Information of documents for which observations issued by SEBI

| Sr.<br>No. | Issuer company                        | Coordinating LM<br>(Pre-issue)                | Type of<br>Issue     | Draft OD<br>receipt<br>date | Processing Status                                  |
|------------|---------------------------------------|---|----------------------|-----------------------------|--|
| 1          | G R Infraprojects<br>Limited          | HDFC Bank<br>Limited                          | IPO (Fresh<br>+ OFS) | 30 April<br>2018            | Observation issued on 11 July 2018                 |
| 2          | Lodha Developers<br>Limited           | Kotak Mahindra<br>Capital Company<br>Limited  | IPO (Fresh<br>+ OFS) | 26 April<br>2018            | Observation issued on 06 July 2018                 |
| 3          | Cosboard<br>Industries Limited        | Mark Corporate<br>Advisors Private<br>Limited | Rights<br>Issue      | 26 Apr<br>2018              | Observation issued on 05 December 2018             |
| 4          | Crystal Crop<br>Protection Ltd        | ICICI Securities<br>Limited                   | IPO (Fresh<br>+ OFS) | 04 April<br>2018            | Observation issued on 27 July 2018                 |
| 5          | Montecarlo<br>Limited                 | Edelweiss<br>Financial<br>Services Limited    | IPO (Fresh<br>+ OFS) | 10 May<br>2018              | Observation issued on 31 July 2018                 |
| 6          | PN Gadgil & Sons<br>Ltd               | HDFC Bank<br>Limited                          | IPO (Fresh)          | 04 May<br>2018              | Observation issued on 13 July 2018                 |
| 7          | Shakun Polymers<br>Limited            | Vivro Financial<br>Services Pvt Ltd           | IPO (Fresh<br>+ OFS) | 04 May<br>2018              | Observation issued on 05 July 2018                 |
| 8          | Omkar Speciality<br>Chemicals Limited | Almondz Global<br>Securities<br>Limited       | Rights<br>Issue      | 31 May<br>2018              | Observation issued on 16 November 2018             |
| 9          | ReNew Power<br>Limited                | Kotak Mahindra<br>Capital Company<br>Limited  | IPO (Fresh<br>+ OFS) | 08 May<br>2018              | Withdrawn vide<br>letter dated 18<br>February 2019 |
| 10         | Craftsman<br>Automation<br>Limited    | ICICI Securities<br>Limited                   | IPO (Fresh<br>+ OFS) | 08 June<br>2018             | Observation issued on 24 August 2018               |
| 11         | Vectus Industries<br>Limited          | Edelweiss<br>Financial<br>Services Limited    | IPO (Fresh<br>+ OFS) | 18 June<br>2018             | Observation issued on 31 August 2018               |
| 12         | Hinduja Leyland<br>Finance Limited    | Axis Capital<br>Limited                       | IPO (Fresh<br>+ OFS) | 14 June<br>2018             | Observation issued on 07 September 2018            |

| 13 | Aavas Financiers                          | ICICI Securities   | IDO (Frach           | 21 June          | Observation issued                       |
|----|---|--|----------------------|------------------|--|
| 13 | Limited                                   | Limited  | + OFS)               | 2018             | on 07 September 2018                     |
| 14 | Avana Logistek<br>Limited                 | ICICI Securities<br>Limited                                | IPO (Fresh<br>+ OFS) | 15 June<br>2018  | Observation issued on 14 September 2018  |
| 15 | Indiamart<br>Intermesh Limited            | ICICI Securities<br>Limited                                | IPO (OFS)            | 29 June<br>2018  | Observation issued on 14 September 2018  |
| 16 | Anmol Industries<br>Limited               | Edelweiss<br>Financial<br>Services Limited                 | IPO (OFS)            | 20 June<br>2018  | Observation issued on 21 September 2018  |
| 17 | Penver Products<br>Limited                | Karvy Investor<br>Services Limited                         | IPO (Fresh<br>+ OFS) | 26 June<br>2018  | Observation issued on 05 September 2018  |
| 18 | Century Metal<br>Recycling Ltd.           | ICICI Securities<br>Ltd.                                   | IPO (Fresh<br>+ OFS) | 11 June,<br>2018 | Observation issued on 27 September, 2018 |
| 19 | Puranik Builders<br>Limited               | Edelweiss<br>Financial<br>Services Limited                 | IPO (Fresh<br>+ OFS) | 27 June<br>2018  | Observation issued on 05 October 2018    |
| 20 | Spandana<br>Spoorthy Financial<br>Limited | Axis Capital<br>Limited                                    | IPO (Fresh<br>+ OFS) | 26 June<br>2018  | Observation issued on 12 October 2018    |
| 21 | Bharat Hotels<br>Limited                  | HDFC Bank<br>Limited                                       | IPO (Fresh)          | 29 June<br>2018  | Observation issued on 12 October 2018    |
| 22 | Milltec Machinery<br>Limited              | Motilal Oswal<br>Investment<br>Advisors Private<br>Limited | IPO (OFS)            | 02 July<br>2018  | Observation issued on 30 August 2018     |
| 23 | Chalet Hotels<br>Limited                  | JM Financial<br>Limited                                    | IPO (Fresh<br>+ OFS) | 02 July<br>2018  | Observation issued on 19 October 2018    |
| 24 | Affle India Limited                       | ICICI Securities<br>Limited                                | IPO (Fresh<br>+ OFS) | 16 July<br>2018  | Observation issued on 19 October 2018    |
| 25 | Muthoot Microfin<br>Limited               | Edelweiss<br>Financial<br>Services Limited                 | IPO (Fresh<br>+ OFS) | 27 July<br>2018  | Observation issued on 05 October 2018    |

