circle, Udaipur-313001                                     | RTS Power Corporation Ltd.                | 700000                 | 19/07/2011                 |
| 877     | Mannalal Jitendra               | 833, Khushal Bagh, University Road, Udaipur, Rajasthan-313001                                                                | RTS Power Corporation Ltd.                | 700000                 | 20/07/2011                 |
| 878     | Vikram B. Gohil                 | 21, Azad nagar Society, New Fatewadi, Sarkhej, Ahmedabad-382210                                                              | Action Financial Services (India) Limited | 100000                 | 26/07/2011                 |
| 879     | P.S. Saminathan                 | No. 66/90, B6/205, Phase-II, Block V, 6A & B, Satyadev Avenue, Rani Meyyammai Towers, MRC Nager, R.A. Puram, Chennai-600 004 | Pyramid Saimira Theatre Ltd.              | 11000000               | 27/07/2011                 |
| 880     | N Naryanan                      | 245/6, R.K. Mutt Road, Mylapore, Chyennai-600004                                                                             | Pyramid Saimira Theatre Ltd.              | 5000000                | 28/07/2011                 |
| 881     | Mr. Sachin Patil                | T2/3, Gopal Bhuwan Wadi,K. G. Marg, Opp. Khed Galli, Prabhadevi, Mumbai -400 025                                             | Vijay Textile Ltd                         | 500000                 | 30/07/2011                 |
| 882     | Mr. Sachin Patil                | T2/3, Gopal Bhuwan Wadi,K. G. Marg, Opp. Khed Galli, Prabhadevi, Mumbai -400 025                                             | Vijay Textile Ltd                         | 500000                 | 30/07/2011                 |
| 883     | Tejas Ghelani                   | 4A, Sanki Ownership Hsg. Soc. Ltd. Ist Floor, Navaraja Lane, Ghatkopar (W), Mumbai -400058                                   | Today's Writing Products Ltd-III          | 75000                  | 02/08/2011                 |
| 884     | R Shivgurunathan                |                                                                                                                              | Pyramid Saimira Theatre Ltd               | 12500000               | 03/08/2011                 |
| 885     | Tejas Jayantilal Shah           | 304, Rishabh Mansion, 3, Jawahar Nagar, Opp. Saint John High School, S V Road, Goregaon (W) Mumbai – 400062                  | Tripex Overseas Limited                   | 500000                 | 24/08/2011                 |
| 886     | Kamlesh Shah *                  | 709, 7th Floor, Loha Bhavan, Opp. Old High Court Road, Ashram Road, Ahemdabad-380 009                                        | Oasis Media Matrix Ltd II                 | 1000000                | 30/08/2011                 |
| 887     | MTZ Industries Ltd              | New India Centre, 4th Floor, 17 Cooperage Road, Mumbai - 39                                                                  | MTZ Polyfilms Ltd                         | 300000                 | 21/09/2011                 |

| SL. NO. | NAME OF THE DEFAULTER                     | ADDRESS OF THE DEFAULTER                                                                                                     | VIOLATION IN THE SCRIP OF                                         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|------------------------|----------------------------|
| 888     | Yogesh Kuradiya                           | F-208, Madipur Colony, New Delhi - 110006                                                                                    | Ritesh Properties and Industries Ltd.                             | 400000                 | 28/09/2011                 |
| 889     | Md. Abu Ubeda                             | WP-295, Wazirpur, Ashok Vihar, New Delhi - 110052                                                                            | Ritesh Properties and Industries Ltd.                             | 50000                  | 29/09/2011                 |
| 890     | Rajnish Jain                              | B-104 Ashish Apartment, Navghar Road, Thane, Maharashtra 401105                                                              | Asian Star Company Ltd                                            | 500000                 | 11/10/2011                 |
| 891     | Ayodhyapati Investments Private Limited   | Shree Dutt Niwas, Kapuria Chowk, Gondal, Rajkot-360311, and 822, Star Chambers, Harihar Chowk, Rajkot-360001 Gujarat         | Alchemist Ltd., Supreme TexMart Ltd. and Zenith Birla (India) Ltd | 50000                  | 19/10/2011                 |
| 892     | Somoplast Leather Industries Limited      | Somplast Leather Industries Ltd., IOL House, Mear Swati Aptment, Ambawadi Bazar, Ahmedabad - 380006                          | Somplast Leather Industries Ltd.,                                 | 300000                 | 19/10/2011                 |
| 893     | M/s AMI Stock and Share Brokers Pvt. Ltd. | 85, Main Bazaar, Mithapur, Gujarat - 361345                                                                                  | Katare Spining Mills Ltd                                          | 50000                  | 19/10/2011                 |
| 894     | Shri Ramesh G Gokani                      | Behind Avadmata Temple, Near Karva Samaj, Surajkaradi, Gujarat - 361347                                                      | Katare Spining Mills Ltd                                          | 50000                  | 19/10/2011                 |
| 895     | P S Saminathan                            | No. 66/90, B6/205, Phase-II, Block V, 6A & B, Satyadev Avenue, Rani Meyyammai Towers, MRC Nager, R.A. Puram, Chennai-600 004 | Pyramid Saimira Theatre Ltd                                       | 500000                 | 31/10/2011                 |
| 896     | Prasad Tandel                             | 10/118, Labour Court, Sasmira Marg, Worli, Mumbai 400025                                                                     | G-Tech Info Training Ltd.                                         | 10000000               | 31/10/2011                 |
| 897     | Ashok S Bhagat                            | H-19, Ramji Gupta Chawl, Sakaram Buva Patil Marg, Santacruz(W), Mumbai 400054                                                |                                                                   |                        |                            |
| 898     | Prakash D'souza                           | 204, Shivali Apartment, B-34, Shanti Park, Mira Road, Distt. Thane                                                           |                                                                   |                        |                            |
| 899     | Sandhya S Shah                            | 18, Dhootpaperhwar Building, Mangalwadi, Girgaum, Mumbai - 400004                                                            |                                                                   |                        |                            |
| 900     | Praveena C Shah                           | 18, Dhootpaperhwar Building, Mangalwadi, Girgaum, Mumbai - 400004                                                            |                                                                   |                        |                            |
| 901     | Mr. Rajesh Panchal                        | 6th Kumbharwada, 48 Noormanzil, 2nd Floor, Room No. 77, Girgaum, Mumbai-400004                                               |                                                                   |                        |                            |
| 902     | Prashant M Narvekar                       | Swati Manor, B wing, 1/7, JK Sawanr Road, opp. Shivaji Mandir, Dadar Mumbai - 400002                                         |                                                                   |                        |                            |
| 903     | Chirag Shah (PAN not available)           | 2871/1, Patnis Street, Zaveriwad Relief Road, Ahmedabad 380 001                                                              | Filmcity Media Ltd.                                               | 100000                 | 31/10/2011                 |
| 904     | Chirag Shah                               | 2871/1, Patnis Street, Zaveriwad Relief Road, Ahmedabad 380001                                                               | Filmcity Media Ltd.                                               | 100000                 | 31/10/2011                 |
| 905     | Lakshya Securities & Credit Holdings      |                                                                                                                              | Platinum Corporation Ltd                                          | 300000                 | 17/11/2011                 |

| SL. NO. | NAME OF THE DEFAULTER                                                    | ADDRESS OF THE DEFAULTER                                                                                                            | VIOLATION IN THE SCRIP OF                          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|------------------------|----------------------------|
| 906     | Prem Prakash Thanvi                                                      | 10, S S Chanda Chawl, Kabutar Khana, Caves Road, Jogeshwari (East), Mumbai - 400060                                                 | Rajesh Exports Ltd                                 | 300000                 | 18/11/2011                 |
| 907     | Advance Hovercraft and Composites India Limited                          | 438-C, Chajjupur, Shahdara, Delhi- 110032 & A – 1024, Heliwara Mohalla, Near Chooti Chopal, Mahipal Pur village, New Delhi – 110037 | Shonkh Technologies International Ltd.             | 500000                 | 18/11/2011                 |
| 908     | Advance Hovercraft and Composites India Limited                          | 438-C, Chajjupur, Shahdara, Delhi- 110032 & A – 1024, Heliwara Mohalla, Near Chooti Chopal, Mahipal Pur village, New Delhi – 110037 | Shonkh Technologies International Ltd.             | 500000                 | 18/11/2011                 |
| 909     | EDUEXEL INFOTAINMENT LTD(Eduexel Infotainment Ltd)                       | Eduexel Infotainment Ltd.71, Nehru Nagar Industrial Estate,2nd Link Street, Kottivakkam,Old Mahabalipuram Road, Chennai- 600041     | EDUEXEL INFOTAINMENT LTD(Eduexel Infotainment Ltd) | 100000                 | 23/11/2011                 |
| 910     | EDUEXEL INFOTAINMENT LTD(Shri C Vasan)                                   | No: 4, 4 <sup>th</sup> Main Road, United India Colony, Kodambakkam, Chennai- 600024                                                 | EDUEXEL INFOTAINMENT LTD(Shri C Vasan)             | 100000                 | 23/11/2011                 |
| 911     | Sethia Gems Pvt Ltd                                                      | 72, New Hanuman Building 3rd Floor, Lamington Road Grant Road, Mumbai - 400007                                                      | Flawless Diamond ( Ltd                             | 300000                 | 23/11/2011                 |
| 912     | Manmohan Gems Pvt. Ltd.                                                  | 519-B, Panchratna Opera House, Mumbai- 400004                                                                                       | Flawless Diamond ( Ltd                             | 300000                 | 24/11/2011                 |
| 913     | SWAMINATHAN RAJENDRAN                                                    |                                                                                                                                     | Filatex Fashions Ltd                               | 50000                  | 15/12/2011                 |
| 914     | Atlanta Share Shopee Limited (Broker, Director - Jagdish Gordhandas Ved) | 402, Siddhivinayak Apartment, 5/11, New Jagnath Plot, Rajkot – 360 001                                                              | Sarang Chemicals Limited                           | 300000                 | 20/12/2011                 |
| 915     | Shiv Impexs                                                              | No. 19, Old 11, Nagarjuna Nagar 2nd Street, Rangarajapuram, Kodambakkam, Chennai – 600 024                                          | Edserv Softsystems Ltd                             | 24100000               | 30/12/2011                 |
| 916     | Mahadev Impexs                                                           | No. 2, NGGO Colony Main Road, Aarikarai Street, Adambakkam, Chennai – 600 088                                                       | Edserv Softsystems Ltd                             | 24100000               | 30/12/2011                 |
| 917     | Shri Pratik Rameshchandra Shah                                           | A-Wing, 4th Floor, Maradia Plaza, C.G.Road, Ahmedabad - 380006                                                                      | Platinum Corporation Ltd                           | 400000                 | 04/01/2012                 |
| 918     | Shanti Tradelink Pvt. Ltd.                                               | 239/4 Hasanbhai Ummarbhani chali, Itwada, Saraspur, Ahmedabad - 380018                                                              | Platinum Corporation Ltd                           | 200000                 | 13/01/2012                 |
| 919     | Mehul Shah                                                               | 24, Parichay Park, Diwalipura, Vadodra, Gujarat 390015                                                                              | Era Constructions                                  | 200000                 | 16/01/2012                 |
| 920     | Lakshya Securities & Credit Holdings                                     | 202, Samedh Building, 2nd Floor, Nr. Associated Petrol Pump, C.G.Road, Ahmedabad - 380006                                           | Platinum Corporation Ltd                           | 200000                 | 19/01/2012                 |

| SL. NO. | NAME OF THE DEFAULTER                                    | ADDRESS OF THE DEFAULTER                                                                                               | VIOLATION IN THE SCRIP OF    | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------|----------------------------|
| 921     | Dhirajlal Patel                                          | Ajanta Apartment, Shakti Nagar, Kalawad Road, Rajkot (Gujarat) – 360 001                                               | Kewal Kiran Clothing Ltd     | 100000                 | 30/01/2012                 |
| 922     | M/s Sahil Electronics Limited                            | 3406, 2nd Floor, D.B. Gupta Road, Karol Bagh New Delhi – 110005                                                        | A.V. Cottex Limited          | 50000                  | 30/01/2012                 |
| 923     | Mr. Dheeraj Kumar Madaan, Proprietor M/s. Digital Stocks | Old Fazilka Road Near Daulat Ram Gardari Abohar - 152116                                                               | Dheeraj Kumar Madaan         | 1000000                | 27/02/2012                 |
| 924     | Jitesh Pranlal Sheth                                     | Jitesh Pranlal Sheth, I-22, Nimani Chawal, No-1, S. V. Road, Malad(W), Mumbai                                          | Sterling Biotech Limited     | 150000                 | 29/02/2012                 |
| 925     | Girdharbhai Vagadiya                                     | Not available                                                                                                          | Prraneta Industries Ltd      | 500000                 | 29/02/2012                 |
| 926     | Ramniklal Patel                                          | Not available                                                                                                          | Prraneta Industries Ltd      | 500000                 | 29/02/2012                 |
| 927     | Navin Patel                                              | Not available                                                                                                          | Prraneta Industries Ltd      | 500000                 | 29/02/2012                 |
| 928     | Jayesh Kumar Shah                                        | Not available                                                                                                          | Prraneta Industries Ltd      | 500000                 | 29/02/2012                 |
| 929     | Kalpesh Babariya                                         | Not available                                                                                                          | Prraneta Industries Ltd      | 500000                 | 29/02/2012                 |
| 930     | Mahesh Thanvi                                            | Jaimala Banglow, Plot No.126-131, Dr.Amebkar Road, Behind Gorai Bridge Bus Stop, Gorai I, Borivali (W), Mumbai-400 092 | E.star Infotech Ltd.         | 3200000                | 05/03/2012                 |
| 931     | ICES Software Limited                                    | 3rd Floor, Pushkar Chamber, Prof. Manekrao Road, Dandia Bazar, Baroda - 3900001                                        | Investor complaint           | 30000                  | 09/03/2012                 |
| 932     | Amber Dalal                                              | 84/8 Navrang Peddar Road Mumbai                                                                                        | G Tech Info Training Ltd.-II | 200000                 | 22/03/2012                 |
| 933     | Amit Sehgal                                              | Barack No. 34, R.No. 401, Near Jankalyan Bank, Chembur Camp, Mumbai - 400 074                                          | G Tech Info Training Ltd.-II | 100000                 | 22/03/2012                 |
| 934     | Girdharbhai Vagadiya                                     | 8/16, M.K.Amin Marg, Patel Bldg, 3rd Floor, Office No.30, Bora Bazar, Fort, Mumbai- 400001                             | G Tech Info Training Ltd.-II | 500000                 | 22/03/2012                 |
| 935     | Kalpesh Babariya                                         | 8/16, M.K.Amin Marg, Patel Bldg, 3rd Floor, Office No.30, Bora Bazar, Fort, Mumbai- 400001                             | G Tech Info Training Ltd.-II | 500000                 | 22/03/2012                 |
| 936     | Ramniklal Patel                                          | 8/16, M.K.Amin Marg, Patel Bldg, 3rd Floor, Office No.30, Bora Bazar, Fort, Mumbai- 400001                             | G Tech Info Training Ltd.-II | 500000                 | 22/03/2012                 |
| 937     | Monalisha Securities Pvt Ltd.                            | 284, RPS, DDA Flats, Mansarovar Park, Shahdra, Delhi - 110032                                                          | Videocon Industries Ltd.     | 200000                 | 22/03/2012                 |

| SL. NO. | NAME OF THE DEFAULTER                   | ADDRESS OF THE DEFAULTER                                                                          | VIOLATION IN THE SCRIP OF                     | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------|---------------------------------------------------------------------------------------------------|-----------------------------------------------|------------------------|----------------------------|
| 938     | Mr. Biren Kantilal Shah,                | 2126/2, Puranik Niwas, Opp. Kokadia's Pole,Sarangpur,Ahmedabad- 380 001                           | Irregularities in IPO                         | 2500000                | 28/03/2012 and 18/12/2012  |
| 939     | Dilip A Pawar                           | B-16 Madhav Bhavan, M M Joshi Marg, Lower Parel, Mumbai- 400013                                   | Flawless Diamond (India) Ltd                  | 100000                 | 30/03/2012                 |
| 940     | Vikas Narnavar                          | C/151, Shahstri Nagar,Vibahag ,Sion, Koliwada,Mumbai - 400 02                                     | E.star Infotech Ltd.                          | 50000                  | 30/03/2012                 |
| 941     | Mukund Yadu Jambhale                    | Bori Chawl No.6, R No. 8, 3rd Floor, Khetwadi, 1st Cross Lane, Mumbai - 400004                    | Indo - Pacifix Software and Entertainment Ltd | 100000                 | 30/03/2012                 |
| 942     | Dilip Hanmanat Jambhale                 | C/o,. Sakharam Janu More, Dr. Ambedkar Nager, Toll Naka, Uttan Road, Bhayander(w) Mumbai - 401101 | Indo - Pacifix Software and Entertainment Ltd | 100000                 | 30/03/2012                 |
| 943     | Jay Engineering and S Energies Ltd.     | 9/GF, Payal Flats, Behind National Handloom Nr. Law Garden Ahmedabs 6                             | JEASEL                                        | 4500000                | 30/03/2012                 |
| 944     | Shri K. K. Agarwal (PAN - AGHPA1139K)   | Bajaja Chowk, Faizabad 224 001                                                                    | Rich Capital & Financial Services Limited     | 250000                 | 19/04/2012                 |
| 945     | Sheth Nilesh Vinodchandra               | A/57, Ashok Tenaments, Opp. Cadila Lab, Ghodasar, Ahmedabad - 380 050                             | Gujarat Arth Ltd.                             | 700000                 | 26/04/2012                 |
| 946     | Hitesh Madhusudan Thakkar               | D-2, Shantinath Flat, Behind Medlink Hospital, Satellite, Ahmedabad                               | Gujarat Arth Ltd.                             | 300000                 | 26/04/2012                 |
| 947     | Amit S Mehta                            | 10, Subhas Nagar, Rajya Road Rajkot - 360001                                                      | Gujarat Arth Ltd.                             | 300000                 | 26/04/2012                 |
| 948     | Bhupendra Panchal                       | 18, Om Parmatma, Nr. Municipal School, Isanpur, Ahmedabad – 382443                                | Gujarat Arth Ltd.                             | 200000                 | 26/04/2012                 |
| 949     | Hemdil Financial Services pvt. Ltd.     | Hemdil Financial Services Ltd.<br>278, Jeevan Udyog, 2nd Floor, DN Road, Fort, Mumbai 400001      | Datasoft Applications Software (India) Ltd.   | 400000                 | 26/04/2012                 |
| 950     | Ekeveera Computers Pvt. Ltd.            | Ekveera Computers (P) Ltd.<br>49/D, Star Apartments, S V Road, Borivali (West), Mumbai 400092     | Datasoft Applications Software (India) Ltd.   | 400000                 | 26/04/2012                 |
| 951     | Prathakal Commercial Agencies Pvt. Ltd. | Prathakal Comm & Ag (P) Ltd.<br>305, Konark Shram, 156 Tardeo Road, Mumbai 400034                 | Datasoft Applications Software (India) Ltd.   | 400000                 | 26/04/2012                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                   | ADDRESS OF THE DEFAULTER                                                                      | VIOLATION IN THE SCRIP OF                   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------------------------------------------|------------------------|----------------------------|
| 952     | Burlington Financial Services Pvt. Ltd.                                                                 | PK Building, Ground Floor, Sanatorium Lane, Ghatkopar (West), Mumbai 400086                   | Datasoft Applications Software (India) Ltd. | 400000                 | 26/04/2012                 |
| 953     | Pooja Menghani                                                                                          | 5-24, Old Rajinder Nagar, New Delhi - 110060.                                                 | Pooja Menghani                              | 10000000               | 30/04/2012                 |
| 954     | Kaustubh Credit & Holdings Pvt. Ltd.                                                                    | 1, Sheel Complex, Mayur Colony, Mithakhali Six Road, Navrangpura, Ahmedabad – 380009          | Gujarat Arth Ltd.                           | 1200000                | 14/05/2012                 |
| 955     | DPS Shares & Securities P. Ltd.                                                                         | Not available                                                                                 | Prraneta Industries Ltd                     | 200000                 | 23/05/2012                 |
| 956     | Chandrakant Patel                                                                                       |                                                                                               | T Spiritual World Ltd.                      | 100000                 | 29/05/2012                 |
| 957     | Bharat Patel                                                                                            |                                                                                               | T Spiritual World Ltd.                      | 100000                 | 29/05/2012                 |
| 958     | Tushar Jhaveri                                                                                          | A-11, Lavanya Aptt. Lavnya Society                                                            | Estar Infotech Ltd.                         | 50000                  | 07/06/2012                 |
| 959     | Gibs Computers Ltd.<br>Kirtikumar Narbheram Parekh<br>Kaushik Chhotalal Shah<br>Vishal Harshad Pancholi | Radha Bhuvan, 1st Floor,<br>121, Nagindas Master Road,<br>Mumbai – 40002                      | Trading by connected entities               | 10000000               | 26/06/2013                 |
| 960     | Jord Engineers India Ltd                                                                                | 504, Vishwananak Chakala, Andheri (East), Mumbai - 400 089                                    | Investor Complaint                          | 200000                 | 28/06/2012                 |
| 961     | Jord Engineers ( Ltd                                                                                    | 541, Ishwarpura (Asoj), Baroda Halol Highway, Baroda (Vadodara) – 391510                      | Jord Enginers Ltd.                          | 200000                 | 28/06/2012                 |
| 962     | Ramnik M Patel                                                                                          | 242/204, Rajeshwari Building, Jagdusnagar, Ghatkopar (W), Mumbai-400069                       | Estar Infotech Ltd.                         | 100000                 | 04/07/2012                 |
| 963     | Amul P Sheth                                                                                            | 8/16, M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.                         | 100000                 | 04/07/2012                 |

