

Information of documents for which observations issued by SEBI

Sr. No.	Issuer company	Coordinating LM (Pre-issue)	Type of Issue	Draft OD receipt date	Processing Status
1	Larsen & Toubro Infotech Limited	Kotak Mahindra Capital Limited	IPO (OFS)	12 April 2016	Observations issued on 20 May 2016
2	RBL Bank Ltd	Kotak Mahindra Capital Co. Ltd	IPO + OFS	23 June 2015	Observation Issued on 27 July 2016
3	Varun Beverages Limited	Kotak Mahindra Capital Company Limited	IPO	22 June 2016	Observations issued on 31 August 2016
4	Aster DM Healthcare Limited	Kotak Mahindra Capital Limited	IPO (Fresh + OFS)	24 June 2016	Observations issued on 02 November 2016
5	Sheela Foam Limited	Edelweiss Financial Services Limited	IPO (OFS)	29 July 2016	Observations issued on 03 November 2016
6	L & T Technology Services Limited	Kotak Mahindra Capital Company Limited	IPO (OFS)	15 July 2016	Observations issued on 24 August 2016
7	ICICI Prudential Life Insurance Company Limited	DSP Merrill Lynch Limited	IPO (OFS)	18 July 2016	Observations issued on 02 September 2016
8	Greensignal Bio Pharma Ltd.	India Overseas Bank - Merchant Banking Division	IPO (Fresh Issue + OFS)	04 July 2016	Observations issued on 09 September 2016
9	Endurance Technologies Limited	Axis Capital Limited	IPO (OFS)	07 July 2016	Observations issued on 09 September 2016
10	CIL Nova Petrochemicals Ltd	Hem Securities Ltd	RI	07 July 2016	Observations issued on 16 September 2016
11	PNB Housing Finance Limited	Kotak Mahindra Capital Company Limited	IPO (Fresh Issue)	05 July 2016	Observations issued on 06 October 2016
12	Laurus Labs Limited	Kotak Mahindra Capital Company Limited	IPO (Fresh Issue+ OFS)	19 August 2016	Observations issued on 10 October 2016

13	IP Rings Limited	Axis Capital Limited	RI	31 August 2016	Observations issued on 01 November 2016
14	Avenue Supermarts Limited	Kotak Mahindra Capital Company Limited	IPO (Fresh Issue)	29 September 2016	Observations issued on 06 December 2016
15	Continental Warehousing Corporation (Navha Seva) Limited	Edelweiss Financial Services Limited	IPO (Fresh Issue + OFS)	30 September 2016	Observations issued on 16 December 2016
16	G R Infraprojects Limited	HDFC Bank Limited	IPO (Fresh Issue + OFS)	29 September 2016	Observations issued on 22 December 2016
17	Shankara Building Products Limited	IDFC Bank Ltd	IPO (Fresh Issue + OFS)	29 September 2016	Observations issued on 22 December 2016
18	BSE Limited	Edelweiss Financial Services Limited	IPO (OFS)	09 September 2016	Observations issued on 30 December 2016
19	Genesis Colors Limited	ICICI Securities Limited	IPO (Fresh Issue + OFS)	29 September 2016	Observations issued on 03 February 2017
20	Security & Intelligence Services (India) Limited	Axis Capital Limited	IPO (Fresh + OFS)	27 September 2016	Observations issued on 12 January 2017
21	Prataap Snacks Limited	Edelweiss Financial Services Limited	IPO (Fresh Issue + OFS)	30 September 2016	Observations issued on 13 April 2017
22	Archit Organosys Limited	Vivro Financial Services Pvt. Limited	RI	21 October 2016	Observations issued on 20 January 2017
23	PB Global Limited	GreteX Corporate Services Private Limited	RI	23 November 2016	Withdrawn vide letter dated 26 December 2016

