

GENERAL INFORMATION ABOUT THE AIF		
Sl. No	Particulars	Details
1	Name of the AIF	
2	PAN of the AIF	
3	Registration Number	
4	Legal Structure of the AIF	
5	Category and Sub-category of the AIF	
6	Name of the Sponsor	
7	Email address of Sponsor	
8	Name of the Manager	
9	Email address of Manager	
10	Name of the Trustee	
11	Email address of Trustee	
12	No. of Schemes taken on record by SEBI under the Registration	
13	No. of Schemes launched under the Registration	

CONTACT INFORMATION		
1	Name of the contact person	
2	Email of the contact person	
3	Mobile no. of the contact person	

GENERAL INFORMATION ON SCHEMES OF AIF

Table 1: Brief Details of the schemes of AIF													
Sl. No	Name of Scheme	PAN of the Scheme (if any)	Type of Scheme	Target Corpus (incl. Green shoe option) (INR Crore)	Tenure (Not including extension)	Date of launch of scheme	Initial Close	Final Close	End Date of terms of the Scheme	Any Extension of Term permitted as per fund documents (Yes/No)	End Date of Extended Term	No. of Investors	No. of Investee Companies
1													
2													
3													
4													

Note: "Type of Scheme" shall be either "Open ended" or "Close ended"

"Tenure" shall be inputed as number of years

"No. of Investee Companies" shall only include investments made as per the investment objective of the Scheme, and not the temporary investments

PAN of individual schemes to be furnished if each scheme has a separate PAN from that of the AIF. In all other cases, PAN of the AIF may be furnished.

SCHEME LEVEL INVESTMENT DATA

Table 1: Details of capital raised and investments made by the AIF (in INR Crores)											
Sl. No	Name of Scheme	Total Commitments raised as at the end of the quarter (INR Crore) (A1)	Cumulative Funds Raised by the Scheme				Cumulative Investments made by the Scheme				
			At the beginning of the quarter (A2)	Additions during the quarter (B2)		Distributions during the quarter (C2)	At the end of the quarter (A2+B2)- (C2)	At the beginning of the quarter (A3)	Additions during the quarter (B3)	Divestment during the quarter (C3)	At the end of the quarter (A3+B3)- (C3)
				Funds drawn from committed capital	Re-invested capital						
1											
2											
3											
4											

Note: For reporting of total capital commitments (A1), commitments raised in non-INR Currency shall be converted to INR at the exchange rate of the day on which the contribution agreement is entered into. "Distributions during the quarter" shall mean any amount paid out to the investors or on behalf of investors (e.g. redemption, tax, indemnification, etc.) In case of Social venture Funds, "Cumulative Funds Raised by the Scheme" should include funds raised through grants and "Cumulative Investments Made by the Scheme " should include grants extended

Table 2: Investments in Unlisted Securities of Investee Companies, as at the end of the quarter (in INR Crores)								
Sl. No	Name of Scheme	Unlisted Securities of Investee Companies						
		Equity	LLP interest	Equity linked instruments	Unlisted Corporate Debt	Securitized debt	Other instruments	Total
1								
2								
3								
4								

SCHEME LEVEL INVESTMENT DATA

Table 3: Investments in Listed/ To be listed Securities of Investee Companies, as at the end of the quarter (in INR Crores)									
Sl. No	Name of Scheme	Listed Securities of Investee Companies							
		Equity listed on Main Board of Stock Exchanges	Equity proposed to be listed on Main Board of Stock Exchanges	Equity listed on SME Exchanges	Equity proposed to be listed on SME Exchanges	Listed debt securities	Debt securities proposed to be listed	Other instruments	Total
1									
2									
3									
4									

Table 4: Investment in units of AIFs								
Amount Invested in units of other AIFs (Category wise), as at the end of the quarter (in INR Crores)								
Sl. No	Name of Scheme	Category I Alternative Investment Fund- Infrastructure Fund	Category I Alternative Investment Fund- SME Fund	Category I Alternative Investment Fund- Social Venture Fund	Category I Alternative Investment Fund- Venture Capital Fund	Category II Alternative Investment Fund	Category III Alternative Investment Fund	Total
1								
2								
3								
4								

Table 5: Other Permissible Investments by AIF, as at the end of the quarter (in INR Crores)											
Sl. No	Name of Scheme	Security Receipts- Listed	Security Receipts- Unlisted	Units of Liquid Mutual Fund Schemes	Government Securities	Grants extended	Units of REITs	Units of InvITs	Others (Listed)	Others (Unlisted)	Total
1											
2											
3											
4											