| SL. NO. | NAME OF THE DEFAULTER    | ADDRESS OF THE DEFAULTER                                                                     | VIOLATION IN THE SCRIP OF   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------|----------------------------------------------------------------------------------------------|-----------------------------|------------------------|----------------------------|
| 964     | Kanubhai patel           | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 965     | Giridharbhai J Vagadia   | 16-Park Apartment, Jodhpur Gram, Ahmedabad,-380019                                           | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 966     | Navinkumar Patel         | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 967     | Chandrakant Patel        | G/17-195, Shivam Appts, Near Octrio Naka, Nava Vadaj, Ahmedabad-380051                       | Estar Infotech Ltd.         | 50000                  | 04/07/2012                 |
| 968     | Vinod D Patel            | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 969     | Pravin P Patel           | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 970     | Dayabhai Patel           | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 971     | Vitthalbhai V Gajera     | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 972     | Dalsukhbhai D Patel      | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 973     | Jayeshkumar Shah         | 8/16,M K Amin Marg, 3rd Floor, Room No. 30, Patel Building, Bora Street, Fort, Mumbai 400001 | Estar Infotech Ltd.         | 100000                 | 04/07/2012                 |
| 974     | Sunil D Agarwal          | 5/1582, 1st floor, Limda Sheri, Haripura, Surat, 395003                                      | Pyramid Saimira Theatre Ltd | 200000                 | 30/07/2012                 |
| 975     | Cavalier Securities Ltd. | 201, Shahjanand Shopping Centre<br>Shahjanand, Shahibaug<br>Ahmedabad                        | Gujarat Arth Ltd.           | 3700000                | 31/08/2012                 |

| SL. NO. | NAME OF THE DEFAULTER               | ADDRESS OF THE DEFAULTER                                                                                                 | VIOLATION IN THE SCRIP OF                                 | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER                                           |
|---------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|------------------------|----------------------------------------------------------------------|
| 976     | Shri Sanjay Soni (PAN - BVSPS9741N) | 36, Malay Bungalows, Near Anurag Bunglow, Behind Sarshawati V. Mandir School, Science City Road, Sola, Ahmedabad 380 060 | Trading of Krupa Soni Group in Shree Global Tradefin Ltd. | 1000000                | 14/09/2012<br>(Reduced to Rs 10 lac vide SAT order dated Jan 24, 201 |
| 977     | Mr. Jayesh Shah                     |                                                                                                                          | Platinum Corporation Ltd                                  | 450000                 | 26/09/2012                                                           |
| 978     | Tejash Ghelani                      |                                                                                                                          | Era Consructions                                          | 1000000                | 26/09/2012                                                           |
| 979     | Kushal Software Ltd                 |                                                                                                                          | Axon Infotech Ltd.                                        | 4000000                | 28/09/2012                                                           |
| 980     | Hari Krishna Yannam                 | Plot No. 12, Nagarjuna Bank Colony Valasapakalu, Kakinada Andhra Pradesh - 533003                                        | Clutch Auto Ltd                                           | 100000                 | 01/11/2012                                                           |
| 981     | Rajiv Rajaram Kahsyap               | 2/47, Juhu Supereme Shopping Center, Gulmohar (X) Road No.9, JVPD Scheme Andheri (W), Mumbai-400049                      | Fact Enterprises Ltd.                                     | 175000                 | 08/11/2012                                                           |
| 982     | Motorol Enterprises Ltd.            | 1,Monalisa Apt,48, Nutan Bharat Society,Alkapuri, Vadodara - 390007                                                      | 15C                                                       | 2500000.00             | March 25, 2011                                                       |
| 983     | Jitendra Mannalal Jain              | 833, Kushal Bagh,University Road, Nr. Saint George School, Udaipur - 313001                                              | Asian Star Company Ltd.                                   | 1500000.00             | October 5, 2012                                                      |
| 984     | Kriptol Industries Ltd.             | 14 Navkar, b/h Vasna Telephone Exhcnage, Vasana, Ahmedabad - 380007                                                      | Kriptol Industries Ltd.                                   | 1200000.00             | December 10, 2012                                                    |
| 985     | Jitendra Kumar Jain                 | 833, Kushal Bagh,University Road, Nr. Saint George School, Udaipur - 313001                                              | Synchronized Trading by Connected persons.                | 1100000.00             | March 8, 2013                                                        |
| 986     | KANEL OIL & EXPORT INDUSTRIES LTD   | 203, BHIJEET-1, Mithakhali Six Roads,Ellisbridge, Ahmedabad - 380 006                                                    | Investor Complaint                                        | 200000                 | 06/12/2012                                                           |
| 987     | Kalpesh Babaraya                    | 8/16-M.K.Amin Marg, Patel Building, 3rd Floor, Bora Bazar, Fort, Mumbai - 400001                                         | Empower Industries India Ltd.                             | 100000                 | 28/12/2012                                                           |
| 988     | Namokar Consultants Private Limited | 63, Radha Bazaar Street, 3rd Floor, Room No. 36, Kolkata – 700 001                                                       | Murli Industries Limited                                  | 500000                 | 31/12/2012                                                           |
| 989     | Vithalbhai Gajera                   | 8/16-M.K.Amin Marg, Patel Building, 3rd Floor, Bora Bazar, Fort, Mumbai - 400001                                         | Empower Industries India Ltd.                             | 100000                 | 31/12/2012                                                           |
| 990     | Shila Suryavanshi                   | J-301-West Avenue, 3rd Floor, Station Road, Opposite Civic Centre, Nalasopara (W), Thane - 401203                        | Empower Industries India Ltd.                             | 100000                 | 31/12/2012                                                           |
| 991     | Raksha Financier Pvt. Ltd           | R N 15B, 15th Floor, Everest House, Chowringhee Road, Kolkata                                                            | REI Agro Ltd.                                             | 1500000                | 31/12/2012                                                           |
| 992     | Mangalaben Doshi                    |                                                                                                                          | Eltrol Ltd.                                               | 800000                 | 31/12/2012                                                           |
| 993     | Shashwat Agarwal                    | New Delhi                                                                                                                |                                                           | 1500000                | 15/01/2013                                                           |
| 994     | Basukinath Highrise Private Limited | 1, Old Court House Corner, 2nd floor, kolkata - 700 001                                                                  | Murli Industries Limited                                  | 500000                 | 28/01/2013                                                           |

| SL. NO. | NAME OF THE DEFAULTER               | ADDRESS OF THE DEFAULTER                                                                                                 | VIOLATION IN THE SCRIP OF | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|----------------------------|
| 995     | Kushal Software Ltd.                | 30,bhavya Darshan,, 2nd Fofalwadi, Bhuleshwar, Mumbai, Maharashtra, India, 400006                                        | Axon Infotech Ltd.        | 1257500                | 04/02/2013                 |
| 996     | Kushagra Software Ltd.              | Shree Sai Mahal, Opp Post Office,,phatak Rd, Bhayandar West,mumbai                                                       | Axon Infotech Ltd.        | 1257500                | 04/02/2013                 |
| 997     | VRP Financial Services Pvt. Ltd.    | C/201,bhadrakali Darshan ,station Road,bhyander (w) ,thane, , Thane, Maharashtra, India, 401101                          | Axon Infotech Ltd.        | 1257500                | 04/02/2013                 |
| 998     | Keystone Stock Finance Pvt. Ltd.    | Dies Compound Natwar Nagar, Soc Road No. 1, Room No. 1, Jogeshwari-e, Mumbai, Maharashtra, India, 400060                 | Axon Infotech Ltd.        | 1257500                | 04/02/2013                 |
| 999     | Akshar Mercantile Pvt Ltd           | 305, 3rd Floor<br>Trinity Co-op. Housing Society Ltd.<br>A. P. Market, S G Gaikwad Marg,<br>Dhobi Talao, Mumbai – 400 00 | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1000    | Anoop Multitrade Pvt. Ltd.          | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1001    | Anshul Mercantile Pvt. Ltd          | 305, 3rd Floor<br>Trinity Co-op. Housing Society Ltd.<br>A. P. Market, S G Gaikwad Marg,<br>Dhobi Talao, Mumbai – 400 00 | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1002    | Beta Trading Pvt. Ltd               | Block No-2, 3rd Floor, Abbas Manzil,Opp. Cigaratte Factory, Chakala , Andheri (E),Mumbai - 400099                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1003    | Everframe Trading Company Pvt. Ltd. | 58 - Maharashtra Bhavan, 4th Flr., Bora Masjid Street, Fort, Mumbai- 400001                                              | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1004    | Highzone Trading Company Pvt. Ltd   | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1005    | Hiren Trading Company Pvt. Ltd      | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1006    | Inorbit Trading Company Pvt Ltd     | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |

| SL. NO. | NAME OF THE DEFAULTER               | ADDRESS OF THE DEFAULTER                                                                                         | VIOLATION IN THE SCRIP OF | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------|------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|----------------------------|
| 1007    | Laksh Mercantile Pvt.Ltd            | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1008    | Madan Multitrade Pvt Ltd            | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1009    | Maginot Trading Company Pvt. Ltd    | 58 - Maharashtra Bhavan, 4th Flr., Bora Masjid Street, Fort, Mumbai- 400001                                      | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1010    | Montreal Trading Company Pvt. Ltd   | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1011    | Newtree Mercantile Company Pvt. Ltd | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1012    | Niti Mercantile Company Pvt Ltd     | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1013    | Palaash Construction Pvt Ltd        | Palaash Construction Pvt Ltd Room No. 1, 2nd Floor 105, Damodar Building Princess Street, Mumbai – 400 002       | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1014    | Prajay Trading Company Pvt. Ltd     | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1015    | Real Star Trading Company Pvt. Ltd  | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1016    | Sarveshwara Trading Company Pvt Ltd | Sarveshwara Trading Company Pvt Ltd Room No. 1, 2nd Floor 105, Damoder Building Princess Street Mumbai – 400 002 | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1017    | Sea View Trading Company Pvt. Ltd   | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                        | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |

| SL. NO. | NAME OF THE DEFAULTER                 | ADDRESS OF THE DEFAULTER                                                                                                       | VIOLATION IN THE SCRIP OF | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|----------------------------|
| 1018    | Seaview Multitrade Company Pvt. Ltd.  | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                      | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1019    | Skyview Trading Company Pvt. Ltd      | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                      | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1020    | Starview Mercantile Company Pvt. Ltd  | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                      | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1021    | Sulochana Mercantile Pvt. Ltd         | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                      | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1022    | Superhouse Trading Company Pvt. Ltd   | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                      | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1023    | Topcare Trading Company Pvt. Ltd      | 58 - Maharashtra Bhavan, 4th Flr., Bora Masjid Street, Fort, Mumbai- 400001                                                    | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1024    | Vinay Mercantile Pvt Ltd              | Vinay Mercantile Pvt Ltd Block No. 2, 3rd Floor Abbas Manzil Opp. Cigaratte Factory Chakala, Andheri (East) Mumbai – 400 099   | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1025    | Watergate Mercantile Company Pvt. Ltd | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                      | Bank of Rajasthan Ltd.    | 800000                 | 14/02/2013                 |
| 1026    | Colorshop Trading Company Pvt. Ltd    | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                      | Bank of Rajasthan Ltd.    | 500000                 | 14/02/2013                 |
| 1027    | Geonet Trading Company Pvt. Ltd       | Geonet Trading Company Pvt Ltd 635, 6th Floor, Laxmi Plaza Laxmi Industrial Estate New Link Road Andheri (W), Mumbai – 400 053 | Bank of Rajasthan Ltd.    | 500000                 | 14/02/2013                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                  | ADDRESS OF THE DEFAULTER                                                                                                                | VIOLATION IN THE SCRIP OF   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER               |
|---------|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|------------------------|------------------------------------------|
| 1028    | Girish Chand Yadav                                                                                                     | H-157, Dr Babasaheb Ambedkar Nagar, S M Road, Kokari Agar, Sion Koliwada, Mumbai - 400037                                               | Bank of Rajasthan Ltd.      | 500000                 | 14/02/2013                               |
| 1029    | Infinite Mercantile Company Pvt. Ltd                                                                                   | 58 - Maharashtra Bhavan, 4th Flr., Bora Masjid Street, Fort, Mumbai- 400001                                                             | Bank of Rajasthan Ltd.      | 500000                 | 14/02/2013                               |
| 1030    | Punit Mercantile Pvt Ltd                                                                                               | Punit Mercantile Pvt Ltd 305, 3rd Floor Trinity Co-op. Housing Society Ltd.A. P. Market, S G Gaikwad Marg, Dhobi Talao, Mumbai – 400 00 | Bank of Rajasthan Ltd.      | 500000                 | 14/02/2013                               |
| 1031    | Superfine Trading Company Pvt. Ltd                                                                                     | 58 - Maharashtra Bhavan, 4th Flr., Bora Masjid Street, Fort, Mumbai- 400001                                                             | Bank of Rajasthan Ltd.      | 500000                 | 14/02/2013                               |
| 1032    | Cranes Real Estate Pvt. Ltd                                                                                            | Pareesh Mandalia Director, Ratanbhai Bldg.1/2/4-5, 112, Bhandari Street, Mumbai - 400004                                                | Bank of Rajasthan Ltd.      | 500000                 | 14/02/2013                               |
| 1033    | Dulron Procon Pvt Ltd                                                                                                  | Flat No.104, Silver Park, Plot No.5 of Survey No.6, Vanamali Park,Silvassa- Khanvel Main Road, Samarvani Silvassa - 396230              | Bank of Rajasthan Ltd.      | 500000                 | 14/02/2013                               |
| 1034    | Mr. Balwinder Singh (Proprietor: Gogia Investments)                                                                    | SCO: 421-422, 2nd Floor, Sector 35C, Chandigarh-160022                                                                                  | Ind-Swift Laboratories Ltd. | 1000000                | 18/02/2013                               |
| 1035    | Vishvas Securities Ltd.                                                                                                | 606, Kailash Building, 26, Kasturba Gandhi Marg,Cannaught Place, New Delhi 110 001                                                      | Exedy India Ltd             | 1000000                | 12/03/2013                               |
| 1036    | P Saminathan                                                                                                           |                                                                                                                                         | Pyramid Saimira Theatre Ltd | 500000                 | 12/03/2013                               |
| 1037    | Rameshchandra Gandhi, Devang R Gandhi, Devanshi D Gandhi, Riddhi Silk Mills, Gitaben R Gandhi,                         |                                                                                                                                         | Sunday Exports Ltd.         | 500000                 | 11/02/2012 - reduced by SAT - 20/03/2013 |
| 1038    | Rameshchandra Gandhi, Devang R Gandhi, Devanshi D Gandhi, Pravindhchandra D Patel, Fuliankumar A Resham Sunday Exports |                                                                                                                                         | Sunday Exports Ltd.         | 100000                 | 01/11/2012 - reduced by SAT - 20/03/2013 |
| 1039    | Pravindhchandra D Patel and Fuliankumar A Resham                                                                       |                                                                                                                                         | Sunday Exports Ltd.         | 100000                 | 11/01/2012 - reduced by SAT - 20/03/2013 |
| 1040    | Prem Chand Batra (Director MKM Finsec Pvt. Ltd. (PAN: AAGPB2136E)                                                      | 301, Dhaka Chamber, 2068/39, Naiwala, Karol Bagh, New Delhi-110005                                                                      | Fictitious Contract notes   | 500000                 | 21/03/2013                               |