24	Music Broadcast Limited	ICICI Securities Limited	IPO (Fresh Issue + OFS)	28 November 2016	Observations issued on 09 February 2017
25	Central Depository Services (India) Limited	Axis Capital Limited	IPO (OFS)	27 December 2016	Observations issued on 08 February 2017
26	S Chand and Company Limited	J M Financial Securities Limited	IPO (Fresh Issue + OFS)	16 December 2016	Observations issued on 03 March 2017
27	National Stock Exchange of India Limited	JM Financial Institutional Securities Limited	IPO (OFS)	28 December 2016	<u>Issuer needs to file fresh draft offer document:</u> Regulation 25(6) read with Schedule XVI of ICDR Regulations, 2018 stipulate that in case of an OFS where there is a decrease in number of shares offered for sale by more than 50% the issuer needs to file a fresh draft offer document. The shares offered for sale in the issue has decreased by more than 50%.
28	Proseed India Limited	Saffron Capital Advisors Private Limited	Rights Issue	30 December 2016	Withdrawn vide Letter dated 03 May 2017
29	Kingfa Science & Technology (India) Limited	Keynote Corporate Services Limited	RI	02 December 2016	Observations issued on 17 February 2017
30	PSP Projects Limited	Karvy Investor Services Limited	IPO (Fresh Issue+ OFS)	28 December 2016	Observations issued on 24 March 2017

31	GTPL Hathway Limited	JM Financial Institutional Securities Limited	IPO (Fresh Issue+ OFS)	30 December 2016	Observations issued on 12 May 2017
32	Mahindra Lifespace Developers Limited	ICICI Securities Limited	Rights Issue	20 January 2017	Observations issued on 02 March 2017
33	Housing and Urban Development Corporation Limited	IDBI Capital Market & securities Limited	IPO (OFS)	02 January 2017	Observations issued on 10 March 2017
34	Zenotech Laboratories Limited	Vivro Financial Services Pvt Ltd	Rights Issue	02 January 2017	Observations issued on 05 April 2017
35	Intellect Design Area Limited	Spark Capital Advisors (India) Private Limited	Rights Issue	27 January 2017	Observations issued on 13 April 2017
36	Four Seasons Residency Limited	Chartered Capital and Investment Limited	IPO (Fresh Issue)	20 February 2017	Withdrawn vide Letter dated 09 March 2017
37	Au Financiers (India) Limited	ICICI Securities Limited	IPO (OFS)	01 February 2017	Observations issued on 22 March 2017
38	Tejas Networks Limited	Axis Capital Limited	IPO (Fresh Issue+ OFS)	10 February 2017	Observations issued on 21 April 2017
39	Eris Lifesciences Limited	Axis Capital Limited	IPO (OFS)	08 February 2017	Observations issued on 28 April 2017
40	Vardhaman Special Steels Ltd.	Master Capital Services Ltd.	Rights Issue	15 February 2017	Observations issued on 28 March 2017
41	Salasar Techno Engineering Ltd.	Sarathi Capital Advisors Pvt. Ltd.	IPO (Fresh issue)	15 February 2017	Observations issued on 16 May 2017
42	Bharat Road Network Limited	Inga Capital Private Limited	IPO (Fresh Issue)	17 February 2017	Observations issued on 26 May 2017

43	Cochin Shipyard Limited	SBI Capital Markets Limited	IPO (Fresh Issue + OFS)	24 March 2017	Observations issued on 20 April 2017
44	Apex Frozen Foods Limited	Karvy Investor Services Limited	IPO (Fresh Issue + OFS)	31 March 2017	Observations issued on 11 May 2017
45	MAS Financial Services Limited	Motilal Oswal Investment Advisors Private Limited	IPO (Fresh + OFS)	27 March 2017	Observations issued on 26 May 2017
46	Nakshatra World Limited	IDBI Capital Markets & Securities Limited	IPO (Fresh Issue)	10 March 2017	Observations issued on 09 June 2017