Table 6: Information on dealing in derivatives									
Sl. No	Name of Scheme	Investment in Derivatives (Notional Value at the end of quarter in INR Crores)							Amount paid as margin for transactions in derivatives, as on last day of the quarter (in INR Crores)
		F&O - Equity	Currency Futures	Interest Rate Futures	F&O- Commodities (Agri)	F&O- Commodities (Non-Agri)	Unlisted derivatives	Total exposure in derivatives	
1									
2									
3									
4									

SCHEME LEVEL INVESTMENT DATA

Table 7: Investment in Startups as at the end of the quarter			
Sl. No	Name of Scheme	Investments in startups (in INR Crores)	No. of startups
1			
2			
3			
4			

Table 8: Domestic and overseas investments, as at the end of the quarter				
Sl. No	Name of Scheme	Investment in domestic entities (in INR Crores)	Investment in offshore VCUs (in INR Crores)	Investment in offshore VCUs (in USD Million)
1				
2				
3				
4				

Note: For "Investment in offshore VCUs" actuals in rupee terms is to be reported.

Table 9: Hedging and Leverage Status			
Sl. No	Name of Scheme	Does the scheme carry out hedging transactions? (Y/N)	Does the scheme carry out leveraged transactions? (Y/N)
1			
2			
3			
4			

FUND CURRENCY INFORMATION

Table 1: Details of capital raised by AIFs in rupees terms and non-rupee terms							
Sl. No	Name of Scheme	No. of investors that have capital commitment in INR (Nos.)	No. of investors that have capital commitment in non-INR currency (Nos.)	Commitments raised in INR (INR Crore)	Commitments raised in non-INR currency (Mn USD)	Funds raised in INR (INR Crore)	Funds raised in non- INR currency (Mn USD)
1							
2							
3							
4							

"No. of investors that have capital commitment in non-INR currency" shall include all investors whose commitment as per their respective contribution agreement is in non-INR currency

"Funds raised" means capital drawn down from investor or re-invested from the investor's share in distribution less redemptions

Commitments raised in currency other than Indian Rupee shall be reported in USD equivalents, at the exchange rate of the day on which the contribution agreement is signed.

VALUATION OF ASSETS

Table 1: Details of Valuation						
Sl. No	Name of Scheme	Cumulative Investments Made by the Scheme at the end of quarter (in INR Crores)	Latest Valuation of the investments of the scheme (in INR Crores)	Date of Valuation	Cash in hand as on last day of quarter (in INR Crores)	Value of temporary investments as on last day of quarter (in INR Crores)
1						
2						
3						
4						

BORROWING DETAILS OF AIF

Table 1: Details of borrowing by the AIF							
Sl. No	Name of Scheme	Temporary Borrowing by the Scheme (in INR Crores)				Break up of borrowed funds (in INR Crores)	
		At the beginning of the quarter (A)	Additions during the quarter (B)	Repayment during the quarter (C)	At the end of the quarter (A+B)-(C)	Amount borrowed for meeting operating expenses (D)	Amount borrowed for any other purpose (E)
1							
2							
3							
4							

DATA PERTAINING TO CATEGORY I - ALTERNATIVE INVESTMENT FUNDS- SOCIAL VENTURE FUNDS

Table 1: Investment in Public charitable trusts (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 2: Investment in Societies registered for charitable purposes or for promotion of science, literature, or fine arts (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 3: Investment in Companies registered under section 8 of the Companies Act, 2013 (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

DATA PERTAINING TO CATEGORY I - ALTERNATIVE INVESTMENT FUNDS- SOCIAL VENTURE FUNDS

Table 4: Investment in Microfinance institutions (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 5: Investment in Other Social Venture Undertakings (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 6: Other Investments (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

DATA PERTAINING TO CATEGORY I - ALTERNATIVE INVESTMENT FUNDS- SOCIAL VENTURE FUNDS

Table 7: Details of Grants received and Grants extended									
Sl. No	Name of Scheme	Grants Received (in INR Crores)			(Nos.)	Grants Extended (in INR Crores)			(Nos.)
		At the beginning of the quarter (A1)	Additions during the quarter (B1)	At the end of the quarter (C1)	No. of investors who have extended grants (as on the last day of the quarter)	At the beginning of the quarter (A2)	Additions during the quarter (B2)	At the end of the quarter (C2)	No. of investee companies to whom SVF has extended grants (as on the last day of the quarter)
1									
2									
3									
4									

DATA PERTAINING TO CATEGORY I -ALTERNATIVE INVESTMENT FUNDS- VENTURE CAPITAL FUNDS

Table 1: Investments in startups (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 2: Companies listed or proposed to be listed on a SME exchange (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 3: Companies listed or proposed to be listed on the SME segment of an exchange (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

DATA PERTAINING TO CATEGORY I -ALTERNATIVE INVESTMENT FUNDS- VENTURE CAPITAL FUNDS

Table 4: Financially weak companies or sick industrial companies as referred in Reg 16(2) (b) (iv) of SEBI (AIF) Regulations (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 5: Special purpose vehicles as referred in Reg 16(2) (b) (v) of SEBI (AIF) Regulations (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 6: Other Investments (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