| SL. NO. | NAME OF THE DEFAULTER                                                                  | ADDRESS OF THE DEFAULTER                                                                                                                       | VIOLATION IN THE SCRIP OF                  | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|------------------------|----------------------------|
| 1041    | Kusum Deepak Rathod                                                                    | ADSPR1560P                                                                                                                                     | Eltrol Limited                             | 800000                 | 15/04/2013                 |
| 1042    | Mangalaben Doshi                                                                       |                                                                                                                                                | Eltrol Ltd.                                | 800000                 | 15/04/2013                 |
| 1043    | USHA INDIA LTD                                                                         | 12/1, MATHURA ROAD, FARIDABAD, HARYANA-121003                                                                                                  | Investor Complaints                        | 2633000                | 18/04/2013                 |
| 1044    | Ford Brothers Capital Services Ltd                                                     | 10, Homi Modi Street, 2nd Floor, Ford, Mumbai - 400 023                                                                                        | Nandan Exim Ltd                            | 2000000                | 14/05/2013                 |
| 1045    | M/s. Foram Enterprise, Proprietor-Shri Hadvani Maheshkumar Harilal                     | 23, Sahajanad Kalyan Complex, Near Reliance Petrol Pump, Kuvadava Road, Rajkot, GUJRAT- 360 00                                                 | Bodal Chemicals Ltd.                       | 1000000                | 28/06/2013                 |
| 1046    | Govindji Gupta                                                                         | Badal Katra, Nr. maruti Printing Press, Mirzapur Uttar Pradesh-231001<br>Badal Katra, Nr. maruti Printing Press, Mirzapur Uttar Pradesh-231001 | Alchemist Ltd                              | 100000                 | 06/09/2013                 |
| 1047    | Govindji Gupta                                                                         | Uttar Pradesh                                                                                                                                  | Alchemist Ltd                              | 100000                 | 06/09/2013                 |
| 1048    | Sun Earth Ceramics Ltd                                                                 | New Bharat Building, Ghorupdeo Cross Road No. 1, Off Rambhau Bhogle Marg, Byculla, Mumbai – 400 033                                            | Investor Complaint                         | 1500000                | 30/10/2013                 |
| 1049    | Subhakti Textiles Ltd                                                                  | 18, Surti Chambers, 2nd Dhobi Talao Lane, Mumbai – 400 002                                                                                     | Investor Complaint                         | 1500000                | 30/10/2013                 |
| 1050    | Kawaljit Singh Chawla, Priti Kaur Chawla, Kiran Ajit Chawla, Jagjit Singh Chawla       |                                                                                                                                                | Subway Finance And Investment Company Ltd. | 250000                 | 31/10/2013                 |
| 1051    | Surlux Health Centres Ltd                                                              | 3/A, Blue Chip, Prakash Chambers, 77, Nagindas Master Road, Fort, Mumbai – 400 023                                                             | Investor Complaint                         | 50000                  | 26/11/2013                 |
| 1052    | Pradeep Aggarwal                                                                       | 308, Syndicate House 3, Old Rohtak Road, Inderlok, Delhi-110035                                                                                | Priyadarshini Spinning Mills Ltd           | 1500000                | 31/12/2013                 |
| 1053    | Jag Par Securities P. Ltd.                                                             | 308, Syndicate House 3, Old Rohtak Road, Inderlok, Delhi-110036                                                                                | Priyadarshini Spinning Mills Ltd           | 1200000                | 31/12/2013                 |
| 1054    | Nisha Aggarwal                                                                         | 308, Syndicate House 3, Old Rohtak Road, Inderlok, Delhi-110037                                                                                | Priyadarshini Spinning Mills Ltd           | 800000                 | 31/12/2013                 |
| 1055    | Mr. Vijay Jain, Ms. Urvashi Jain, Ms. Sunita Jain, Ms. Shivani Jain and Vijay Jain HUF | 618, Krishna, 224, A. J. C. Bose Road, Kolkata-700017                                                                                          | Gaylord Commercial Company Limited         | 300000                 | 15/01/2014                 |
| 1056    | Champion Finsec Ltd.                                                                   | 301, Camy House, 3, Dhush Wadi, Dr. C H Street, Mumbai- 400 002                                                                                | Champion Finsec Ltd.                       | 500000                 | 31/01/2014                 |

| SL. NO. | NAME OF THE DEFAULTER                                                   | ADDRESS OF THE DEFAULTER                                                                                                                                                                            | VIOLATION IN THE SCRIP OF                                                                                                   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------|
| 1057    | Mr. Franz Golz                                                          | Tiefensteiner Straße 210<br>D- 55743 Idar-Oberstein<br>Germany                                                                                                                                      | Euro Finmart Ltd.                                                                                                           | 100000                 | 11/02/2014                 |
| 1058    | Shri Kailash Dashottra                                                  | D-105, Vivek Vihar, Delhi-110085                                                                                                                                                                    | Euro Finmart Ltd.                                                                                                           | 100000                 | 11/02/2014                 |
| 1059    | Shri Kailash Saboo                                                      | C-402, Anand Lok Housing Society, Mayur Vihar,<br>Phase-1, New Delhi-110091                                                                                                                         | Euro Finmart Ltd.                                                                                                           | 100000                 | 11/02/2014                 |
| 1060    | Sarthak Securities Limited( Now<br>Sarthak Compusoft Ltd.)              | A-7, Rushika Apartments, Nr. Kiran Park, Nava<br>Vadaj, Ahmedabad- 380 013 AND 312, Kirtiman<br>Complex, C/O. Kinariwala House, Near K.P.Hostel,<br>Patel Society, Gulbai Tekra, Ahmedabad- 380 006 | Section 15 C and Section<br>15 HB of the SEBI Act for<br>failure to redress Investor<br>Greivances and SCORES -<br>Login ID | 300000                 | 03/03/2014                 |
| 1061    | BMD Exports; Jitendrabhai Ramanbhai<br>Patel; Madhavlal Bechardas Patel | 22-A, Suraksha Society<br>Visnagar, Mehsana<br>Gujarat-384315                                                                                                                                       | RDB Rasayans Limited                                                                                                        | 20000000               | 24/03/2014                 |
| 1062    | Prakashbhai ishwarbhai Rana                                             | No-217, Gawarno Rajputvado<br>Cambay 22, Anand<br>Gujarat-388620                                                                                                                                    | RDB Rasayans Limited                                                                                                        | 7500000                | 24/03/2014                 |
| 1063    | SHRI VISHNU KRUPA COMMODITIES<br>PRIVATE LIMITED                        | SHRI VISHNU KRUPA COMMODITIES PRIVATE<br>LIMITED                                                                                                                                                    | Kwality Credit & Leasing<br>Limited                                                                                         | 5000000                | 25/03/2014                 |
| 1064    | SANGAM AGRO AGENCIES PRIVATE<br>LIMITED                                 | SANGAM AGRO AGENCIES PRIVATE LIMITED                                                                                                                                                                |                                                                                                                             |                        |                            |
| 1065    | PARAM COMMODITIES PRIVATE<br>LIMITED                                    | PARAM COMMODITIES PRIVATE LIMITED                                                                                                                                                                   |                                                                                                                             |                        |                            |
| 1066    | KALPA MERCANTILE PRIVATE LIMITED                                        | KALPA MERCANTILE PRIVATE LIMITED                                                                                                                                                                    |                                                                                                                             |                        |                            |
| 1067    | UTKARSH AGRO AGENCIES PRIVATE<br>LIMITED                                | UTKARSH AGRO AGENCIES PRIVATE LIMITED                                                                                                                                                               |                                                                                                                             |                        |                            |
| 1068    | PARAMARTH AGRO MARKETING<br>PRIVATE LIMITED                             | PARAMARTH AGRO MARKETING PRIVATE LIMITED                                                                                                                                                            |                                                                                                                             |                        |                            |
| 1069    | APURVA COMMODITIES PRIVATE<br>LIMITED                                   | APURVA COMMODITIES PRIVATE LIMITED                                                                                                                                                                  |                                                                                                                             |                        |                            |
| 1070    | PAWANTAR AGRO AGENCIES PRIVATE<br>LIMITED                               | PAWANTAR AGRO AGENCIES PRIVATE LIMITED                                                                                                                                                              |                                                                                                                             |                        |                            |
| 1071    | CHIRAYUSH AGRO MARKETING<br>PRIVATE LIMITED                             | CHIRAYUSH AGRO MARKETING PRIVATE LIMITED                                                                                                                                                            |                                                                                                                             |                        |                            |
| 1072    | MITARU AGRO MARKETING PRIVATE<br>LIMITED                                | MITARU AGRO MARKETING PRIVATE LIMITED                                                                                                                                                               |                                                                                                                             |                        |                            |
| 1073    | Cosmo Corporate Services Ltd.                                           | C-3, Amar Colony Market, Lajpat Nagar IV, New<br>Delhi                                                                                                                                              | Sumeet Industries Limited                                                                                                   | 500000                 | 30/03/2014                 |

| SL. NO. | NAME OF THE DEFAULTER                          | ADDRESS OF THE DEFAULTER                                                                                                                                                                  | VIOLATION IN THE SCRIP OF                                                                                       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|------------------------|----------------------------|
| 1074    | Asiatic Infrastructure & Shelters Ltd.         | O.L. House, Nr. Swati Apartment, Opposite Central Bank, Ambawadi, Ahmedabad-380015 AND Basement Suminath Complex, Opp. Kothawala Flat, Pritam Nagar, 2nd Slope, Paldi, Ahmedabad- 380 007 | Section 15 C and Section 15 HB of the SEBI Act for failure to redress Investor Grievances and SCORES - Login ID | 500000                 | 31/03/2014                 |
| 1075    | Cure Spectra Laser Ltd.                        | O.L. House, Nr. Swati Apartment, Opposite Central Bank, Ambawadi, Ahmedabad-380015                                                                                                        | Section 15 C and Section 15 HB of the SEBI Act for failure to redress Investor Grievances and SCORES - Login ID | 200000                 | 31/03/2014                 |
| 1076    | Gujarat Fun N Water park Ltd.                  | O.L. House, Nr. Swati Apartment, Opposite Central Bank, Ambawadi, Ahmedabad-380015 AND Near Swati Apartment, Opp. Central Bank Lane, Ambawadi Bazar, Ahmedabad- 380 015                   | Section 15 C and Section 15 HB of the SEBI Act for failure to redress Investor Grievances and SCORES - Login ID | 1200000                | 31/03/2014                 |
| 1077    | M/s. Coimbatore Flavors and Fragrances Limited | No. 6, 2nd Floor, SRP Nagar, Saibaba Colony, Coimbatore-641011                                                                                                                            | M/s. Coimbatore Flavors and Fragrances Limited                                                                  | 200000                 | 30/04/2014                 |
| 1078    | Essem Catalyst Ltd.                            | 69, Navaratna Building, 133, P D Mello Road, Mumbai 400 009; alternative address 160/1, Industrial Area Varangaon Road, Bhusawal 425201                                                   | Investor Complaint                                                                                              | 200000                 | 24/07/2014                 |
| 1079    | Gleitlager (India) Ltd.                        | GMH House Plot no H-6 MIDC Industrial Area Chikhalthana, Aurangabad 431 210; alternative address Manu Mansion, 16, Shahid Bhagat Singh Road, Mumbai 400 023                               | Investor Complaint                                                                                              | 250000                 | 24/07/2014                 |
| 1080    | Bharat Explosives Ltd                          | Bharat Explosives Ltd, Indraprakash, 2nd Floor, 21, Barakhamba Road, New Delhi-01                                                                                                         | Non-redressal of investor grievances                                                                            | 100000                 | 24/07/2014                 |
| 1081    | BHARAT EXPLOSIVES LTD                          | Indraprakash (2nd Floor) 21, Barakhamba Road New Delhi-110001                                                                                                                             | Non-redressal of Investor Grievances                                                                            | 100000                 | 24/07/2014                 |
| 1082    | Essem Catalyst Ltd.                            | 69, Navaratna Building, 133, P D Mello Road, Mumbai 400 009; alternative address 160/1, Industrial Area Varangaon Road, Bhusawal 425201                                                   | Investor Complaint                                                                                              | 200000                 | 24/07/2014                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                                                                                           | ADDRESS OF THE DEFAULTER                                                                                                                                    | VIOLATION IN THE SCRIP OF            | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------|----------------------------|
| 1083    | Gleitlager (India) Ltd.                                                                                                                                                         | GMH House Plot no H-6 MIDC Industrial Area Chikhalthana, Aurangabad 431 210; alternative address Manu Mansion, 16, Shahid Bhagat Singh Road, Mumbai 400 023 | Investor Complaint                   | 250000                 | 25/07/2014                 |
| 1084    | M/s Parshwa Finance                                                                                                                                                             | 2796, Jhaveriwadi, opp Laheria Pole, Relief Road, Ahmedabad- 380001                                                                                         | Ind Swift Ltd                        | 125000                 | 30/07/2014                 |
| 1085    | Golden Soya Ltd.                                                                                                                                                                | Sadhna Rayon House, 2nd floor, Dr D N Road, Mumbai 400 001                                                                                                  | Investor Complaint                   | 300000                 | 06/08/2014                 |
| 1086    | Gloria Leasing Ltd.                                                                                                                                                             | Sadhna Rayon House, Dr D N Road, Mumbai 400 001                                                                                                             | Investor Complaint                   | 300000                 | 06/08/2014                 |
| 1087    | Golden Soya Ltd.                                                                                                                                                                | Sadhna Rayon House, 2nd floor, Dr D N Road, Mumbai 400 001                                                                                                  | Investor Complaint                   | 300000                 | 06/08/2014                 |
| 1088    | Gloria Leasing Ltd.                                                                                                                                                             | Sadhna Rayon House, Dr D N Road, Mumbai 400 001                                                                                                             | Investor Complaint                   | 300000                 | 06/08/2014                 |
| 1089    | Shri Udit Agrawal<br>Ms. Rashmi Agrawal<br>Shr Suresh Chand Agrawal<br>Harish Agrawal (HUF)<br>Suresh Chand Agrawal (HUF)<br>Shri Madhur Agrawal<br>Shri Harish Chandra Agrawal |                                                                                                                                                             | CAPITAL TRADE LINKS LIMITED          | 1000000                | 07/08/2014                 |
| 1090    | Shri Harish Chandra Agrawal                                                                                                                                                     |                                                                                                                                                             | CAPITAL TRADE LINKS LIMITED          | 500000                 | 07/08/2014                 |
| 1091    | OMEGA LABORATORIES LTD                                                                                                                                                          | E-13/1,kavi Nagar Industrial Area Ghaziabad-201002                                                                                                          | Non-redressal of Investor Greivances | 100000                 | 08/09/2014                 |
| 1092    | SARLA CREDIT & SECURITIES LTD                                                                                                                                                   | A-26,Babu Park, Kotla Mubarakpur                                                                                                                            | Non-redressal of Investor Greivances | 100000                 | 18/09/2014                 |
| 1093    | Rajamani                                                                                                                                                                        |                                                                                                                                                             | Gee Gee Granites Ltd.                | 100000                 | 22/09/2014                 |
| 1094    | Bharat G. Vaghela                                                                                                                                                               | 8/16, Room No. 30<br>MK Amin Marg<br>Patel Bldg, 3rd Floor, Fort.<br>Mumbai-400001                                                                          | LGS Global Ltd.                      | 500000                 | 23/09/2014                 |
| 1095    | LAKSHYA SECU & CREDIT HOLDINGS LTD                                                                                                                                              | 604, Hemkunt Tower,98 Nehru Place New Delhi-110019                                                                                                          | Non-redressal of Investor Greivances | 150000                 | 28/10/2014                 |
| 1096    | J K LEATHRITE LTD                                                                                                                                                               | 108,Industrial Area, Baddi Distt.Solan -173205                                                                                                              | Non-redressal of Investor Greivances | 200000                 | 28/10/2014                 |
| 1097    | INTECON (LTD                                                                                                                                                                    | M-141, Greater Kailash, Part-II, New Delhi-110048                                                                                                           | Non-redressal of Investor Greivances | 120000                 | 28/10/2014                 |
| 1098    | MAJESTIC OVERSEAS LTD                                                                                                                                                           | 43,Anuradha Apartments, A-2 Block, Paschim vihar New Delhi-110063                                                                                           | Non-redressal of Investor Greivances | 150000                 | 28/10/2014                 |

| SL. NO. | NAME OF THE DEFAULTER             | ADDRESS OF THE DEFAULTER                                                              | VIOLATION IN THE SCRIP OF            | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------|---------------------------------------------------------------------------------------|--------------------------------------|------------------------|----------------------------|
| 1099    | IDEAL HOTEL & INDUSTRIES LTD      | The Mall Cantt., Varanasi,Utterpradesh-221002                                         | Non-redressal of Investor Greivances | 120000                 | 29/10/2014                 |
| 1100    | HINDUSTAN CERAMICS LTD            | Navagam Road, Amarapar Behind Ansoya Way Brij Thangadh,Guj.-363530                    | Non-redressal of Investor Greivances | 150000                 | 29/10/2014                 |
| 1101    | HINDUSTAN FINANCIAL MGMT LTD      | G-3,Siddharth, 96 Nehru Place New Delhi-110019                                        | Non-redressal of Investor Greivances | 150000                 | 29/10/2014                 |
| 1102    | REINZ TALBROS LTD                 | R-19, Room No.-203, Vikas Marg, Shakarpur New Delhi-110092                            | Non-redressal of Investor Greivances | 200000                 | 29/10/2014                 |
| 1103    | TRIDENT INDIA LTD                 | Flat No B-13, Binova Kunj, Sect.-9, Rohini Delhi-110085                               | Non-redressal of Investor Greivances | 150000                 | 29/10/2014                 |
| 1104    | H-LON HOSIERY LTD                 | C-4/1, Main Road wazirpur Indl.Area new Delhi-110052                                  | Non-redressal of Investor Greivances | 200000                 | 29/10/2014                 |
| 1105    | PREMIUM INTERNATIONAL FINANCE LTD | CUGF-1, Jumbo House Ph-3, Okhla Indl. Estate New Delhi                                | Non-redressal of Investor Greivances | 250000                 | 29/10/2014                 |
| 1106    | UP MINERAL PRODUCTS LTD           | M-116/A, IInd Floor, Connaught Place, New Delhi                                       | Non-redressal of Investor Greivances | 2300000                | 20/11/2014                 |
| 1107    | LUNAR DIAMONDS LTD                | D-15/4, Okhla Industrial Area, New Delhi-20                                           | Non-redressal of Investor Greivances | 250000                 | 20/11/2014                 |
| 1108    | BPL DISPLAY DEVICES LTD           | A-41, 42 & 42/1, Site IV Industrial Area, Sahibabad Industrial Area, Gaziabad-10 (UP) | Non-redressal of Investor Greivances | 150000                 | 20/11/2014                 |
| 1109    | YOGI PHARMACY LTD                 | D-29-30, Industrial Area, Dist-Haridwar-249404 (Uttranchal)                           | Non-redressal of Investor Greivances | 550000                 | 20/11/2014                 |
| 1110    | CHINAR EXPORTS LTD                | B-9, Housing Society, South Extention, Part-I, New Delhi-49                           | Non-redressal of Investor Greivances | 1300000                | 27/11/2014                 |
| 1111    | Prem Mohanalal Parikh             | 140/K, Cavel Cross Lane No.7, 1st Floor, Room No.1, Kalbadevi, Mumbai – 400 00        | Gemstone Investments Ltd.            | 500000                 | 28/11/2014                 |
| 1112    | Spectrum Chemicals Pvt. Ltd.      | 201 Rajani Bhawan, 569 M.G. Road, Indore, M.P. INDIA 452001                           | Gemstone Investments Ltd.            | 500000                 | 28/11/2014                 |
| 1113    | Manoj Bhandari                    | B-166, Kidwai Nagar East, Near B Block Mandir, New Delhi, Delhi - 110023              | Gemstone Investments Ltd.            | 500000                 | 28/11/2014                 |
| 1114    | Bipinkumar Gandhi                 | 8/16 M K Amin Marg Patel Bldg., 3rd floor, room no. 30 fort mumbai 40000              | Gemstone Investments Ltd.            | 500000                 | 28/11/2014                 |
| 1115    | Rekha Bhandari                    | B-301, Wembley Estate, Opp. Rose Wood City, Sector 49-50, Gurgaon - 122001            | Gemstone Investments Ltd.            | 500000                 | 28/11/2014                 |