| 26 | Aakash<br>Educational<br>Services Limited | Kotak Mahindra<br>Capital Company<br>Limited    | IPO (OFS)            | 19 July<br>2018    | Issue withdrawn LM letter dated July 29, 2019   |
|----|---|---|----------------------|--------------------|---|
| 27 | Ishan Dyes and<br>Chemicals Ltd.          | Vivro Financial<br>Services Pvt. Ltd.           | Rights<br>Issue      | 20 July<br>2018    | Observation issued on 24 September, 2018        |
| 28 | Ami Organics Ltd.                         | ITI Capital<br>Limited                          | IPO (Fresh)          | 11 July<br>2018    | Observation issued on 28 September, 2018        |
| 29 | PNB Metlife India<br>Insurance Co. Ltd    | Kotak Mahindra<br>Capital Company<br>Limited    | IPO (OFS)            | 27 July<br>2018    | Observation issued on 02 November 2018          |
| 30 | Capital India<br>Finance Limited          | Axis Capital<br>Limited                         | Rights<br>Issue      | 20 August,<br>2018 | Observation issued on 27 September, 2018        |
| 31 | Nihilent Limited                          | Motilal Oswal<br>Investment<br>Advisors Limited | IPO (Fresh+<br>OFS)  | 10 August<br>2018  | Observation issued on 01 October 2018           |
| 32 | ASK Investment<br>Managers Limited        | JM Financial<br>Limited                         | IPO (Fresh<br>+ OFS) | 07 Aug<br>2018     | Observation issued on 05 October 2018           |
| 33 | Shalimar Paints<br>Limited                | SPA Capital<br>Advisors Limited                 | Rights<br>Issue      | 02 Aug<br>2018     | Observation issued on 15 October 2018           |
| 34 | Dodla Dairy<br>Limited                    | Edelweiss<br>Financial<br>Services Limited      | IPO (Fresh+<br>OFS)  | 09 Aug<br>2018     | Observation issued on 17 October 2018           |
| 35 | Harsha Engineers<br>Limited               | Axis Capital                                    | IPO (Fresh<br>+OFS)  | 21 Aug<br>2018     | Observation issued on 19 October 2018           |
| 36 | Shyam Metallics<br>and Energy Limited     |   | IPO (OFS)            | 06 Aug<br>2018     | Observation issued on 07 December 2018          |
| 37 | Studds Accessories<br>Limited             | Edelweiss<br>Financial<br>Services Limited      | IPO (Fresh<br>+ OFS) | 27 Aug<br>2018     | Observation issued on 14 December 2018          |
| 38 | AGS Transact<br>Technologies<br>Limited   | ICICI Securities<br>Limited                     | IPO (Fresh<br>+OFS)  | 21 Aug<br>2018     | Observation issued on 26 October 2018           |
| 39 | Senco Gold<br>Limited                     | IDFC Bank<br>Limited                            | IPO (Fresh)          | 21 August<br>2018  | Withdrawn vide<br>letter dated 06<br>March 2019 |