DATA PERTAINING TO CATEGORY I -ALTERNATIVE INVESTMENT FUNDS- SME FUNDS

Table 1: Investments in Micro Manufacturing Enterprises and Micro Enterprises rendering Services (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 2: Investments in Small Manufacturing Enterprises and Small Enterprises rendering Services (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 3: Investments in Medium Manufacturing Enterprises and Medium Enterprises rendering Services (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

Table 4: Other Investments (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

DATA PERTAINING TO CATEGORY I -ALTERNATIVE INVESTMENT FUNDS- INFRASTRUCTURE FUNDS

Table 1: Investments in unlisted securities or units or partnership interest of venture capital undertaking or investee companies or special purpose vehicles, which are engaged in or formed for the purpose of operating, developing or holding infrastructure projects (in INR Crores)					
Sl. No	Name of Scheme	At the beginning of the quarter (A)	Additions during the quarter (B)	Divestment during the quarter (C)	At the end of the quarter (A+B)-(C)
1					
2					
3					
4					

MAJOR INVESTMENTS BY THE AIF

Table 1: Calculation of Investable Funds				
Sl. No	Name of Scheme	Total Commitments raised as on last date of the reporting period (A)	Estimated Expenses (B)	Investible Funds (A-B)
1				
2				
3				
4				

Note: *Estimated expenses' should be calculated in line with the information on expenses provided in the PPM. In case of unexpected additional expenses, the amount may be appropriately revised in the subsequent report(s)*

Table 2: Investee Companies where substantial investments have been made directly or indirectly through investment in units of other AIF								
Sl. No	Name of Scheme	Name of Investee Company	Specify whether the investee company is listed or unlisted	Whether investee company is an associate of the Sponsor or Manager (Y/N)	If associate, then nature of association	Amount Invested (in INR Crores)	Investment as % of net investable funds	% - investment in equity <i>vis-a-vis</i> the total paid-up capital of investee company
1								
2								
3								
4								

Note: *Category I and II AIFs to provide information of all investee companies in which the investment is above 10% of the net investable funds
Category III AIFs to provide information of all investee companies in which the investment is above 5% of the net investable funds
Enter details of each investee company in a separate row. Each scheme may have multiple entries*

Table 3: Major investments in Commodity Derivatives				
Sl. No	Name of Scheme	Name of Commodity Derivative	Amount Invested (in Rs Cr)	Investment as % of net investable funds
1				
2				
3				
4				

Note: *All commodity derivative contracts in which the investment is above 5% of the net investable funds*

SECTOR-WISE INVESTMENTS BY AIF

Table 1: Cumulative Net investment in each sector at the end of quarter (in INR Crores)			
Sector Name	Name of Scheme 1	Name of Scheme 2	Name of Scheme
	Amount Invested (in INR Crores)	Amount Invested (in INR Crores)	Amount Invested (in INR Crores)
Agriculture & Allied activities			
Aerospace & Defense			
Air freight & logistics			
Airways			
Auto Components			
Automobiles			
Banks			
Beverages			
Biotechnology			
BPOs			
Building Products			
Capital Markets			
Cement			
Chemicals			
Commercial services & Supplies			
Communications Equipment			
Construction & Engineering			
Construction materials			
Consumer Durables			
Consumer Finance			
Containers & Packaging			
Dairy Industry			
Defence			
Derivatives			
Distributors			
Diversified Consumer Services			
Diversified Financial Services			
Diversified Telecommunication Services			
E-Commerce			
Education & Training			
Electric Utilities			
Electrical Equipment			
Electronic Equipment, Instruments & Components			
Energy Equipment & Services			
Engineering & Capital Goods			
Entertainment			
Equity Real Estate Investment Trusts (REITs)			
Ferrous Metals			
Fertilisers			
Financial Services			
FMCG			
Food & Staples Retailing			
Food Products			
Gas Utilities			
Gems & Jewellery			
Hardware			