| SL. NO. | NAME OF THE DEFAULTER                               | ADDRESS OF THE DEFAULTER                                                                                    | VIOLATION IN THE SCRIP OF                                                                                                                     | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------|
| 1116    | Ashok Kumar Bhikalal Parmar                         | Chhela Fallya, Near Sardar Nagar Soc, Nizampura, Vadodara, Gujarat - 390002                                 | Gemstone Investments Ltd.                                                                                                                     | 500000                 | 28/11/2014                 |
| 1117    | Amadhi Investments Ltd.                             | EL-10, GF, Memnagar Complex, New Sahkar Association, Near State Bank of India, Memnagar, Ahmedabad - 380052 | IPO Irregularities                                                                                                                            | 25000000.00            | April 28, 2014             |
| 1118    | Nitaben Shaileshbhai Patel                          | A/14, Snehadi Appt. Shreyash Tekra, Ambawadi, Ahmedabad - 380015                                            | Riba Textiles Ltd., Supertex Industries Ltd., Bridge Securities Ltd., Winsome Textile Industries Ltd. and Aarey Drugs and Pharmaceuticals Ltd | 1000000.00             | August 11, 2014            |
| 1119    | Siddharth J Chaturvedi                              | 24, Suvarnapuri Society, Alkapuri, Vadodara - 390007                                                        | Brijlaxmi Leasing and Finance Ltd.                                                                                                            | 500000.00              | August 28, 2014            |
| 1120    | Manish Ratilal Shah                                 | 2- Mahavir Flat, Shahpurchandrika, Ahmedabad - 380001                                                       | Riba Textiles Ltd., Supertex Industries Ltd., Bridge Securities Ltd., Winsome Textile Industries Ltd. and Aarey Drugs and Pharmaceuticals Ltd | 2200000.00             | September 9, 2014          |
| 1121    | Apexa Jagdishbhai Patel                             | C/44, Greenwood Society, Opp. Sarvoday – 2, Sola Road, Ahmedabad - 380061                                   | Supertex Industries Ltd., Bridge Securities Ltd. and Aarey Drugs and Pharmaceutical Ltd.                                                      | 400000.00              | #####                      |
| 1122    | Anandkumar Kanubhai Ravat                           | Government Deaf Dumb School, Principal Home, Junagadh - 362001                                              | Alderbrooke Portfolio Management Services Pvt. Ltd.                                                                                           | 10000000.00            | #####                      |
| 1123    | Jalpeshkumar Amrutlal Makwana                       | B-202, Nalanda Appartment, Zanzarda Road, Patel Nagar, Junagadh - 362001                                    | Alderbrooke Portfolio Management Services Pvt. Ltd.                                                                                           | 10000000.00            | #####                      |
| 1124    | Alderbrooke Portfolio Management Services Pvt. Ltd. | 207, Platinum, Jai Shree Cinema Road, Junagadh - 362001                                                     | Alderbrooke Portfolio Management Services Pvt. Ltd.                                                                                           | 10000000.00            | #####                      |
| 1125    | Darshit Hydro Power Project Pvt. Ltd.               | F-11-3, Noble Nagar, Post Kuber Nagar, Ahmedabad - 382 340                                                  | Turbo Tech Ltd.                                                                                                                               | 300000.00              | November 27, 2014          |
| 1126    | Ishita Finstock Ltd.                                | 135/136 Star Chambers, Harihar Chowk, Rajkot - 360001                                                       | Gangotri Textiles Ltd.                                                                                                                        | 8000000.00             | December 31, 2014          |
| 1127    | Nagad Sarwar                                        | H. No.1/4025, Behind Old Civil Hospital, Chowk Bazar, Khatiwad, Surat.                                      | Vamshi Rubber Ltd.                                                                                                                            | 1600000.00             | November 27, 2015          |
| 1128    | Concurrent India Infrastructure Ltd.                | C/o, Sudhirbabu Koneru, B-2 293/82/L/281 MLA Colony, Banjara Hills, Road No. 12, Hyderabad-500034           | Concurrent India Infrastructure Ltd.                                                                                                          | 50000                  | 30.11.2012                 |

| SL. NO. | NAME OF THE DEFAULTER       | ADDRESS OF THE DEFAULTER                                                                                                                     | VIOLATION IN THE SCRIP OF            | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------|----------------------------|
| 1129    | Cementex india Pvt. Ltd.    | 8-2-269-W/w3, Plot No. 2, Second Floor, Road No.2, Street No.8, Sagar Society, Women's Co-Operative Society, Banjara Hills, Hyderabad-500034 | Cementex india ltd                   | 100000                 | 28.11.2013                 |
| 1130    | Dhana Energy Pvt. Ltd.      | 8-2-269-w/3, Sai Teja second Floor, Sai Teja, Street No. 2, Sagar Society, Banjara Hills, Road No.2, Hyderabad-500034                        | Venus Power Ventures Ltd.            | 100000                 | 28.11.2013                 |
| 1131    | R. Chakravarthy             | 102, Coral Block, Sri Sairam Manor Phase-2, Srinagar Colony Road, Yousuf Guda, Hyderabad-500045                                              | Venus Power Ventures Ltd.            | 50000                  | 28.11.2013                 |
| 1132    | Swarna Kumari Kolli         | 102, Coral Block, Sri Sairam Manor Phase-2, Srinagar Colony Road, Yousuf Guda, Hyderabad-500045                                              | Venus Power Ventures Ltd.            | 50000                  | 28.11.2013                 |
| 1133    | K S Kashiraman              | 57/2, Dr. Rangachari Road, Chennai-600018                                                                                                    | Pyramid saimira Theatre Ltd          | 4000000                | 27.05.2014                 |
| 1134    | K. Natarahjan               | F-2, Gurukripa Enclave, 10/11, Muthuramalinga Street, rajaji Colony, Virugambakkam, Chennai-600092                                           | Pyramid saimira Theatre Ltd          | 4000000                | 27.05.2014                 |
| 1135    | G. Ramakrishnan             | R Block, 53, 15th Street, Anna Nagar, Chennai-600040                                                                                         | Pyramid saimira Theatre Ltd          | 2500000                | 27.05.2014                 |
| 1136    | Ms. Nisha Baid              | 8-2-684/4/40/1, Road No. 12, banjara Hills, Hyderabad- Telangana-500034                                                                      | Century 21st Portfolio Ltd.          | 200000                 | 25.06.2014                 |
| 1137    | Cementex india Pvt. Ltd.    | 8-2-269-W/w3, Plot No. 2, Second Floor, Road No.2, Street No.8, Sagar Society, Women's Co-Operative Society, Banjara Hills, Hyderabad-500034 | Cementex india ltd                   | 8419546                | 24.04.2017                 |
| 1138    | SVPCL Ltd.                  | 206 A, The Consurse, 2nd Floor, Greenlands Road, 7-1-58, Ameerpet, Hyderabad-500016                                                          | SVPCL Ltd                            | 74090098               | 18.04.2012                 |
| 1139    | G. Moorthi                  | No.63/26, Corporation Complex, 1 <sup>st</sup> Floor, Arcot Road, Kodambakkam, Chennai- 600024                                               | Gemini Communications Ltd.           | 2500000                | 16.06.2017                 |
| 1140    | BASI INVESTMENT LTD         | 601, Somdutt Chambers-II, 9, Bhikaji Cama Place, New Delhi-66                                                                                | Non-redressal of Investor Greivances | 150000                 | 22/12/2014                 |
| 1141    | MARTINE POLYCAST LTD        | B-2/15, Safdarjung Enclave, New Delhi-29                                                                                                     | Non-redressal of Investor Greivances | 150000                 | 24/12/2014                 |
| 1142    | MAHADEV AGRO INDUSTRIES LTD | A-62, IIInd Floor, Rajouri Garden, New Delhi-27                                                                                              | Non-redressal of Investor Greivances | 400000                 | 24/12/2014                 |
| 1143    | MAHADEV CORPORATION LTD     | A-62, IIInd Floor, Rajour Garden, New Delhi-27                                                                                               | Non-redressal of Investor Greivances | 10000000               | 02/01/2015                 |
| 1144    | Mahadev Corporation Ltd     | A-62, second floor, rajoori garden, New Delhi-110027                                                                                         | Mahadev Corporation Ltd              | 10000000               | 02/01/2015                 |

| SL. NO. | NAME OF THE DEFAULTER                                     | ADDRESS OF THE DEFAULTER                                                                                                                                          | VIOLATION IN THE SCRIP OF                                                                  | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------|----------------------------|
| 1145    | Navin Chemicals Manufacturing and Trading Company Limited | NA                                                                                                                                                                | Navin Chemicals Manufacturing and Trading Company Limited                                  | 100000                 | 15/01/2015                 |
| 1146    | Munak Galva Sheets Limited                                | NA                                                                                                                                                                | Munak Galva Sheets Limited                                                                 | 100000                 | 15/01/2015                 |
| 1147    | Intercraft Limited                                        | NA                                                                                                                                                                | Intercraft Limited                                                                         | 300000                 | 15/01/2015                 |
| 1148    | Hind Developers Limited                                   | NA                                                                                                                                                                | Hind Developers Limited                                                                    | 100000                 | 15/01/2015                 |
| 1149    | Cepharm Pharmaceutical Limited                            | NA                                                                                                                                                                | Cepharm Pharmaceutical Limited                                                             | 200000                 | 15/01/2015                 |
| 1150    | Cepharm Organics Limited                                  | NA                                                                                                                                                                | Cepharm Organics Limited                                                                   | 200000                 | 15/01/2015                 |
| 1151    | Golden Proteins Limited                                   | NA                                                                                                                                                                | Golden Proteins Limited                                                                    | 100000                 | 15/01/2015                 |
| 1152    | Vishal K Mahadevia                                        | NA                                                                                                                                                                | M/s Tak Machinery and Leasing Limited (Now Known as "M/s Mangal Credit & Fincorp Limited") | 306000                 | 28/01/2015                 |
| 1153    | M/s. National Oilwell Varco Inc.                          | NA                                                                                                                                                                | M/s. GMM Plaudler Ltd.                                                                     | 2500000                | 30/01/2015                 |
| 1154    | M/s. Plaudler Inc.                                        | NA                                                                                                                                                                |                                                                                            |                        |                            |
| 1155    | Anmol Drugs and Pharmaceuticals Limited                   | NA                                                                                                                                                                | Anmol Drugs and Pharmaceuticals Limited                                                    | 200000                 | 30/01/2015                 |
| 1156    | Ambuja Agro Industries Limited                            | NA                                                                                                                                                                | Ambuja Agro Industries Limited                                                             | 300000                 | 30/01/2015                 |
| 1157    | Ved Finance,                                              | Sub-broker of SKSE Securities Ltd (Sub-broker registration no. INS01058131<br>Broker of Saurashtra Kutch Stock Exchnage Ltd. (broker registration no. INB18074251 | In the matter M/s. Gujarat Arth Ltd                                                        | 2811111                | 03/02/2015                 |
| 1158    | M/s Asian Alloys Limited                                  | NA                                                                                                                                                                | M/s Asian Alloys Limited                                                                   | 400000                 | 16/02/2015                 |
| 1159    | M/s Harpartap Steels Limited                              | NA                                                                                                                                                                | M/s Harpartap Steels Limited                                                               | 100000                 | 16/02/2015                 |
| 1160    | M/s Nijjer Agro Foods Limited                             | NA                                                                                                                                                                | M/s Nijjer Agro Foods Limited                                                              | 100000                 | 16/02/2015                 |
| 1161    | M/s Western Foods Limited                                 | NA                                                                                                                                                                | M/s Western Foods Limited                                                                  | 300000                 | 16/02/2015                 |

| SL. NO. | NAME OF THE DEFAULTER                  | ADDRESS OF THE DEFAULTER                                    | VIOLATION IN THE SCRIP OF              | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------|-------------------------------------------------------------|----------------------------------------|------------------------|----------------------------|
| 1162    | M/s Arihant Threads Limited            | NA                                                          | M/s Arihant Threads Limited            | 100000                 | 16/02/2015                 |
| 1163    | Shri Santosh Deshmal Oswal             | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1164    | Shri Amar Premchand Walmiki            | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1165    | Shri Rajesh Ravinarayan Hati           | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1166    | Shri Manoj Bhandari                    | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1167    | Shri Santosh Vishram Ghadshi           | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1168    | Shri Sunil Bhandari                    | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1169    | Shri Bharatkumar Baldevbhai Parma      | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1170    | Shri Shreedhar Yellaiah Kodam          | NA                                                          | Well Pack Papers & Containers Limited  | 500000                 | 16/02/2015                 |
| 1171    | Anand Finstock Services Limited        | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1172    | Shri Pannalal Ukaram Prajapati         | NA                                                          | Well Pack Papers & Containers Limited  | 500000                 | 16/02/2015                 |
| 1173    | Spectrum Chemicals Private Limited     | NA                                                          | Well Pack Papers & Containers Limited  | 500000                 | 16/02/2015                 |
| 1174    | Shri Bipin Kumar Gandhi                | NA                                                          | Well Pack Papers & Containers Limited  | 600000                 | 16/02/2015                 |
| 1175    | Shri Anand Kalu Marathe                | NA                                                          | Well Pack Papers & Containers Limited  | 200000                 | 16/02/2015                 |
| 1176    | M/s Ambe Hotel & Resorts Limited       | Baarilly House K Verma Road, Mussoorie Uttaranchal - 248179 | M/s Ambe Hotel & Resorts Limited       | 200000                 | 23/02/2015                 |
| 1177    | Kemoil Ltd.                            | NA                                                          | M/s California Software Company Ltd    | 300000                 | 26/02/2015                 |
| 1178    | M/s Montari Industries Limited         | NA                                                          | M/s Montari Industries Limited         | 300000                 | 27/02/2015                 |
| 1179    | M/s Kanha Vanaspati Limited            | 26, Ayodhya Nagar, Ujhani Budaun, Uttar Pradesh - 243639    | M/s Kanha Vanaspati Limited            | 100000                 | 27/02/2015                 |
| 1180    | M/s. Bestavision Electronics Limited   | NA                                                          | Bestavision Electronics Limited        | 300000                 | 27/02/2015                 |
| 1181    | M/s Delight Handicrafts Palace Limited | P.O. & Vill. Tilathi, Mirzapur Uttar Pradesh - 231001       | M/s Delight Handicrafts Palace Limited | 300000                 | 27/02/2015                 |
| 1182    | Vijay Jamnadas Vora                    | NA                                                          | Sky Industries Ltd.                    | 26200000               | 12/03/2015                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                | ADDRESS OF THE DEFAULTER | VIOLATION IN THE SCRIP OF                                                           | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------------------------------------------------------|--------------------------|-------------------------------------------------------------------------------------|------------------------|----------------------------|
| 1183    | Hina Vijaykumar Vora                                                                 | NA                       | Sky Industries Ltd.                                                                 | 7500000                | 12/03/2015                 |
| 1184    | Chetan Dogra HUF                                                                     | NA                       | Sky Industries Ltd.                                                                 | 5000000                | 12/03/2015                 |
| 1185    | Chetan Dogra                                                                         | NA                       |                                                                                     |                        |                            |
| 1186    | Integra Engineering Ltd                                                              | NA                       | Integra Engineering Ltd                                                             | 200000                 | 13/03/2015                 |
| 1187    | Eider Infotech Limited                                                               | NA                       | Eider Infotech Limited                                                              | 3000000                | 16/03/2015                 |
| 1188    | Twenty First Century Capitals Limited [Now known as Spicebulls Investments Limited]  | NA                       | Twenty First Century Capitals Limited [Now known as Spicebulls Investments Limited] | 100000                 | 16/03/2015                 |
| 1189    | Kailash Gupta                                                                        | NA                       | M/s Kailash Auto Finance Limited                                                    | 2000000                | 17/03/2015                 |
| 1190    | Aradhana Motors Pvt. Ltd.                                                            | NA                       |                                                                                     |                        |                            |
| 1191    | Commercial Engg & Body Builders Co. Pvt. Ltd.                                        | NA                       |                                                                                     |                        |                            |
| 1192    | Commercial Automobiles Pvt. Ltd.                                                     | NA                       |                                                                                     |                        |                            |
| 1193    | Commercial Instalments Pvt. Ltd. (Now known as "Commercial Auto Dehradun Pvt. Ltd.") | NA                       |                                                                                     |                        |                            |
| 1194    | Commercial Motors finance Ltd. (Now known as "Commercial Motor Sales Pvt. Ltd.")     | NA                       |                                                                                     |                        |                            |
| 1195    | Kailash Auto Builders Ltd.                                                           | NA                       |                                                                                     |                        |                            |
| 1196    | Kailash Motors Ltd.                                                                  | NA                       |                                                                                     |                        |                            |
| 1197    | Kailash Motors Finance Pvt. Ltd.                                                     | NA                       |                                                                                     |                        |                            |
| 1198    | Shivam Motors Pvt. Ltd.                                                              | NA                       |                                                                                     |                        |                            |
| 1199    | Tirupati Services Ltd.                                                               | NA                       |                                                                                     |                        |                            |
| 1200    | Kailash Chand Gupta                                                                  | NA                       |                                                                                     |                        |                            |
| 1201    | Ishwar Chandra                                                                       | NA                       |                                                                                     |                        |                            |
| 1202    | Ishwar Chandra Gupta HUF                                                             | NA                       |                                                                                     |                        |                            |
| 1203    | Atul Goel                                                                            | NA                       |                                                                                     |                        |                            |
| 1204    | Raghav Gupta                                                                         | NA                       |                                                                                     |                        |                            |
| 1205    | Kailash Chand Gupta HUF                                                              | NA                       |                                                                                     |                        |                            |
| 1206    | Rekha Gupta                                                                          | NA                       |                                                                                     |                        |                            |
| 1207    | Vineet Chandra                                                                       | NA                       |                                                                                     |                        |                            |
| 1208    | Shalini Gupta                                                                        | NA                       |                                                                                     |                        |                            |
| 1209    | Anubha Gupta                                                                         | NA                       |                                                                                     |                        |                            |