| 40 | Xelpmoc Design<br>and Tech Limited            | ITI Capital<br>Limited                      | IPO (Fresh<br>Issue)          | 28 Aug<br>2018          | Observation issued on 20 November 2018 |
|----|---|---|-------------------------------|-------------------------|--|
| 41 | Mrs.Bectors Food<br>Specialiies Limited       | IDFC Bank<br>Limited                        | IPO (OFS)                     | 13 Aug<br>2018          | Observation issued on 09 November 2018 |
| 42 | Sansera<br>Engineering<br>Limited             | ICICI Securities<br>Limited                 | IPO(OFS)                      | 10 Aug<br>2018          | Observation issued on 12 November 2018 |
| 43 | Hindustan<br>Construction<br>Company Limited  | ICICI Securities<br>Limited                 | Rights<br>Issue               | 14 Sept<br>2018         | Observation issued on 06 November 2018 |
| 44 | LGB Forge Limited                             | Keynote<br>Corporate<br>Services Limited    | Rights<br>issue               | 28 Sept<br>2018         | Observation issued on 09 November 2018 |
| 45 | Zircon<br>Technologies<br>(India) Limited     | Systematix<br>Corporate<br>Services Limited | IPO (Fresh)                   | 24 Sept<br>2018         | Observation issued on 12 November 2018 |
| 46 | Inventia<br>Healthcare Limited                | ICICI Securities<br>Limited                 | IPO (Fresh<br>Issue)          | 21 Sept<br>2018         | Observation issued on 22 November 2018 |
| 47 | Metropolis<br>Healthcare Limited              | JM Financial<br>Limited                     | IPO (OFS)                     | 28 Sept<br>2018         | Observation issued on 22 November 2018 |
| 48 | Neccon Power & Infra Limited                  | PL Capital<br>Markets Pvt. Ltd.             | IPO (Fresh)                   | 28 Sept<br>2018         | Observation issued on 29 November 2018 |
| 49 | Flair Writing<br>Industries Limited           | Axis Capital<br>Limited                     | IPO (Fresh<br>+ OFS)          | 24 Sept<br>2018         | Observation issued on 30 November 2018 |
| 50 | Narmada Biochem<br>Limited                    | Pantomath<br>Capital Advisors<br>Pvt Ltd    | IPO (Fresh<br>Issue +<br>OFS) | 26 Sept<br>2018         | Observation issued on January 02, 2019 |
| 51 | Chartered Speed<br>Limited                    | Equirus Capital<br>Pvt. Ltd.                | IPO (Fresh<br>Issue +<br>OFS) | 27<br>September<br>2018 | Observation issued on January 11, 2019 |
| 52 | Stove Kraft Limited                           | Edelweiss<br>Financial<br>Services Limited  | IPO (Fresh<br>Issue +<br>OFS) | 28<br>September<br>2018 | Observation issued on January 23, 2019 |
| 53 | Krebs Biochemicals<br>& Industries<br>Limited | Arihant Capital<br>Markets Limited          | Rights<br>issue               | 28 Sept<br>2018         | Observation issued on 17 December 2018 |

| 54 |   | Equirus Capital<br>Private Limited             | IPO (Fresh<br>+ OFS)          | 24 Sept<br>2018           | Withdrawn vide<br>letter dated 26<br>December 2018 |
|----|---|--|-------------------------------|---------------------------|--|
| 55 | ITI Limited                               | BOB Capital<br>Markets Limited                 | FPO                           | 28<br>September<br>2018   | Withdrawn vide<br>letter dated Feb 05<br>2020      |
| 56 | Shri Keshav<br>Cements & Infra<br>Limited | Keynote<br>Corporate<br>Services Limited       | Rights<br>issue               | 28<br>September<br>2018   | Observation issued on January 30, 2019             |
| 57 | T & T Infra Limited                       | Hem Securities<br>Limited                      | IPO (Fresh)                   | 24<br>September<br>2018   | Observation issued on March 22, 2019               |
| 58 | Heranba Industires<br>Ltd.                | Guiness<br>Corporate<br>Advisors Pvt. Ltd.     | IPO (Fresh<br>Issue +<br>OFS) | 29<br>September<br>2018   | Observation issued on February 22, 2019            |
| 59 | Angel Broking<br>Limited                  | ICICI Securities<br>Limited                    | IPO (Fresh<br>+OFS)           | 05<br>September<br>, 2018 | Observation letter issued on July 26, 2019.        |
| 60 | 5paisa Capital<br>Limited                 | Keynote<br>Corporate<br>Services Limited       | Rights<br>Issue               | 17- Sept-<br>2018         | Observation letter issued on May 16, 2019          |
| 61 | Prince Pipes And<br>Fittings Ltd          | JM Financial<br>Limited                        | IPO (Fresh<br>Issue +<br>OFS) | 15 October<br>2018        | Observation issued on 28 December 2018             |
| 62 | Polycab Wires<br>Limited                  | Kotak<br>Investment<br>Banking                 | IPO (Fresh<br>Issue +<br>OFS) | 31 October<br>2018        | Observation issued on January 25, 2019             |
| 63 | Emami Cement Ltd                          | IIFL Holdings<br>Limited                       | IPO (Fresh<br>+OFS)           | 12-Oct-<br>2018           | Withdrawn vide<br>letter dated Feb 03<br>2020      |
| 64 | Neogen Chemicals<br>Limited               | INGA Advisors<br>Private Limited               | IPO (Fresh<br>Issue +<br>OFS) | 05<br>November<br>2018    | Observation issued on February 08, 2019            |
| 65 | Coldex Limited                            | Pantomath<br>Capital Advisors<br>(P) Ltd.      | IPO (Fresh<br>+ OFS)          | 29<br>November<br>2018    | Observation issued on March 15, 2019               |
| 66 | Lasa Supergenerics<br>Limited             | Saffron Capital<br>Advisors Private<br>Limited | Rights<br>Issue               | 06<br>November<br>2018    | Observation issued on April 23, 2019               |