SECTOR-WISE INVESTMENTS BY AIF

Table 1: Cumulative Net investment in each sector at the end of quarter (in INR Crores)			
Sector Name	Name of Scheme 1	Name of Scheme 2	Name of Scheme
	Amount Invested (in INR Crores)	Amount Invested (in INR Crores)	Amount Invested (in INR Crores)
Health Care Equipment & Supplies			
Health Care Providers & Services			
Health Care Technology			
Hotels, Restaurants & Leisure			
Household Durables			
Household Products			
Independent Power and Renewable Electricity Producers			
Industrial Parks			
Industrial Products			
Insurance			
Interactive Media & Services			
Internet & Direct Marketing Retail			
IT/ ITes			
Leisure Products			
Life Sciences Tools & Services			
Logistics			
Machinery			
Manufacturing			
Marine			
Media & Entertainment			
Metallurgy			
Metals & Mining			
Mortgage Real Estate Investment Trusts (REITs)			
Multiline Retail			
Multi-Utilities			
Nanotechnology			
NBFCs			
Non - Ferrous Metals			
Oil, Gas & Consumable Fuels			
Others			
Packaging & Labelling			
Paper & Forest Products			
Personal Products			
Pesticides			
Petroleum Products			
Pharmaceuticals			
Poultry Industry			
Power			
Production of Bio-Fuels			
Professional Services			
Railways			
Real Estate			
Real Estate Management & Development			
Renewable energy			
Research & Development			

SECTOR-WISE INVESTMENTS BY AIF

Table 1: Cumulative Net investment in each sector at the end of quarter (in INR Crores)			
Sector Name	Name of Scheme 1	Name of Scheme 2	Name of Scheme
	Amount Invested (in INR Crores)	Amount Invested (in INR Crores)	Amount Invested (in INR Crores)
Retail			
Road Transport			
Robotics			
Science & Technology			
Seed R&D			
Semiconductors & Semiconductor Equipment			
Shipping & Ports			
Software			
Specialty Retail			
Technology Hardware, Storage & Peripherals			
Telecom - Equipment and Accessories			
Telecom - Services			
Textiles, Apparel & Luxury Goods			
Thriffs & Mortgage Finance			
Tobacco			
Tourism & Hospitality			
Trading Companies & Distributors			
Transportation infrastructure			
Urban Infrastructure			
Water Transport			
Water Utilities			
Wireless Telecommunication Services			
Total			

INFORMATION ON CO-INVESTMENT WITH AIF

Table 1: Details of investee companies and co-investor							
Sl. No	Name of Scheme	Name of Investee Company in which co-investment is made	Type of investment	Name of Co-investor	Relationship of Co-investor with AIF/ Sponsor/ Manager	Investment by AIF in the investee company (in INR Crores)	Investment made by Co-investor (in INR Crores)
1							
2							
3							
4							

All such co-investments deals are to be reported for which the negotiation of investment opportunity was carried out by the Manager of the AIF for both Fund and Co-investor

Enter details of each co-investment in a separate row

For type of investment, mention the type of security (equity/ debt/ Security Receipts, etc.) through which investment is made

INFORMATION ON WAREHOUSING OF INVESTMENTS BY AIF

Table 1: Details of warehoused investments of the AIF

Sl. No	Name of Scheme	Details of Final Close of Scheme		Name of Warehoused investment, not yet transferred to the Scheme	Type of investment	Name of Warehousing Entity	Relationship of Warehousing Entity with AIF/ Sponsor/ Manager	Date of warehousing of investment	Value of Warehoused Investment (in INR Crores)	Whether disclosed to all the investors (Y/N)
		Whether final close has been announced (Y/N)	If yes, date of final close							
1										
2										
3										
4										

Note: All the information provided in the table shall be as of last day of the quarter, i.e cumulative data
Enter details of each warehoused investment by a scheme in separate rows
For type of investment, mention the type of security (equity/ debt/ Security Receipts, etc.) through which investment is done

DETAILS OF COMPLAINTS

Table 1: No. of Complaints against AIF/ Manager									
Sl. No	Name of Scheme	No. of complaints pending at the beginning		Additions during the quarter		Resolved during the quarter		No. of complaints pending at the end of the	
		Received through SCORES	Received directly by the AIF/ Manager	Received through SCORES	Received directly by the AIF/ Manager	Received through SCORES	Received directly by the AIF/ Manager	Received through SCORES	Received directly by the AIF/ Manager
1									
2									
3									
4									

DETAILS OF ARBITRATION

Table 1: Details of Arbitration				
Sl. No	Name of Scheme	Total Arbitration filed (A)	Arbitration Resolved (B)	Arbitration Pending (C) = (A-B)
1				
2				
3				
4				

Reporting of Suspension of Redemptions

Table 1: Reporting of Suspension of redemptions by Category III AIFs						
Sl. No	Name of Scheme	Start date of suspension period	End date of suspension period	Date of intimation to Investors		Remarks, if any
				About suspension of redemption	About resumption of normal operations	
1						
2						
3						
4						

Note: "Suspension Period" is the period for which redemption is suspended by the Manager of AIF

Reporting of Audit of PPM terms

Table 1: Submission of report of audit of PPM terms or certificate for non-applicability of audit			
Sl. No	Name of Scheme	Document Submitted	Date of submission to SEBI
1			
2			
3			
4			

Note: *Document submitted may be selected from a list box with options "Audit Report" and "Certificate" for non-applicability of audit*