| SL. NO. | NAME OF THE DEFAULTER           | ADDRESS OF THE DEFAULTER                                                                                    | VIOLATION IN THE SCRIP OF       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------|------------------------|----------------------------|
| 1210    | Mittar Sen Goel                 | NA                                                                                                          |                                 |                        |                            |
| 1211    | Angoori Devi                    | NA                                                                                                          |                                 |                        |                            |
| 1212    | Ashok Goel                      | NA                                                                                                          |                                 |                        |                            |
| 1213    | Mohit Goel                      | NA                                                                                                          |                                 |                        |                            |
| 1214    | Rita Goel                       | NA                                                                                                          |                                 |                        |                            |
| 1215    | Pratima Goel                    | NA                                                                                                          |                                 |                        |                            |
| 1216    | Babulal Nema                    | NA                                                                                                          |                                 |                        |                            |
| 1217    | Bhaiya Lal Shukla               | NA                                                                                                          |                                 |                        |                            |
| 1218    | Gaurishankar Agarwal            | NA                                                                                                          |                                 |                        |                            |
| 1219    | m/s.apex intertech ltd          | 19,surya kiran,kasturba gandhi marg,new delhi-110001                                                        | m/s.apex intertech ltd          | 400000                 | 17/03/2015                 |
| 1220    | m/s.mahavira foods ltd.         | 106 A-B,1st floor,pal mohan plaza building,11/56,deshbandhu gupta road,new delhi-110005                     | m/s.mahavira foods ltd.         | 150000                 | 17/03/2015                 |
| 1221    | m/s. shamkeen multifab ltd      | shamkeen house,a-20/b-1,mohan co.op.industrial estate,new delhi-11004                                       | m/s. shamkeen multifab ltd      | 150000                 | 17/03/2015                 |
| 1222    | Sendhaji J Thakor               | Chhogia,TA-Visnagar, Mehsana, Gujarat-384315                                                                | SEL Manufacturing Co. Ltd.      | 10000000               | 25/03/2015                 |
| 1223    | PSB Finance and Leasing Limited | NA                                                                                                          | PSB Finance and Leasing Limited | 100000                 | 27/03/2015                 |
| 1224    | Diamant Infrastructure Limited  | Gotmare Complex, Block - B, 1st Floor, North Bazaar Road, Dharmapeth Extension, Nagpur Maharashtra - 440010 | Investor Greivance Case         | 200000                 | 27/03/2015                 |
| 1225    | Jipal Pineshkumar Shah          | A/5, Fateh Apartment Krishnavijay Hotel, Paldi Opp. Tejpal Society Ahmedabad- 380007                        | P.M. Telelinks Ltd              | 200000                 | 30/03/2015                 |
| 1226    | Waverley Investments Ltd.       | 5, Clive Row 2nd Floor, Room No - 51 Kolkata- 700 001                                                       | Waverley Investments Ltd.       | 1400000                | 30/03/2015                 |
| 1227    | Hartron Networks Limited        | NA                                                                                                          | Hartron Networks Limited        | 200000                 | 31/03/2015                 |
| 1228    | Vishal Footwear Limited         | NA                                                                                                          | Vishal Footwear Limited         | 500000                 | 31/03/2015                 |
| 1229    | Mahendra P Rathod               | NA                                                                                                          | SEL Manufacturing Co. Ltd       | 10000000               | 31/03/2015                 |
| 1230    | Rajasthan Polyvin Tubes Ltd     | 66, Nai Aabadi Road No-2, Mandsaur 458 001(M.P)                                                             | Investor Greivance Case         | 100000                 | 31/03/2015                 |
| 1231    | Mahadev Industries Ltd          | U. U. 70/A, Vishakha Enclave, Pitampura, New Delhi-110034                                                   | Mahadev Industries Ltd          | 5000000                | 01/04/2015                 |
| 1232    | SKS Ltd                         | B-II, Mohan Co-operative Industrial Area, Badarpur, New Delhi - 110044                                      | SKS Ltd                         | 300000                 | 15/04/2015                 |

| SL. NO. | NAME OF THE DEFAULTER                                              | ADDRESS OF THE DEFAULTER                                                                                                              | VIOLATION IN THE SCRIP OF                                          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------------------|----------------------------|
| 1233    | Rajendra prasad Shah                                               |                                                                                                                                       | G K Consultancy Ltd                                                | 500000                 | 15/04/2015                 |
| 1234    | M/s J.R. Organics Limited                                          |                                                                                                                                       | M/s J.R. Organics Limited                                          | 100000                 | 28/04/2015                 |
| 1235    | M/s Mukerian Papers Limited                                        | Last known addresses as per the order - G T Road Mukerian, Hoshiarpur 144211 Punjab" and "G T Road Dhandari Kalan, Ludhiana - 141010" | M/s Mukerian Papers Limited                                        | 300000                 | 28/04/2015                 |
| 1236    | Seema Securities Pvt. Ltd.                                         |                                                                                                                                       | Inspection of Books of Accounts and Records                        | 500000                 | 01/05/2015                 |
| 1237    | Anarcon Resources Private Limited                                  |                                                                                                                                       | Austral Coke and Projects Limited                                  | 3000000                | 05/05/2015                 |
| 1238    | Lalita Agarwal                                                     |                                                                                                                                       |                                                                    |                        |                            |
| 1239    | Ratanlal Brijlala Tamakhuwala                                      |                                                                                                                                       |                                                                    |                        |                            |
| 1240    | Rishiraj Agarwal                                                   |                                                                                                                                       |                                                                    |                        |                            |
| 1241    | Sangeetha Rishiraj Agarwal                                         |                                                                                                                                       |                                                                    |                        |                            |
| 1242    | Shri Hanuman Investments Private Limited                           |                                                                                                                                       |                                                                    |                        |                            |
| 1243    | Callipers Naigai Ltd                                               | 307, Sheikh Sarai, New Delhi                                                                                                          | Callipers Naigai Ltd                                               | 250000                 | 03/07/2015                 |
| 1244    | AEC India Ltd                                                      | 3E/7 Jhandewalan Extension, New Delhi - 110055                                                                                        | AEC India Ltd                                                      | 500000                 | 03/07/2015                 |
| 1245    | Abner Pharmaceuticals Ltd (formerly known as Genomics Biotech Ltd) | 505, Chiranjiv Tower, Nehru Place, New Delhi - 110019                                                                                 | Abner Pharmaceuticals Ltd (formerly known as Genomics Biotech Ltd) | 350000                 | 03/07/2015                 |
| 1246    | American Paints (India) Ltd                                        | 15C, Friends Colony (W), New Delhi - 110065                                                                                           | American Paints (India) Ltd                                        | 200000                 | 03/07/2015                 |
| 1247    | Cebon India Ltd                                                    | M-134, IInd Floor, Opp. Super Bazar, Connaught Place, New Delhi - 110001                                                              | Cebon India Ltd                                                    | 45000                  | 09/07/2015                 |
| 1248    | Prashant Kamble                                                    | A/201, Vakratund Apartment, LaxmibenChedda Marg, Nallasopara West, Thane – 401203                                                     | Prashant Kamble                                                    | 5000000                | 31/07/2015                 |
| 1249    | Suresh Kumar                                                       | NA                                                                                                                                    | CDI International Ltd. (Compact Disc India Ltd                     | 300000                 | 31/07/2015                 |

| SL. NO. | NAME OF THE DEFAULTER                   | ADDRESS OF THE DEFAULTER                                                              | VIOLATION IN THE SCRIP OF                         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------|------------------------|----------------------------|
| 1250    | Pariscope Financial Advisors Pvt. Ltd   | NA                                                                                    | CDI International Ltd.<br>(Compact Disc India Ltd | 3000000                | 31/07/2015                 |
| 1251    | Seengal Capital Advisors Pvt. Ltd       | NA                                                                                    | CDI International Ltd.<br>(Compact Disc India Ltd | 700000                 | 31/07/2015                 |
| 1252    | Global Resort and SPA Ltd               | NA                                                                                    | CDI International Ltd.<br>(Compact Disc India Ltd | 1600000                | 31/07/2015                 |
| 1253    | Naksh Media Pvt Ltd                     | NA                                                                                    | CDI International Ltd.<br>(Compact Disc India Ltd | 3000000                | 31/07/2015                 |
| 1254    | Rashmee Seengal                         | NA                                                                                    | CDI International Ltd.<br>(Compact Disc India Ltd | 300000                 | 31/07/2015                 |
| 1255    | Santosh Grover                          | NA                                                                                    | CDI International Ltd.<br>(Compact Disc India Ltd | 200000                 | 31/07/2015                 |
| 1256    | Vinod Kumar                             | NA                                                                                    | CDI International Ltd.<br>(Compact Disc India Ltd | 200000                 | 31/07/2015                 |
| 1257    | Imbowers Housing and Infrastructure Ltd | 1st floor, Aman Homeo Clinic, Aggarwal Colony, Bhatti Road, Bhatinda - 151001, Punjab | Imbowers Housing and Infrastructure Ltd           | 10000000               | 31/07/2015                 |
| 1258    | Angels Enterprises Limited              | 114, Triveni Complex, Jawahar Park, Lakshmi Nagar, New Delhi-110092                   | Angels Enterprises Limited                        | 600000                 | 11/08/2015                 |
| 1259    | Kamlesh Lalitkumar Nagarsheth           |                                                                                       | Maharashtra Polybutenes Ltd                       | 600000                 | 31/08/2015                 |
| 1260    | Shri Arun Goyal                         |                                                                                       | M/s. GFL Financials (India) Limited               | 2800000                | 24/09/2015                 |
| 1261    | M/s. GFL Financials (India) Limited     |                                                                                       | M/s. GFL Financials (India) Limited               | 2500000                | 24/09/2015                 |
| 1262    | Brijmohan Rathi                         |                                                                                       | Maharashtra Polybutenes Ltd                       | 15200000               | 30/09/2015                 |
| 1263    | M.s Emed.com Technologies Ltd.          | 8-2-87/89, 4th Floor, Srinivasa Plaza, Srinagar Colony Main Road, Hyderabad - 500 08  | M.s Emed.com Technologies Ltd.                    | 500000                 | 30/09/2015                 |
| 1264    | Arun Dagaria                            |                                                                                       | Splash Media & Infra Ltd.                         | 600000                 | 29/10/2015                 |
| 1265    | Chirag Shah                             |                                                                                       | Splash Media & Infra Ltd.                         | 600000                 | 29/10/2015                 |
| 1266    | Pawan Kumar Sharma                      | A/303, New D. S. Nagar Nursing Lane, Malad (W) Mumbai - 400064                        | Nivvyah Infrastructure & Telecom Ser. Ltd.        | 300000                 | 30/10/2015                 |

| SL. NO. | NAME OF THE DEFAULTER                     | ADDRESS OF THE DEFAULTER                                                           | VIOLATION IN THE SCRIP OF             | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------|------------------------------------------------------------------------------------|---------------------------------------|------------------------|----------------------------|
| 1267    | Tricom Fruit Products Limited             |                                                                                    | Tricom Fruit Products Limited         | 300000                 | 23/11/2015                 |
| 1268    | Shr Altaf Ahmed G Nagad                   |                                                                                    | Vamshi Rubber Ltd.                    | 500000                 | 27/11/2015                 |
| 1269    | Antique Texfab Pvt Ltd.                   | AAGCA7223G                                                                         | Era Infra Engineering Limited         | 10000000               | 30/12/2015                 |
| 1270    | Balaji Texfab Pvt Ltd.                    | AACCB8369A                                                                         |                                       |                        |                            |
| 1271    | Coronation Builders & Engineers Pvt. Ltd. | AABCC8667R                                                                         |                                       |                        |                            |
| 1272    | Durga Fabricators and Engg Pvt Ltd.       | AACCD5661P                                                                         |                                       |                        |                            |
| 1273    | Florid Infrastructure Pvt Ltd.            | AABCF0518L                                                                         |                                       |                        |                            |
| 1274    | Mahima Developers & Builders Ltd.         | AADCM6501C                                                                         |                                       |                        |                            |
| 1275    | Olive Vinimay Pvt Ltd.                    | AABCO0690G                                                                         |                                       |                        |                            |
| 1276    | Pensive Agencies Pvt Ltd.                 | AAECP6720H                                                                         |                                       |                        |                            |
| 1277    | Salasar Texfab Pvt Ltd                    | AAJCS8123L                                                                         |                                       |                        |                            |
| 1278    | Tanvi Fincap Pvt Ltd.                     | AAACT6871B                                                                         |                                       |                        |                            |
| 1279    | Vibhut Builders & Engg Pvt Ltd.           | AACCV7326D                                                                         |                                       |                        |                            |
| 1280    | Sunciti Financial Services Pvt. Ltd.      | Plot No. R-802 TTC, Industrial Area, Thane Belapur Road, MHAPE Navi Mumbai 400 701 | Maharashtra Polybutenes Limited       | 20000000               | 30/12/2015                 |
| 1281    | Jaihind Kumar                             | (PAN: ALAPK2141F)                                                                  | Section 11 C of the SEBI Act, 199     | 10000000               | 20/01/2016                 |
| 1282    | Durga Prasad Yadav                        | (PAN: ABAPY8539M)                                                                  |                                       | 10000000               |                            |
| 1283    | Rama Shankar Yadav                        | (PAN: AAUPY1604L)                                                                  |                                       | 10000000               |                            |
| 1284    | Dilip Jain                                | (PAN: AEUPJ 2034B)                                                                 |                                       | 10000000               |                            |
| 1285    | Nilesh Kava                               | (PAN: AQHPK1533F)                                                                  | Presha Metallurgical Ltd.             | 10000000               | 02/02/2016                 |
| 1286    | Bipin C. Gadhiya                          | (PAN: AGDPG9328C)                                                                  |                                       | 10000000               |                            |
| 1287    | GovindSenma                               | (PAN: BSIPSS182C)                                                                  |                                       | 10000000               |                            |
| 1288    | Anand Gurwant Singh                       | NA                                                                                 | PACL Limited                          | 23150000               | 02/02/2016                 |
| 1289    | Gurnam Singh                              | (PAN: AOYPS320H)                                                                   |                                       | 33430000               |                            |
| 1290    | Sukhdev Singh                             | (PAN: AUGPS0130B)                                                                  |                                       | 33430000               |                            |
| 1291    | Nirmal Singh Bhangoo                      | (PAN: ACTPB6698L)                                                                  |                                       | 23150000               |                            |
| 1292    | Tyger Joginder                            | (DIN: 00694280)                                                                    |                                       | 10000000               |                            |
| 1293    | Inter Continental Corporation Limited     | NA                                                                                 | Inter Continental Corporation Limited | 200000                 | 29/02/2016                 |
| 1294    | Sun-Plant Agro Ltd                        | (PAN No. AADCS8447H)                                                               | Sun-Plant Agro Ltd.                   | 10000000               | 15/03/2016                 |
| 1295    | Awadesh Kumar Singh                       | (PAN No. AKQPS6527G)                                                               |                                       |                        |                            |
| 1296    | Girija Shankar Kumar                      | (PAN No. AKAPK2774R)                                                               |                                       |                        |                            |
| 1297    | Sant Kumar                                | (PAN No. BBXPK3112N)                                                               |                                       |                        |                            |

| SL. NO. | NAME OF THE DEFAULTER                                                          | ADDRESS OF THE DEFAULTER | VIOLATION IN THE SCRIP OF                                                      | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|--------------------------------------------------------------------------------|--------------------------|--------------------------------------------------------------------------------|------------------------|----------------------------|
| 1298    | Inter Continental Corporation Limited                                          | NA                       | Inter Continental Corporation Limited                                          | 200000                 | 22/03/2016                 |
| 1299    | Bubna Major Biotech Limited                                                    | NA                       | Bubna Major Biotech Limited                                                    | 200000                 | 29/03/2016                 |
| 1300    | Bhawani Cable Tel Limited                                                      | NA                       | Bhawani Cable Tel Limited                                                      | 100000                 | 29/03/2016                 |
| 1301    | Alexcon Extrusions Limited                                                     | NA                       | Alexcon Extrusions Limited                                                     | 200000                 | 29/03/2016                 |
| 1302    | Candy Filters (India) Limited                                                  | NA                       | Candy Filters (India) Limited                                                  | 100000                 | 29/03/2016                 |
| 1303    | Tasc Finance Limited                                                           | NA                       | Tasc Finance Limited                                                           | 100000                 | 29/03/2016                 |
| 1304    | Unity Agrotech Industries Limited                                              | NA                       | Unity Agrotech Industries Limited                                              | 100000                 | 29/03/2016                 |
| 1305    | Fraternity Electronics Limited                                                 | NA                       | Fraternity Electronics Limited                                                 | 100000                 | 29/03/2016                 |
| 1306    | Arihant Thermoware Limited                                                     | NA                       | Arihant Thermoware Limited                                                     | 100000                 | 30/03/2016                 |
| 1307    | Dynasty Financial Corporation Limited                                          | NA                       | Dynasty Financial Corporation Limited                                          | 100000                 | 30/03/2016                 |
| 1308    | BG Industries Ltd.                                                             | (PAN: AACCB4811B)        | BG Industries Ltd.                                                             | 150000                 | 30/03/2016                 |
| 1309    | Vishva Electronics India Limited                                               | NA                       | Vishva Electronics India Limited                                               | 100000                 | 30/03/2016                 |
| 1310    | Inversion Agro Ventures Private Limited (Earlier known as SpentaFloritech Ltd) | NA                       | Inversion Agro Ventures Private Limited (Earlier known as SpentaFloritech Ltd) | 100000                 | 31/03/2016                 |
| 1311    | Sagar Apparels Limited                                                         | NA                       | Sagar Apparels Limited                                                         | 100000                 | 31/03/2016                 |
| 1312    | Rishab Concast Limited                                                         | NA                       | Rishab Concast Limited                                                         | 100000                 | 31/03/2016                 |
| 1313    | Mark Omega Organic Industries Limited                                          | NA                       | Mark Omega Organic Industries Limited                                          | 100000                 | 31/03/2016                 |
| 1314    | Sai Prasad Properties Limited                                                  | PAN: AAMCS2738N          | Sai Prasad Properties Limited and Sai Prasad Foods Limited                     | 10000000               | 15/06/2016                 |
| 1315    | Sai Prasad Foods Limited                                                       | PAN: AAHCS3986M          | Sai Prasad Properties Limited and Sai Prasad Foods Limited                     | 10000000               | 15/06/2016                 |