| 67 | Penna Cement<br>Industries Limited                          | Edelweiss<br>Financial<br>Services Limited             | IPO (Fresh<br>+ OFS)          | 05-Nov-<br>2018         | Observation letter issued on May 31, 2019               |
|----|---|--|-------------------------------|-------------------------|---|
| 68 | Uniparts India<br>Limited                                   | Axis Capital<br>Limited                                | IPO (Fresh<br>+ OFS)          | 05<br>December<br>2018  | Observation issued on February 01, 2019                 |
| 69 | Indian Renewable<br>Energy<br>Development<br>Agency Limited | Yes Securities<br>(India) Limited                      | IPO (Fresh<br>Issue)          | 21<br>December<br>2018  | Observations issued on 16 February 2018                 |
| 70 | Antony Waste<br>Handling Limited                            | Equirus Capital<br>Private Limited                     | IPO (Fresh<br>Issue +<br>OFS) | 27<br>December<br>2018  | Observation issued on March 08, 2019                    |
| 71 | Bharat Gears<br>Limited                                     | Keynote<br>Corporate<br>Services Ltd.                  | Rights<br>Issue               | 11<br>December<br>2018  | Observation issued on February 14, 2019                 |
| 72 | Shriram Properties<br>Limited                               | Axis Capital<br>Limited                                | IPO (Fresh<br>Issue +<br>OFS) | 26<br>December<br>2018  | Observation issued on April 09, 2019                    |
| 73 | Aruna Hotels<br>Limited                                     | Systematix<br>Corporate<br>Services Limited            | Rights<br>issue               | 30-Jan-19               | Observation letter issued on May 14, 2019               |
| 74 | MSTC Limited  | Equirus Capital<br>Private Limited                     | IPO (OFS)                     | 01<br>February<br>2019  | Observation issued on February 28, 2019                 |
| 75 | Reliance General<br>Insurance<br>Company Ltd.               | Motilal Oswal<br>Investment<br>Advisors Limited        | IPO<br>(Fresh+<br>OFS)        | 08<br>February,<br>2019 | Withdrawn by LM<br>vide email dated<br>October 24, 2019 |
| 76 | Zuari Agro<br>Chemicals Limited                             | JM Financial<br>Limited                                | Rights<br>Issue               | 20-Feb-<br>2019         | Observation letter issued on June 25, 2019              |
| 77 | Bharti Airtel<br>Limited                                    | Axis Capital<br>Limited                                | Rights<br>Issue               | 06 March,<br>2019       | Observation issued on April 05, 2019                    |
| 78 | Powerica Limited  | ICICI Securities<br>Limited                            | IPO(OFS)                      | 08- Mar-19              | Observation letter issued on June 7, 2019               |
| 79 | Pacific Industries<br>Limited                               | IndiaNivesh<br>Corporate<br>Finance Private<br>Limited | Rights<br>Issue               | 18-Mar-19               | Observation letter issued on June 7, 2019               |

| 80 | Satyasai Pressure<br>Vessels Limited | Aryaman<br>Financial<br>Services     | IPO (Fresh<br>+ OFS) | 15-Mar-19         | Observation letter issued on June 21, 2019  |
|----|--------------------------------------|--------------------------------------|----------------------|-------------------|---|
| 81 | Annai Infra<br>Developers Ltd        | Pantomath<br>Capital Advisors<br>Ltd | IPO (Fresh<br>Issue) | 30 March,<br>2019 | Observation letter issued on July 12, 2019. |