

**Annexure – A**

**Format for Monthly Report to SEBI**

**Report for the month of \_\_\_\_\_ FY \_\_\_\_\_**

**Type of Services Offered**

<b>Sl. No.</b>	<b>Type of Service Offered</b>	<b>Whether the service is offered</b>
1	Discretionary Service	Yes/No
2	Non-Discretionary Service	Yes/No
3	Advisory Service	Yes/No

**I. Data for Discretionary Services**

**A. Break-up of clients of the Portfolio Manager**

<b>Particulars</b>	<b>Domestic Clients</b>			<b>Foreign Clients</b>			<b>Total</b>
	<b>PF/EPFO</b>	<b>Corporates</b>	<b>Non-Corporates</b>	<b>Non Residents</b>	<b>FPI</b>	<b>Others</b>	
No. of unique Clients as on last day of the month							
Assets under Management (AUM) as on last day of the month							

**B. Break-up of assets under management of the Portfolio Manager**

<b>Investment Approach</b>	<b>Funds Inflow/Outflow in the Approach</b>		<b>Assets Under Management as on last day of the month (in INR crores)</b>										<b>Mutual Funds</b>	<b>Others</b>	<b>Total</b>
			<b>Equity</b>		<b>Plain Debt</b>		<b>Structured Debt</b>		<b>Derivatives</b>						
	<b>Outflow in the month</b>	<b>Inflow in the Month</b>	<b>Listed</b>	<b>Unlisted</b>	<b>Listed</b>	<b>Unlisted</b>	<b>Listed</b>	<b>Unlisted</b>	<b>Equity</b>	<b>Commodity</b>	<b>Others</b>				
Approach 1															
Approach 2															
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Approach 'N'															
<b>Total</b>															

**C. Transaction Data**

<b>Sl. No.</b>	<b>Particulars</b>	<b>Figures</b>
1	Sales in the month (in INR crores )	
2	Purchases in the month (in INR crores )	
3	Portfolio Turnover Ratio = (Higher of Purchases or Sales in the month /Average AUM)	

*Note: Average AUM to be computed based on daily average*

#### D. Performance Data

Investment Approach	AUM (in INR Cr)	Returns (%)		Portfolio Turnover Ratio	
		1 month	1 year	1 month	1 year
Investment Approach 1					
Benchmark 1					
Investment Approach 2					
Benchmark 2					
Investment Approach 'N'					
Benchmark 'N'					
<b>Total</b>					

#### II. Data for Non- Discretionary Services

#### E. Break-up of clients of the Portfolio Manager

Particulars	Domestic Clients			Foreign Clients			Total
	PF/ EPFO	Corporates	Non- Corporates	Non Residents	FPI	Others	
No. of unique Clients as on last day of the month							
Assets under Management (AUM) as on last day of the month							

#### F. Break-up of assets under management of the Portfolio Manager

Funds In-flow in the month	Funds Out-flow in the month	Assets Under Management as on last day of the month (in INR crores)												
		Equity		Plain Debt		Structured Debt		Derivatives			Mutual Funds	Others	Total	
		Listed	Unlisted	Listed	Unlisted	Listed	Unlisted	Equity	Commodity	Others				

#### G. Transaction Data

Sl. No.	Particulars	Figures
1	Sales in the month (in INR crores )	
2	Purchases in the month (in INR crores )	
3	Portfolio Turnover Ratio = (Higher of Purchases or Sales in the month /Average AUM)*	

Note: Average AUM to be computed based on daily average

**H. Performance Data**

AUM (in INR Cr)	Returns (%)		Portfolio Turnover Ratio	
	1 month	1 year	1 month	1 year

**III. Data for Advisory Services****I. Break-up of client base of the Portfolio Manager**

Particulars	Domestic Clients			Foreign Clients			Total
	PF/EPFO	Corporates	Non-Corporates	Non Residents	FPI	Others	
No. of unique Clients as on last day of the month							
Assets under Management (AUM) as on last day of the month							

**IV. Data for Co-investment Services****J. Break-up of clients of the Portfolio Manager**

Type of Client	Domestic Clients		Foreign Clients			Total Clients
	Corporates	Non-Corporates	Corporates	Non Residents	Others	
No. of unique Clients as on last day of the month						
Value of the Assets for which Co-investment Services are being given (Amount in INR crores)						

**K. Break-up of assets under management of the Portfolio Manager**

Funds In-flow in the month	Funds Out-flow in the month	Assets Under Management as on last day of the month (in INR crores)				Total
		Equity	Plain Debt	Structured Debt	Others	

Note: AUM may be calculated on cost basis or in any manner as may be specified by SEBI

**V. Data on Complaints**

Type of Client	Total No. of complaints			
	Pending at the beginning of the month	Received during the month	Resolved during the month	Pending at the end of the month
Domestic - PF/EPFO				
Domestic Corporates				
Domestic Non-Corporates				
Foreign – NR				
Foreign – FPI				
Foreign-Corporates				
Foreign -Others				
<b>Total</b>				

*Note: Data on investor complaints registered through SCORES or which are directly received by Portfolio Manager to be provided*