| SL. NO. | NAME OF THE DEFAULTER                                                                                          | ADDRESS OF THE DEFAULTER                                                                                                                                                                                                                                                                                                                             | VIOLATION IN THE SCRIP OF                         | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|------------------------|----------------------------|
| 1316    | Unique Vision Financial Advisory Pvt. Ltd<br>Shri Chandrakant Shamrao Dhole<br>Shri Ravindra Shankar<br>Kaurav | PAN - AABCU 3600J<br>PAN - AGJPD 5155A<br>PAN - AOTPK 5176L<br>Address - Heaven Park,<br>Mohmadwadi,<br>S. No. 48/3 C/4,<br>Hadapsar, Pune – 411028<br>Address - S. No.75 , Sayyednagar,<br>Hamal Chawl, Hadapsar<br>Pune - 411028                                                                                                                   | Unique Vision Financial<br>Advisory Pvt. Ltd      | 2500000                | 31/08/2016                 |
| 1317    | Mr. Shashank Jaiswal                                                                                           | (PAN: ACCPJ8617N)                                                                                                                                                                                                                                                                                                                                    | Jagran Prakashan Ltd                              | 300000                 | 01/09/2016                 |
| 1318    | Mrs. Laxmi Jaiswal                                                                                             | (PAN: AFQPJ5150D)                                                                                                                                                                                                                                                                                                                                    | Jagran Prakashan Ltd                              | 300000                 | 01/09/2016                 |
| 1319    | Saradha Realty India Ltd.<br>Mr. Sudipta Sen<br>Mr. Monoj Kumar Nagel<br>Mr. Hemanta Pradhan                   | (PAN- AAMCS1398G) Add. - 455,<br>Diamond Harbour Road, Kolkata- 700 034<br>Address - HA-115, Salt Lake City, Sector-III, Kolkata-<br>700<br>106, West Bengal<br>Address- 18-7, Secondary Road, 9, Durgapur,<br>Burdwan – 713 204, West Bengal<br>Address- Village - Palabegunia, Town- Nikhira, P.S.<br>– Baliapal, Distt- Balasore- 756 023, Orissa | Saradha Realty India Ltd.                         | 20000000               | 14/10/2016                 |
| 1320    | M/s Kolar Biotech Ltd                                                                                          |                                                                                                                                                                                                                                                                                                                                                      | M/s Kolar Biotech Ltd                             | 100000                 | 18/11/2016                 |
| 1321    | M/s United Diagnostic International Limited                                                                    |                                                                                                                                                                                                                                                                                                                                                      | M/s United Diagnostic International Limited       | 100000                 | 18/11/2016                 |
| 1322    | M/s Suman Motels Limited                                                                                       |                                                                                                                                                                                                                                                                                                                                                      | M/s Suman Motels Limited                          | 100000                 | 18/11/2016                 |
| 1323    | M/s.<br>Cifco Finance Limited                                                                                  | NA                                                                                                                                                                                                                                                                                                                                                   | M/s.<br>Cifco Finance Limited<br>(SCORES matter)  | 200000                 | 18/01/2017                 |
| 1324    | M/s. Atharv Enterprises Limited                                                                                | NA                                                                                                                                                                                                                                                                                                                                                   | M/s. Atharv Enterprises Limited (SCORES matter)   | 200000                 | 18/01/2017                 |
| 1325    | M/s Innoventive Venture Limited                                                                                | NA                                                                                                                                                                                                                                                                                                                                                   | M/s Innoventive Venture Limited (SCORES matter)   | 200000                 | 18/01/2017                 |
| 1326    | M/s. Nimbus Industries Limited                                                                                 | NA                                                                                                                                                                                                                                                                                                                                                   | M/s. Nimbus Industries Limited<br>(SCORES matter) | 200000                 | 18/01/2017                 |

| SL. NO. | NAME OF THE DEFAULTER                 | ADDRESS OF THE DEFAULTER | VIOLATION IN THE SCRIP OF             | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------------|--------------------------|---------------------------------------|------------------------|----------------------------|
| 1327    | Artex Development Ltd                 | PAN- AACCA1126G          | Artex Development Ltd                 | 250000                 | 29/03/2017                 |
| 1328    | Ranisati Udyog Limited                | PAN AABCR4073L           | SCORES                                | 150000                 | 03/04/2017                 |
| 1329    | Chowhan Exports Ltd.                  | NA                       | SCORES                                | 250000                 | 05/05/2017                 |
| 1330    | Mr. Anoop Bagaria                     | PAN: AGJPB7753L          | Rockon Fintech Ltd                    | 400000                 | 11/05/2017                 |
| 1331    | Mr. Anil Bagaria                      | PAN: AGTPB1096L          |                                       |                        |                            |
| 1332    | Sugal and Damani Share Brokers Ltd    | NA                       | Sugal and Damani Share Brokers Ltd    | 400000                 | 12/05/2017                 |
| 1333    | Abhayraj Rampher Shukla               | PAN: APOPS5722A          | Millennium Cybertech Limited          | 100000                 | 16/05/2017                 |
| 1334    | Meuse Kara &Sungrace Mafatlal Limited | NA                       | Meuse Kara &Sungrace Mafatlal Limited | 200000                 | 17/05/2017                 |
| 1335    | Shree Ram Enterprises                 | PAN:ACAFS9645R           | Cubicle Financial Services Ltd.       | 300000                 | 18/05/2017                 |
| 1336    | Shri Kirit Dave                       | PAN No AHKPD0543J        | Splash Media & Infra Limited          | 200000                 | 18/05/2017                 |
| 1337    | Madhu Jhunjunwala                     | PAN AAFPJ7148H           | Agnite Education Limited              | 500000                 | 25/05/2017                 |
| 1338    | Sudhir Jhunjunwala                    | PANAEFPJ4819K            |                                       | 500000                 |                            |
| 1339    | Sanjay Talati                         | PANAADPJ0037D            |                                       | 500000                 |                            |
| 1340    | Idol India Infrastructures Ltd        |                          | Idol India Infrastructures Ltd        | 3000000                | 26/05/2017                 |
| 1341    | Mr Bappaditya Majumder                | PAN: AKNPM5664           |                                       |                        |                            |
| 1342    | Mr. Pintu Sarkar                      | PAN: AYQPS1691H          |                                       |                        |                            |
| 1343    | Ms Rumpa Sarkar                       | PAN: BKOPS8275A          |                                       |                        |                            |
| 1344    | Mr. Kanchan Das                       |                          |                                       |                        |                            |
| 1345    | Mr. Bapi Majumder                     |                          |                                       |                        |                            |
| 1346    | Ms. Aindrilla Majumder                |                          |                                       |                        |                            |
| 1347    | Ms Tapti Roy                          |                          |                                       |                        |                            |
| 1348    | Agnite Education Limited              | NA                       | Agnite Education Limited              | 800000                 | 29/05/2017                 |
| 1349    | Tien Trading Pvt Ltd                  | PAN -AADCT1652R          | Rammaica (India) Ltd.                 | 750000                 | 30/05/2017                 |
| 1350    | Kyner Trading Pvt Ltd                 | PAN -AADCK6443P          |                                       | 750000                 |                            |
| 1351    | Ajay Kumar Mahabir Prasad Halan       | PAN -AAAPH1351D          | Rammaica (India) Ltd.                 | 800000                 | 30/05/2017                 |
| 1352    | Pavan Kumar M Halan                   | PAN -AAAPH1352A          |                                       |                        |                            |
| 1353    | Om Hari Halan                         | PAN -AAAPH1353B          |                                       |                        |                            |
| 1354    | Jayesh Narendra Kesharia              | PAN: AAEHJ1610D          | Blue Circle Services Ltd.             | 50000                  | 09/06/2017                 |
| 1355    | Prakash Todankar                      | PAN: ADHPT5709R          | Blue Circle Services Ltd.             | 50000                  | 09/06/2017                 |

| SL. NO. | NAME OF THE DEFAULTER           | ADDRESS OF THE DEFAULTER                                                                                | VIOLATION IN THE SCRIP OF                  | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|---------------------------------|---------------------------------------------------------------------------------------------------------|--------------------------------------------|------------------------|----------------------------|
| 1356    | Eduexel Infotainment Ltd        | No.1, Wallers Lane, 1stFloorRoom no.3, MatajiComplex, Near India Silk House, Mount Road, Chennai-600002 | Non Redressal of Investor Complaints       | 600000                 | 13/06/2017                 |
| 1357    | Ramkrishna Fincap Limited       | PAN AACCR2737C                                                                                          | SCORES                                     | 200000                 | 16/06/2017                 |
| 1358    | Sudhir Jhunjunwala              | PAN: AAFPJ7148F                                                                                         | Gemini Communication Limited               | 24000000               | 16/06/2017                 |
| 1359    | Sujit Karkera                   |                                                                                                         | Trading activity of Sujit Karkera & others | 6073316                | 15/05/2012                 |
| 1360    | Shilpa Kotak                    |                                                                                                         |                                            | 5419212                |                            |
| 1361    | Madhu Jhunjunwala               | PAN: AEFPJ4819K                                                                                         |                                            |                        |                            |
| 1362    | Dinesh Seetha                   | PAN AAFPS9628H                                                                                          | Dinesh Allorga Limited                     | 150000                 | 22/06/2017                 |
| 1363    | Urmila Seetha                   | PAN AAPP6119K                                                                                           |                                            | 100000                 |                            |
| 1364    | Noel Agritech Limited           | N/A                                                                                                     | SCORES                                     | 1000000                | 22/06/2017                 |
| 1365    | Ratna Drugs Ltd                 | N/A                                                                                                     | SCORES                                     | 1000000                | 22/06/2017                 |
| 1366    | Oxemberg Apparels Ltd.          | AAACH1446E                                                                                              | SCORES                                     | 800000                 | 28/06/2017                 |
| 1367    | Ankitkumar S Singhania          | PAN –CTKPS0145K                                                                                         | Bear and Bulls                             | 2600000                | 29/06/2017                 |
| 1368    | Tatanagar Bricks Ltd            | PAN –AACCT4302R                                                                                         | Tatanagar Bricks Ltd                       | 500000                 | 29/06/2017                 |
| 1369    | Santowin Corporation Ltd        | AADCS9595G                                                                                              | Santowin Corporation Ltd                   | 1100000                | 30/06/2017                 |
| 1370    | Frontline Biosystems Pvt. Ltd.  | AAACF3149C                                                                                              | Suraj Ltd.                                 | 100000                 | 30/06/2017                 |
| 1371    | Manusi Securities Pvt. Ltd      | AAACM7948J                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1372    | Tejshvi Impex Pvt. Ltd          | AACCT7870L                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1373    | Tarang Impex Pvt. Ltd           | AACCT9825B                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1374    | Trishla Comtrade Pvt. Ltd.      | AACCT9862A                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1375    | Real Enterprise Pvt. Ltd        | AADCR7775B                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1376    | Tanu Trades Pvt. Ltd.           | AADCT2352C                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1377    | Tunis Retail Pvt. Ltd           | AADCT2384C                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1378    | Rushil Exim Pvt. Ltd            | AAECR0540F                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1379    | Rangoli Commodities Pvt. Ltd.   | AAECR0541E                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1380    | Rose Retails Pvt. Ltd.          | AECR4336H                                                                                               | Suraj Ltd.                                 | 100000                 |                            |
| 1381    | Ratin Trexim Pvt. Ltd.          | AAECR4388K                                                                                              | Suraj Ltd.                                 | 100000                 |                            |
| 1382    | Sethi Engineers and Foundry Ltd | 26, Yamnampet Industrial AreaPogulaguda VillageGhatkesar, RangaReddyTelangana-501301                    | N/A                                        | 100000                 | 30/06/2017                 |
| 1383    | Mr. Sanjiv Kakkar,              | AYUPK6734H                                                                                              |                                            |                        |                            |
| 1384    | MM Malik –HUF,                  | AAIHM5269F                                                                                              |                                            |                        |                            |
| 1385    | Mr.Man Mohan Malik              | AIQPM9553J                                                                                              |                                            |                        |                            |
| 1386    | Ms.Sangita Malik                | AJSPM6507H                                                                                              |                                            |                        |                            |
| 1387    | Ms.Anita Kakkar                 | AYUPK6803D                                                                                              |                                            |                        |                            |

| SL. NO. | NAME OF THE DEFAULTER                    | ADDRESS OF THE DEFAULTER                                                                                                             | VIOLATION IN THE SCRIP OF               | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|------------------------|----------------------------|
| 1388    | Ankit Yarns Ltd                          | 9, Industry HousePlot No. 4, Narwah EstateOff Saki Vihar Road, Andheri (E)Mumbai –400072                                             | N/A                                     | 400000                 | 06/07/2017                 |
| 1389    | Medexport India Ltd                      | AAICM5964E                                                                                                                           | non-redressal of investor grievances(s) | 100000                 | 05/07/2017                 |
| 1390    | Jitendrakumar Somchand Malviya           | AGVPM1294K                                                                                                                           | Dinesh Allorga Limited                  | 100000                 | 06/07/2017                 |
| 1391    | Jayaben Jitendrakumar Malviya            | AJDPM1821D                                                                                                                           |                                         | 100000                 |                            |
| 1392    | M/s Ramel Industries Ltd.                | AAGCR8991L                                                                                                                           | Ramel Industries Ltd                    | 10000000               | 07/07/2017                 |
| 1393    | Shri Rameswar Podder                     | AFVPP4292B                                                                                                                           |                                         |                        |                            |
| 1394    | Shri Remendra Mohan Sarkar               | AUIPS3363G                                                                                                                           |                                         |                        |                            |
| 1395    | Shri Sukanta Deb                         | AJIPD0118R                                                                                                                           |                                         |                        |                            |
| 1396    | Shri Partha Das                          | ANMPD8317J                                                                                                                           |                                         |                        |                            |
| 1397    | Varun Shipping Company Limited           | 6, Laxmi Building, Shoorji Vallabhdas Marg,Ballard Estate, MumbaiMaharashtra,400001                                                  | Varun Shipping CompanyLimited           | 300000                 | 10/07/2017                 |
| 1398    | M/s Orbit Corporation Ltd                | The View, 165 Dr. Annie Besant RoadWorli, Mumbai –400018                                                                             | M/s Orbit Corporation Ltd               | 100000                 | 11/07/2017                 |
| 1399    | Baid Global Ventures Ltd                 | Office No 7, 1stFloor,C Wing, Laxmi TowersBandra Kurla Complex,Bandra (East),Mumbai-400 051                                          | Baid Global Ventures Ltd                | 300000                 | 11/07/2017                 |
| 1400    | Mahanivesh Stock and Share Pvt. Ltd      | AADCM7110M                                                                                                                           | Mudit Finlease Ltd                      | 1000000                | 12/07/2017                 |
| 1401    | M/s Harvic Management Services India Ltd | /29, Nand Dham Industrial Estate, 2ndFloorMarol Maroshi Road, Marol Naka, Andheri (E)Mumbai - 400059                                 | Non-redressal of investor grievance(s)  | 500000                 | 12/07/2017                 |
| 1402    | Maharashtra Polybutenes Ltd.             | AAACH1352D                                                                                                                           | Maharashtra Polybutenes Ltd             | 2000000                | 25/07/2017                 |
| 1403    | New Era Advisors Pvt. Ltd                | AABCN2448P                                                                                                                           | Maharashtra Polybutenes Ltd.            | 500000                 | 25/07/2017                 |
| 1404    | Avinash Information Technologies Ltd     | C-318, Shabri Appt,oppManagement Enclave, Nr Water Tank, Vastrapur, Ahmedabad -380015                                                | SCORES                                  | 150000                 | 26/07/2017                 |
| 1405    | Genuine Pharmaceuticals Ltd              | 6, Agarwal Centre, 2nd Floor, near Income Tax Office, Ashram Road, Ahmedabad-380014                                                  |                                         | 200000                 |                            |
| 1406    | Mardia Copper Products Ltd               | Office No. 1, 2nd Floor, Swastik Super Market, Ashram Road, Ahmedabad 380009                                                         |                                         | 150000                 |                            |
| 1407    | Reliable Finstock Services Ltd           | a) Girish House, Museum Road, Paldi, Ahmedabad 380 006 b) 2, Basement R.C.Corner, Opp.Gujarat College, Ellisbridge, Ahmedabad-380009 |                                         | 150000                 |                            |

| SL. NO. | NAME OF THE DEFAULTER                           | ADDRESS OF THE DEFAULTER                                                                                                                                                                            | VIOLATION IN THE SCRIP OF     | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------|----------------------------|
| 1408    | Sarika Paints Ltd                               | a) 4A, Phase-III, Natraj Inds. Estate, Sanand-viramgam Highway, Vill Vasna, TalukaSanand, Ahmedabad –382116/2017      b) 56, Ellis Shopping Centre, Ellisbridge, Ahmedabad –380006                  |                               | 300000                 |                            |
| 1409    | Shukun Construction Ltd                         | a)421, Center Point, R. C. Dutt Road, Alkapuri, Vadodara –39000717/2017      b) B-4, Office No. 3, Basement, SahajanandComplex, Near HDFC ATM Centre, Swastik Char Rasta,C.G.Road,Ahmedabad –380009 |                               | 300000                 |                            |
| 1410    | Mr. Vijay Jamnadas Vora                         | ACOPV9937                                                                                                                                                                                           | Sky Industries Ltd            | 45000000               | 26/07/2017                 |
| 1411    | Ms. Hina Vijaykumar Vora                        | AFEPY5742H                                                                                                                                                                                          |                               | 5000000                |                            |
| 1412    | Shri Anil B. Vedmehta                           | ACHPV0535C                                                                                                                                                                                          | Mobile Telecommunications Ltd | 400000                 | 31/07/2017                 |
| 1413    | Prraneta Industries Ltd                         | AABCP4155F                                                                                                                                                                                          |                               | 100000                 |                            |
| 1414    | Saptrishi Suppliers Pvt. Ltd.                   | AAECS3863Q                                                                                                                                                                                          |                               | 100000                 |                            |
| 1415    | Wattkins Commerce Pvt. Ltd.                     | AAACW2298K                                                                                                                                                                                          |                               | 100000                 |                            |
| 1416    | Modi Alloys India Pvt. Ltd                      | AAECM7892F                                                                                                                                                                                          |                               | 100000                 |                            |
| 1417    | Jainex Securities Pvt. Ltd                      | AABCI0346E                                                                                                                                                                                          |                               | 100000                 |                            |
| 1418    | Nimbus Industries Ltd.                          | AAACN2708B                                                                                                                                                                                          |                               | 100000                 |                            |
| 1419    | Cellworth Mercantile Pvt. Ltd                   | AAECC0376J                                                                                                                                                                                          |                               | 100000                 |                            |
| 1420    | Jaimini Trading Pvt. Ltd                        | AACCI3884G                                                                                                                                                                                          |                               | 100000                 |                            |
| 1421    | ETL Infrastructure Finance Ltd                  | AAACE5567B                                                                                                                                                                                          |                               | 100000                 |                            |
| 1422    | Season Multitrade Pvt. Ltd                      | AALCS5917J                                                                                                                                                                                          |                               | 100000                 |                            |
| 1423    | Wonder Vincom Pvt. Ltd                          | AAACW8578N                                                                                                                                                                                          |                               | 100000                 |                            |
| 1424    | Pasupati Enclave Pvt. Ltd.                      | AAECP4278L                                                                                                                                                                                          |                               | 100000                 |                            |
| 1425    | Satshri Multitrade Pvt. Ltd                     | AAOCS2712F                                                                                                                                                                                          |                               | 100000                 |                            |
| 1426    | Frank Mercantile Pvt. Ltd                       | AABCF1808P                                                                                                                                                                                          |                               | 100000                 |                            |
| 1427    | Nihal Mercantile Pvt. Ltd                       | AACCN8730D                                                                                                                                                                                          |                               | 100000                 |                            |
| 1428    | Virgo Mercantile Pvt. Ltd.                      | AACCV6426E                                                                                                                                                                                          |                               | 100000                 |                            |
| 1429    | Lona Mercantile Pvt. Ltd                        | AABCL5709K                                                                                                                                                                                          |                               | 100000                 |                            |
| 1430    | Jagdamba Complex Pvt. Ltd                       | AABCI9074F                                                                                                                                                                                          |                               | 100000                 |                            |
| 1431    | Mili Commodities Pvt. Ltd                       | AAFCM8822N                                                                                                                                                                                          |                               | 100000                 |                            |
| 1432    | Paradise Tradecom Pvt. Ltd                      | AAECP8118P                                                                                                                                                                                          |                               | 100000                 |                            |
| 1433    | Jasmine Dealcom Pvt. Ltd                        | AACCI0675M                                                                                                                                                                                          |                               | 100000                 |                            |
| 1434    | Supreme Communication Ltd                       | AAICS0078D                                                                                                                                                                                          |                               | 100000                 |                            |
| 1435    | Aggarwal Steel Rolling Mills & Metal Industries | AABFA9058R                                                                                                                                                                                          |                               | 100000                 |                            |
| 1436    | Maestros Mediline Systems Ltd                   | AAACM4317P                                                                                                                                                                                          | Maestros Mediline Systems Ltd | 100000                 | 03/08/2017                 |

| SL. NO. | NAME OF THE DEFAULTER                        | ADDRESS OF THE DEFAULTER                                                                                                | VIOLATION IN THE SCRIP OF                | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|------------------------------------------|------------------------|----------------------------|
| 1437    | Aadi Industries Ltd                          | 320/7, Sidhivinay Co-housing SocietyHingwalaLane, Pant NagarGhatkopar (East)Mumbai –400075                              | Non-redressal of investor grievance      | 400000                 | 04/08/2017                 |
| 1438    | M/s. Anand Credit Ltd.                       | AABCA3027D                                                                                                              | Anand Credit Limited                     | 2000000                | 04/08/2017                 |
| 1439    | Shri Ramesh L Shah                           | AFQPS2500E                                                                                                              |                                          | 500000                 |                            |
| 1440    | Shri Halari Brijesh Ashok                    | ACHPH4369L                                                                                                              |                                          | 600000                 |                            |
| 1441    | M/s Beetal Livestocks & Farm Private Limited | Plot No. 63, Udyog Vihar, Phase IV, Gurgaon, Haryana –122001 and M-57, Madhya Marg, Gurgaon, Haryana 122001             | M/s. Beetal Livestocks and Farm Pvt. Ltd | 10000000               | 09/08/2017                 |
| 1442    | Shri Nizzamuddin Sabbir                      | 165-A, Hauz Rani, New Delhi –110034                                                                                     |                                          |                        |                            |
| 1443    | Shri Abdul Slam                              | H. No. 122, Hans Enclave, Vill. NaharpurRoopa, Th. Gurgaon, India –12200                                                |                                          |                        |                            |
| 1444    | Shri Manish Girdhari                         | H. No. 352/3, Ward No. 29, Arjun Nagar, Gurgaon TheGurgaon, Haryana –122001                                             |                                          |                        |                            |
| 1445    | Shri Raju Jhunni                             | J-29, PME, J BLK, Prabhu Market, Lodhi Colony, Delhi –110003 and B-218, Ground Floor, Lajpat Nagar-I, New Delhi –110024 |                                          |                        |                            |
| 1446    | Shri Sarif Khan                              | 38/20 Katadhar, Idagah, Agra –282002 and B-218, Ground Floor, Lajpat Nagar-I, New Delhi –110024                         |                                          |                        |                            |
| 1447    | Shri Bharat Kumar                            | House No. 1026, Sector –38, Gurgaon, Haryana –122002                                                                    |                                          |                        |                            |
| 1448    | Clarity Financial Services Limited           | AABCC0364R                                                                                                              | SCORES                                   | 300000                 | 10/08/2017                 |
| 1449    | M/s.Trilogic Digital Media Ltd               | N/A                                                                                                                     | M/s.Trilogic Digital Media Ltd           | 200000                 | 22/08/2017                 |
| 1450    | M/s Darla N Darla                            | Shop No. 27, 1stFloor, 60, Rainbow Arcade, Pondy Bazaar, T. Nagar, Chennai -600017                                      | M/s Darla N Darla                        | 1600000                | 23/08/2017                 |
| 1451    | M/s. Exelon Infrastructure Ltd               | AAACM2862M                                                                                                              | EXELON INFRASTRUCTURE LIMITED            | 1000000                | 23/08/2017                 |
| 1452    | Shri Srinivas Rao Marupadi,                  | PAN: ACQPM0876L / BCDPM3124P                                                                                            |                                          | 2000000                |                            |
| 1453    | Shaik Ameer Basha                            | AEZPB3408A                                                                                                              |                                          | 1000000                |                            |
| 1454    | Shri Ravinde rDeshpande                      | AGOPD8942N                                                                                                              |                                          | 200000                 |                            |
| 1455    | Disha Commercial Pvt. Ltd                    | AAACD1253B                                                                                                              | M/s G S Auto International Ltd           | 500000                 | 28/08/2017                 |
| 1456    | Adam Comsof Ltd                              | N/A                                                                                                                     | SCORES                                   | 1000000                | 29/08/2017                 |
| 1457    | Akash Agencies Ltd                           | N/A                                                                                                                     |                                          | 1000000                |                            |
| 1458    | Alert Petrogas Ltd                           | N/A                                                                                                                     |                                          | 1000000                |                            |
| 1459    | Arun Mantex Ltd                              | N/A                                                                                                                     |                                          | 1000000                |                            |
| 1460    | Bhavi Investments Ltd                        | N/A                                                                                                                     |                                          | 1000000                |                            |
| 1461    | Bhagwati Cottons Ltd                         | N/A                                                                                                                     |                                          | 1000000                |                            |

| SL. NO. | NAME OF THE DEFAULTER                  | ADDRESS OF THE DEFAULTER                                                                           | VIOLATION IN THE SCRIP OF              | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------------|----------------------------------------------------------------------------------------------------|----------------------------------------|------------------------|----------------------------|
| 1462    | Mr. Sandeep Bhatnagar                  | G402, Mantri Espana, Kariyamanna Agrahara, Near Passport Office, Outer Ring Road, Bengaluru-560103 | Wipro Limited                          | 200000                 | 29/08/2017                 |
| 1463    | M/s Century First Portfolio Limited    | AACCC8941P                                                                                         | Century Twenty First Portfolio Ltd     | 200000                 | 31/08/2017                 |
| 1464    | Mr. Hemraj Baid                        | ACXPB3595J                                                                                         |                                        | 500000                 |                            |
| 1465    | Ms. Kanneganti Anasuya                 | AJOPK1905D                                                                                         |                                        | 200000                 |                            |
| 1466    | Mr.P V Ravi Kumar                      | AIHPP9846J                                                                                         |                                        | 500000                 |                            |
| 1467    | M/sKsema Finsecure Consultants Limited | AADCK9953C                                                                                         |                                        | 200000                 |                            |
| 1468    | Expert Chemicals (India) Pvt. Ltd      | AABCE2407M                                                                                         | Wanbury                                | 200000                 | 05/09/2017                 |
| 1469    | Spartek Ceramics India Limited         | AADCS1235K                                                                                         | Spartek Ceramics India Limited         | 100000                 | 05/09/2017                 |
| 1470    | Pahargoomiah Tea Assn. Ltd             | N/A                                                                                                | Non obtaining of SCORES Authentication | 100000                 | 06/09/2017                 |
| 1471    | Carnation Commodities Private Limited  | AADCC7733Q                                                                                         | Indergiri Finance Limited              | 200000                 | 08/09/2017                 |
| 1472    | M/s Crest Animation Studios Ltd        | RM 7 Basement/B, Neelam CNT IND PCSL HIND CYCLE Road, Worli, Mumbai 400025                         | Non-redressal of investor grievance(s) | 250000                 | 11/09/2017                 |
| 1473    | Tak Machinery & Leasing Limited        | AAACT4015F                                                                                         | Tak Machinery & Leasing Limited        | 320000                 | 12/09/2017                 |
| 1474    | AF Enterprises Limited                 | AAACA0482D                                                                                         | AF Enterprises Limited                 | 470000                 | 13/09/2017                 |
| 1475    | M/s. Radford Global Limited            | PAN:AABCM5771E                                                                                     | M/s. Radford Global Limited            | 300000                 | 14/09/2017                 |
| 1476    | ACI Infocom Limited                    | PAN:AADCA2262Q                                                                                     | ACI Infocom Limited                    | 400000                 | 14/09/2017                 |
| 1477    | R.G. Agrawal                           | ABFPA4632G                                                                                         |                                        | 100000                 |                            |
| 1478    | R.G. Agrawal HUF                       | AAKHR1119P                                                                                         |                                        | 100000                 |                            |
| 1479    | R.K. Agrawal HUF                       | AADHR0901A                                                                                         |                                        | 150000                 |                            |
| 1480    | Rajesh Agarwal                         | ADCPA5339F                                                                                         |                                        | 125000                 |                            |
| 1481    | Bimla Agrawal                          | AEXPA0451N                                                                                         |                                        | 125000                 |                            |
| 1482    | Sangeeta Agarwal                       | ABDPA5797Q                                                                                         |                                        | 125000                 |                            |
| 1483    | G.L. Agarwal HUF                       | Not Available                                                                                      |                                        | 125000                 |                            |
| 1484    | Yukti Investment Private Limited       | AAACY0228M                                                                                         |                                        | 1125000                |                            |
| 1485    | Vertex Investment Private Limited      | AAACV5673K                                                                                         |                                        | 1150000                |                            |
| 1486    | 1Vastu Commodities Private Limited     | AAACV0897P                                                                                         |                                        | 1150000                |                            |
| 1487    | R.G. Agrawal                           | ABFPA4632G                                                                                         |                                        | 2000000                |                            |
| 1488    | R.G. Agrawal HUF                       | AAKHR1119P                                                                                         |                                        |                        |                            |

| SL. NO. | NAME OF THE DEFAULTER             | ADDRESS OF THE DEFAULTER                               | VIOLATION IN THE SCRIP OF              | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------|--------------------------------------------------------|----------------------------------------|------------------------|----------------------------|
| 1489    | R.K. Agrawal HUF                  | AADHR0901A                                             |                                        |                        |                            |
| 1490    | Rajesh Agarwal                    | ADCPA5339F                                             |                                        |                        |                            |
| 1491    | Bimla Agrawal                     | AEXPA0451N                                             |                                        |                        |                            |
| 1492    | Sangeeta Agarwal                  | ABDPA5797Q                                             |                                        |                        |                            |
| 1493    | G.L. Agarwal HUF                  | Not Available                                          |                                        |                        |                            |
| 1494    | Vertex Investment Private Limited | AAACV5673K                                             |                                        |                        |                            |
| 1495    | Vastu Commodities Private Limited | AAACV0897P                                             |                                        |                        |                            |
| 1496    | Suman Agarwal                     | Not Available                                          |                                        |                        |                            |
| 1497    | Premier Protein Limited           | AABCM3277H                                             |                                        |                        |                            |
| 1498    | Kasturi Lal Chadha                | AAFPC6799M                                             | M/s.KMF Builders and Developers Ltd    | 200000                 | 15/09/2017                 |
| 1499    | Shri Pravin Shah                  | AABPS9474P                                             | C Mahendra Exports Ltd                 | 200000                 | 19/09/2017                 |
| 1500    | Dadimaa Capital Pvt. Ltd          | AABCD2140E                                             | Insta Finance Limited                  | 200000                 | 19/09/2017                 |
| 1501    | Ms. Pragna P Patel                | ACCPP2576L                                             |                                        |                        |                            |
| 1502    | Naresh Laxminarayan Grove         | ADNPG0426G                                             | Pro Fin Capital Services Ltd           | 1500000                | 19/09/2017                 |
| 1503    | Anupam Narain Gupta               | AIQPG8113N                                             |                                        | 800000                 |                            |
| 1504    | Triyamb Securities Pvt. Ltd       | AACCT7484L                                             |                                        | 800000                 |                            |
| 1505    | Mr.Radheshyam Poddar              | AFOPP0350B                                             | Tirupati Tyres Ltd                     | 100000                 | 21/09/2017                 |
| 1506    | Ms.Sangita Poddar                 | AFJPP6481N                                             |                                        | 100000                 |                            |
| 1507    | Mr. Sanjay Madanlal Chouhan       | N/A                                                    | Shubh Poultries Ltd.                   | 100000                 | 22/09/2017                 |
| 1508    | Swagruha Infrastructure Ltd       | ACCM4590L                                              | Swagruha Infrastructure Limited        | 400000                 | 25/09/2017                 |
| 1509    | Victory Sales Pvt Ltd             | AAACV7299K                                             | DJS Shares and Stock Ltd               | 400000                 | 26/09/2017                 |
| 1510    | Giant Sales Pvt Ltd               | PAN:AADCG6450A                                         | JMD Telefilms Industries               | 200000                 | 27/09/2017                 |
| 1511    | M/s Kanchan International Ltd     | 41, Mid Town, S. V. Road, Borivali (W) Mumbai - 400092 | Non-redressal of investor grievance(s) | 500000                 | 27/09/2017                 |
| 1512    | Mukesh Ramchandra Lade            | AKIPL0751H                                             | Mahavir Industries Limited             | 300000                 | 24/10/2017                 |
| 1513    | Shams Ut Tehreen                  | AFOPT5883R                                             |                                        | 200000                 |                            |
| 1514    | Dadima Capital Private Limited    | AABCD2140E                                             | KCCL Plastics Limited                  | 200000                 | 24/10/2017                 |
| 1515    | Rajesh Mehta                      | AAFPM2460H                                             | R R Corporate Securities Limited       | 100000                 | 25/10/2017                 |
| 1516    | Leena Mehta                       | AAFPM2462F                                             |                                        |                        |                            |
| 1517    | Jayant Vitamins Limited           | ACTPT8377N                                             | M/s.JayantVitamins Ltd                 | 300000                 | 26/10/2017                 |
| 1518    | Aftek Limited                     | AAACA4711B                                             | Aftek Limited                          | 1,50,000               | 27/10/2017                 |
| 1519    | Shri Ranjit Dhuru                 | AADPD1238L                                             |                                        | 1,50,000               |                            |
| 1520    | Shri Mukul Dalal                  | AAJPS8470M                                             |                                        | 1,50,000               |                            |

| SL. NO. | NAME OF THE DEFAULTER            | ADDRESS OF THE DEFAULTER | VIOLATION IN THE SCRIP OF                       | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|----------------------------------|--------------------------|-------------------------------------------------|------------------------|----------------------------|
| 1521    | Shri Nitin Shukla                | AAGPD2925H               |                                                 | 1,50,000               |                            |
| 1522    | Shri C.G. Deshmukh               | ADFPD4130J               |                                                 | 1,50,000               |                            |
| 1523    | Satyaxmi Vinimay Pvt. Ltd        | AATCS8589E               | Svaraj Trading & Agencies Ltd.                  | 2,00,000               | 27/10/2017                 |
| 1524    | GCV Services Ltd                 | N/A                      | Failure to redress the Investor Complaints      | 3,00,000               | 27/10/2017                 |
| 1525    | Plethico Pharmaceuticals Ltd     | AABCP3063G               | Plethico Pharmaceuticals Ltd                    | 30,00,000              | 27/10/2017                 |
| 1526    | Mr. Shashikant Patel             | AFVPP0343K               |                                                 |                        |                            |
| 1527    | Mr. Chirag Patel                 | ADHPP4699Q               |                                                 |                        |                            |
| 1528    | Ms. Gauravi Parikh               | AKPPP7466E               |                                                 |                        |                            |
| 1529    | Shri Parind S Parekh             | ALDPP4686M               | M/s Nivya Infrastructure & Telecom Services Ltd | 3,00,000               | 27/10/2017                 |
| 1530    | Stardom Trading Company Pvt. Ltd | AAHCS1332H               | Insta Finance Limited                           | 400000                 | 30/10/2017                 |
| 1531    | Nilesh Krushna Palande           | AGLPP8818Q               |                                                 | 200000                 |                            |
| 1532    | Hansa Kakubhai Vadera            | ABVPV2184L               |                                                 | 200000                 |                            |
| 1533    | Rajnee Rajan Gitaye              | AWMPG6377L               |                                                 | 200000                 |                            |
| 1534    | Neha Bipin Patel                 | AXGPP4301A               |                                                 | 200000                 |                            |
| 1535    | Sonal International Ltd          | AACCS1185R               |                                                 | 200000                 |                            |
| 1536    | Kirti Kantilal Mehta             | AKSPM3939N               |                                                 | 200000                 |                            |
| 1537    | Chirag Dineshkumar Shah          | CFPPS4835H               |                                                 | 200000                 |                            |
| 1538    | Ghanshyam Dayabhai Patel         | AOZPP4022N               |                                                 | 200000                 |                            |
| 1539    | Yogesh Bhogilal Chouhan          | AAGPC7158P               |                                                 | 200000                 |                            |
| 1540    | Univeral Credit and Sec Limited  | ACVPJ4679B               |                                                 | 200000                 |                            |
| 1541    | Jayshree Shankar Bhosle          | AFMPB0449P               |                                                 | 200000                 |                            |
| 1542    | Parag Chandrkant Shah            | ARRPS2518R               |                                                 | 200000                 |                            |
| 1543    | Jigar Mahesh Shah                | AVCPS3026K               |                                                 | 200000                 |                            |
| 1544    | Dadima Capital (P) Ltd           | AABCD2140E               |                                                 | 200000                 |                            |
| 1545    | Vaishali Sharad Gawde            | AKZPG4168Q               |                                                 | 200000                 |                            |
| 1546    | Shri Sandip Laxmanbhai Bhamani   | N/A                      | Gujarat Terce Laboratories Ltd                  | 200000                 | 30/10/2017                 |
| 1547    | Brijesh Jayantibhai Patel        | ACIPP5500E               | Gujarat Terce Laboratories Ltd                  | 2,00,000/-             | 30/10/2017                 |
| 1548    | Santowin Corporation Ltd         | AADCS9595G               | Santowin Corporation Ltd                        | 500000                 | 31/10/2017                 |
| 1549    | Shri Sham Sunder Gupta           | AISPG6871B               | M/s BLB Ltd and M/s DMC Education Ltd           | 800000                 | 31/10/2017                 |

| SL. NO. | NAME OF THE DEFAULTER              | ADDRESS OF THE DEFAULTER | VIOLATION IN THE SCRIP OF                                   | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|------------------------------------|--------------------------|-------------------------------------------------------------|------------------------|----------------------------|
| 1550    | M/s DMC Education Limited          | AACCD5023B               |                                                             | 400000                 |                            |
| 1551    | Ms. Saroj Gupta                    | AAPPG3976N               |                                                             | 200000                 |                            |
| 1552    | Shri Srinivasa Rao Marupudi        | ACQPM0876L               | M/s Venus Power Ventures (India) Ltd                        | 1650000                | 31/10/2017                 |
| 1553    | Neha Narendra Shah                 | BKUPS7144G               | Nivvyah Infrastructure and Telecom Services Ltd             | 300000                 | 03/11/2017                 |
| 1554    | Varun Industries Limited-          | AAACV2069F               | Varun Industries Ltd                                        | 100000                 | 08/11/2017                 |
| 1555    | Shri Kiran Kumar Mehta-            | ADUPM1326J               |                                                             | 100000                 |                            |
| 1556    | Shri Kailash S. Agarwal-           | AACPA6833F               |                                                             | 100000                 |                            |
| 1557    | Varun Industries Limited-          | AAACV2069F               |                                                             | 100000                 |                            |
| 1558    | Shri Kiran Kumar Mehta-            | ADUPM1326J               |                                                             |                        |                            |
| 1559    | Shri Kailash S. Agarwal-           | AACPA6833F               |                                                             |                        |                            |
| 1560    | Shri Varun Kirankumar Mehta        | AMUPM8063F               |                                                             |                        |                            |
| 1561    | Ramana Bharati                     | PAN:AQXPR3490G           | Taaza International Ltd                                     | 50000                  | 09/11/2017                 |
| 1562    | Ramana Boina Shankar               | PAN:APIPR2889D           |                                                             | 50000                  |                            |
| 1563    | Polsani Ravinder Rao               | PAN:AFYPP6682G           |                                                             | 125000                 |                            |
| 1564    | Sreeram V Mangalapalli             | PAN:ADPPM5088C           |                                                             | 50000                  |                            |
| 1565    | N. Venugopal                       | PAN:AFPPN6347H           |                                                             | 75000                  |                            |
| 1566    | Pabbathi Venkata Ravi Kumar        | PAN:AIHPP9846J           |                                                             | 50000                  |                            |
| 1567    | M/s. Teem Laboratories Pvt Limited | N/A                      | SCORES                                                      | 100000                 | 17/11/2017                 |
| 1568    | Pinky Chemicals Limited            | N/A                      | SCORES                                                      | 100000                 | 20/11/2017                 |
| 1569    | Ami Computers India Limited        | N/A                      | SCORES                                                      | 400000                 | 20/11/2017                 |
| 1570    | M/s Raashi Fertilizers Ltd         | N/A                      | SCORES                                                      | 100000                 | 20/11/2017                 |
| 1571    | Himanshu Vinodrai Purohit          | PAN:AQYPP8181B           | N/A                                                         | 300000                 | 20/11/2017                 |
| 1572    | Subhlaxmi Exports Pvt Limited      | N/A                      | SCORES                                                      | 100000                 | 21/11/2017                 |
| 1573    | M/s Shukla Data Technics Pvt Ltd   | N/A                      | SCORES                                                      | 100000                 | 21/11/2017                 |
| 1574    | Shri Manish Thakkar/KEC            | ACTPT8377N               | unregistered PMS activities rendered by KE Consulting Group | 3000000                | 21/11/2017                 |
| 1575    | Prime Solvent Extractions Limited  | N/A                      | SCORES                                                      | 100000                 | 21/11/2017                 |
| 1576    | M/s Nimbus Industries Limited      | N/A                      | Non-redressal of investor grievance(s)                      | 100000                 | 21/11/2017                 |
| 1577    | M/s Multi Colour Offset Limited    | N/A                      | SCORES                                                      | 100000                 | 22/11/2017                 |
| 1578    | Viral Syntex Limited               | N/A                      | N/A                                                         | 100000                 | 22/11/2017                 |
| 1579    | Prime Petro Products Limited       | N/A                      | SCORES                                                      | 100000                 | 22/11/2017                 |

| SL. NO. | NAME OF THE DEFAULTER                               | ADDRESS OF THE DEFAULTER | VIOLATION IN THE SCRIP OF                          | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------------|--------------------------|----------------------------------------------------|------------------------|----------------------------|
| 1580    | Secure Earth Technologies Ltd                       | N/A                      | Non-redressal of investor grievance                | 100000                 | 23/11/2017                 |
| 1581    | Sgi Research & Analysis Limited                     | AAOCS553R                | SGI Research & Analysis Limited                    | 10000000               | 23/11/2017                 |
| 1582    | Shri Lokeshwar Dev                                  | ARXPD5428P               |                                                    |                        |                            |
| 1583    | Ms. Priyanka Saraswat Dev                           | ARXPD5427C               |                                                    |                        |                            |
| 1584    | Shri Ramesh Sharma                                  | AUTPR3288E               |                                                    |                        |                            |
| 1585    | Shri Pradeep Sharma                                 | AOBPS1015G               |                                                    |                        |                            |
| 1586    | Shri Baldev Raj Sharma                              | CRGPS318AN               |                                                    |                        |                            |
| 1587    | Shri Sanjeev Sharma                                 | AARPS733G                |                                                    |                        |                            |
| 1588    | Ms. Sonia Sharma                                    | AARPS234G                |                                                    |                        |                            |
| 1589    | Chemox Securities Limited                           | N/A                      | SCORES                                             | 100000                 | 23/11/2017                 |
| 1590    | M/s Realtime Finlease Ltd                           | N/A                      | SCORES                                             | 100000                 | 24/11/2017                 |
| 1591    | PCI Chemicals & Pharmaceuticals Ltd                 | N/A                      | PCI Chemicals & Pharmaceuticals Ltd                | 100000                 | 24/11/2017                 |
| 1592    | Delta Polyster Ltd                                  | N/A                      | Delta Polyster Ltd                                 | 100000                 | 24/11/2017                 |
| 1593    | Scan Organics Pvt Ltd                               | N/A                      | SCORES                                             | 100000                 | 27/11/2017                 |
| 1594    | Splash Media & Infra Ltd                            | AAACH2735G               | Splash Media & Infra Ltd                           | 700000                 | 27/11/2017                 |
| 1595    | Finalysis Credit & Guarantee Co. Ltd                | N/A                      | Non-redressal of investor grievances               | 500000                 | 27/11/2017                 |
| 1596    | Ruia Aquaculture Farms Ltd                          | AAACR2779J               | SCORES                                             | 800000                 | 29/11/2017                 |
| 1597    | Shri Anirudh Sethi                                  | ANBPS5743A               | N/A                                                | 2500000                | 30/11/2017                 |
| 1598    | M/s Rose Valley Real Estates and Constructions Ltd. | N/A                      | M/s Rose Valley Real Estates and Constructions Ltd | 15100000               | 30/11/2017                 |
| 1599    | Mr. Gautam Kundu                                    | PAN:ALMPK9146L           |                                                    |                        |                            |
| 1600    | Mr. Shibamoy Dutta                                  | PAN:AHRPD6466H           |                                                    |                        |                            |
| 1601    | Mr. Ram Lal Goswami                                 | PAN:AHDPG0244L           |                                                    |                        |                            |
| 1602    | Mr. Abir Kundu                                      | PAN:AQKPK1365J           |                                                    |                        |                            |
| 1603    | Mr. Ashok Kumar Saha                                | PAN:BDCPS5827D           |                                                    |                        |                            |
| 1604    | Swan Securities Private Limited                     | AAACS6741B               | Swan Securities Private Limited                    | 650000                 | 30/11/2017                 |
| 1605    | Vinod Kumar Nagpal                                  | AACPN9016H               |                                                    | 100000                 |                            |
| 1606    | Mr. M L Nagda                                       | AAOPN7420K               | Coromandal International Ltd                       | 150000                 | 30/11/2017                 |
| 1607    | Mr. Madangopal Murlidhar Mundhra                    | AABPS3329R               |                                                    |                        |                            |
| 1608    | Mr. A R Shingewar                                   | AKBPM2697N               |                                                    |                        |                            |
| 1609    | Ms. Premila Someshwar Joshi                         | AAIPJ0330L               | M/s Aarya Global Shares and Securities Ltd         | 1000000                | 30/11/2017                 |
| 1610    | Ms. Sneha M Chavda                                  | AKNPC8507B               |                                                    | 1000000                |                            |
| 1611    | Mr Chetan Vasant Ganatra                            | AAKPG0153A               |                                                    | 1000000                |                            |
| 1612    | Ms. Labhu Amrutlal Gohil                            | AJPG8350N                |                                                    | 1000000                |                            |
| 1613    | Ms Sweta Ramesh Rabadiya                            | AKWPR7776C               |                                                    | 500000                 |                            |

| SL. NO. | NAME OF THE DEFAULTER                         | ADDRESS OF THE DEFAULTER | VIOLATION IN THE SCRIP OF             | AMOUNT OF PENALTY (RS) | DATE OF ADJUDICATION ORDER |
|---------|-----------------------------------------------|--------------------------|---------------------------------------|------------------------|----------------------------|
| 1614    | Shri Deepak Narshibhai Javiya                 | ADBPJ9865G               |                                       | 500000                 |                            |
| 1615    | Ms Nila Deepak Javiya                         | AFUPJ7598H               |                                       | 500000                 |                            |
| 1616    | Ms. Taraban Vasant Ganatra                    | AAKPG0152B               |                                       | 500000                 |                            |
| 1617    | Mrs Indira Bharat Kumar Bhalla                | AKMPB3205C               |                                       | 500000                 |                            |
| 1618    | Ms. Walbai Narayan Barot                      | AEDPB8982P               |                                       | 500000                 |                            |
| 1619    | Ms Neela Ramesh Padhiyar                      | AYRPP3516H               |                                       | 500000                 |                            |
| 1620    | Shri Vasant Mulji Ganatra (HUF)               | AAAHV3445G               |                                       | 1000000                |                            |
| 1621    | Ms Labuben Kantilal Javiya                    | AFTPJ5078H               |                                       | 500000                 |                            |
| 1622    | Mr Ajit Vinod Tank                            | AFQPT8923C               |                                       | 500000                 |                            |
| 1623    | Ms Meetal Manish Gohil                        | APFPG2889F               |                                       | 500000                 |                            |
| 1624    | M/s Sonu Synthetics Ltd                       | N/A                      | SCORES                                | 100000                 | 30/11/2017                 |
| 1625    | Ms/ Stotz-Blacksmiths Ltd                     | N/A                      | SCORES                                | 100000                 | 30/11/2017                 |
| 1626    | M/s Shakti Rods and Wires Ltd                 | N/A                      | SCORES                                | 100000                 | 30/11/2017                 |
| 1627    | Lords Chemicals Limited                       | AAACL5339A               | SCORES                                | 500000                 | 12/12/2017                 |
| 1628    | Shri Samir Ghosh                              | AMPPG0185K               | Anshus Clothing Limited               | 100000                 | 14/12/2017                 |
| 1629    | Shri Anand Kumar Dhanuka                      | AMBPD8464A               | Anshus Clothing Limited               | 100000                 | 14/12/2017                 |
| 1630    | Kamala Tea Company Limited                    | N/A                      | SCORES                                | 400000                 | 14/12/2017                 |
| 1631    | M/s Yes Investments                           | AINPB3663P               | In dealings of Vishal Kishor Bhatia   | 2000000                | 14/12/2017                 |
| 1632    | Blue Peacock Securities Pvt. Ltd              | AADCB8850F               | In dealings of Vishal Kishor Bhatia   | 2500000                | 14/12/2017                 |
| 1633    | Ms/ Indo American Cement Corporation Ltd      | N/A                      | SCORES                                | 100000                 | 14/12/2017                 |
| 1634    | Ms/ Avikem Resins Ltd                         | N/A                      | SCORES                                | 100000                 | 14/12/2017                 |
| 1635    | M/s Gloria Leasing Ltd                        | N/A                      | SCORES                                | 100000                 | 14/12/2017                 |
| 1636    | M/s Goodearth Financial Services Ltd          | N/A                      | SCORES                                | 100000                 | 14/12/2017                 |
| 1637    | M/s Rodal Circaprint Electronics Ltd.         | N/A                      | SCORES                                | 100000                 | 14/12/2017                 |
| 1638    | M/s Stellar Drugs Ltd.                        | N/A                      | SCORES                                | 100000                 | 14/12/2017                 |
| 1639    | M/s Tejoomals Industries Ltd.                 | N/A                      | SCORES                                | 100000                 | 14/12/2017                 |
| 1640    | Shri Shailesh Patel                           | AKNPP5015D               | Tarang Projects & Consultants Limited | 200000                 | 15/12/2017                 |
| 1641    | Aakar Engineering & Manufacturing Co. Limited | N/A                      | N/A                                   | 100000                 | 18/12/2017                 |

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| 1642    | Bayswater Enterprise Pvt. Ltd          | AAACW5773B               | Transchem Limited                   | 200000                 | 18/12/2017                 |
| 1643    | Shri Digambar Patil                    | AAGCA0999K               | Jayant Mercantile                   | 200000                 | 20/12/2017                 |
| 1644    | Ms Jyotsna Patil                       | AGZPP9804C               | Company Limited                     | 100000                 |                            |
| 1645    | Classic Press (International) Limited  | N/A                      | N/A                                 | 100000                 | 20/12/2017                 |
| 1646    | Mr. Dhananjay Kumar                    | PAN:BLIPK6750R           | Kushal Tradelink Ltd                | 2000000                | 22/12/2017                 |
| 1647    | Mr. Amratbhai Chaturdas Patel          | AYZPP7771L               |                                     | 2000000                |                            |
| 1648    | Mr. Narendrabhai Shivabhai Parmar      | BOOPP9405H               |                                     | 2000000                |                            |
| 1649    | Mr. Sandip Kumar Prakashbhai Prajapati | BJXPP9015P               |                                     | 2000000                |                            |
| 1650    | Mr. Savan Keshavlal Prajapati          | CGKPP1066M               |                                     | 2000000                |                            |
| 1651    | Rusoday & Company Limited              | N/A                      | SCORES                              | 100000                 | 26/12/2017                 |
| 1652    | Moneshi Agro Industries Limited        | N/A                      | SCORES                              | 600000                 | 27/12/2017                 |
| 1653    | Credence Sound & Vision Limited        | N/A                      | SCORES                              | 600000                 | 27/12/2017                 |
| 1654    | Hitech Jewellery Industries Limited    | N/A                      | SCORES                              | 100000                 | 27/12/2017                 |
| 1655    | M/s Eduxel Infotainment Limited        | AADCT3496R               | N/A                                 | 300000                 | 27/12/2017                 |
| 1656    | M/s Inducon India Limited              | N/A                      | N/A                                 | 600000                 | 27/12/2017                 |
| 1657    | Madhu Mohan Reddy                      | ACIPM3240J               | Midfield Industries Limited         | 750000                 | 27/12/2017                 |
| 1658    | M/s Kalpavriksha Industries Limited    | N/A                      | SCORES                              | 600000                 | 27/12/2017                 |
| 1659    | Blue Circle Services Limited           | AAACB2131L               | Blue Circle Services Ltd            | 600000                 | 27/12/2017                 |
| 1660    | Shri Anil Purohit                      | AGOPP5498D               |                                     |                        |                            |
| 1661    | Shri Dhruva Narayan Jha                | ACVPJ2857K               |                                     |                        |                            |
| 1662    | Shri Pravin Sawant                     | ANTPS3885K               |                                     | 200000                 |                            |
| 1663    | Mr. Anand Laltaprasad Chaturvedi       | ACIPC3670L               | Linkson International Limited       | 200000                 | 29/12/2017                 |
| 1664    | Lyra Housing and Finance Pvt Ltd       | AAACL3708P               |                                     | 200000                 |                            |
| 1665    | Ms. Manju Sangla                       | AQXPS9660D               |                                     | 100000                 |                            |
| 1666    | Mr. Yashwant Sangla                    | ARFPS3435D               |                                     | 100000                 |                            |
| 1667    | Polar Industries Limited               | N/A                      | non-redressal of investor complaint | 400000                 | 29/12/2017                 |
| 1668    | M/s Plethico Pharmaceuticals Limited   | N/A                      | N/A                                 | 650000                 | 29/12/2017                 |
| 1669    | Karn Merchant Bankers Limited          | N/A                      | N/A                                 | 2500000                | 29/12/2017                 |

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|---------|-----------------------------------------|--------------------------|-------------------------------------------|---------------------------|----------------------------------|
| 1670    | Ally Multi Trade India Private Limited, | AAJCA7016J               | Ally Multi Trade India<br>Private Limited | 2500000                   | 29/12/2017                       |
| 1671    | Mr. Chetan Yashwant Thakur              | ABNPT7551R               |                                           |                           |                                  |
| 1672    | Mr. Vijay Dattaram Chavan               | ACVPC1342G               |                                           |                           |                                  |
| 1673    | Dr. Suryakant Sambu Bhosle              | AGXPB8179J               |                                           |                           |                                  |