

Information of documents for which observations issued by SEBI

| Sl. No. | Issuer company | Coordinating LM (Pre-issue) | Type of Issue | Draft OD receipt date | Processing Status |
|---------|--|---|---------------|-----------------------|---|
| 1 | Claris Lifesciences Limited | Enam Securities Private Limited | IPO | April 19,2010 | Observations Issued on August 30, 2010. |
| 2 | Orient Green Power Company Limited | JM Financial Consultants Private Limited | IPO | April 20, 2010 | Observations Issued on July 21, 2010. |
| 3 | Shirdi Industries Limited | Yes Bank Limited. | IPO | April 21, 2010 | Observations Issued on December 24, 2012 |
| 4 | Automotive Stampings & Assemblies Limited. | PL Capital Markets Private Limited | RI | April 23, 2010 | Observations Issued on September 03, 2010. |
| 5 | Tecpro Systems Limited | SBI Capital Markets Limited | IPO | April 28, 2010 | Observations issued on September 02, 2010. |
| 6 | Trent Limited | J M Financial Consultants Private Limited | RI | May 11, 2010 | Observations Issued on June 28, 2010. |
| 7 | Greatship (India) Limited | Kotak Mahindra Capital Company Limited | IPO | May 12, 2010 | Observations Issued on October 06, 2010. |
| 8 | One 97 Communications Limited | IDFC Capital Limited | IPO | May 20, 2010 | Observations Issued on October 25, 2010. |
| 9 | Advanta India Limited | Yes Bank Limited | RI | May 24, 2010 | Withdrawn by LM vide letter dated December 20, 2010 |
| 10 | RRP Infra Projects Limited | VC Corporate Advisors Private Limited | IPO | June 01, 2010 | Observations Issued on August 14, 2010. |

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| 11 | Rama Newsprint & Papers Limited | SMC Capitals Limited | RI | June 08, 2010 | Observations issued on April 22, 2011 |
| 12 | Engineers India Limited | ICICI Securities Limited | FPO | June 15, 2010 | Observations Issued on July 08, 2010. |
| 13 | IND-Barath Power Infra Limited | JM Financial Consultants Private Limited | IPO+OFS | June 21, 2010 | Observations Issued on October 11, 2010. |
| 14 | NKG Infrastructure Limited | Enam Securities Private Limited | IPO | June 24, 2010 | Withdrawn by LM vide letter dated August 27, 2012 |
| 15 | Milestone Capital Advisors Limited | IDFC Capital Limited | IPO | June 25, 2010 | Withdrawn by LM vide letter dated February 17, 2011 |
| 16 | Shekhawati Poly-Yarn Limited | Hem Securities Limited | IPO | June 28, 2010 | Observations Issued on November 01, 2010. |
| 17 | Murudeshwar Ceramics Limited | Karvy Investor Services Limited | RI | June 28, 2010 | Observations issued on September 07, 2010. |
| 18 | Planet 41 Mobi-Venture Limited | Keynote Corporate Services Limited | IPO | June 29, 2010 | Observations issued on September 21, 2011 |
| 19 | Rajputana Stainless Limited | Aryaman Financial Services Limited | IPO | June 30, 2010 | Withdrawn by LM vide letter dated November 11, 2011 |
| 20 | Jain Infraprojects Limited | IDBI Capital Market Services Limited | IPO | June 30, 2010 | Withdrawn by LM vide letter dated October 03, 2012 |
| 21 | KMC Speciality Hospitals (India) Limited | VB Desai Financial Services Limited | RI | July 07, 2010 | Observations Issued on December 16, 2010 |

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| 22 | VMS Industries Limited | Ashika Capital Limited | IPO | July 07, 2010 | Observations Issued on March 28, 2011. |
| 23 | Virgo Engineers Limited | IDFC Capital Limited | IPO | July 09, 2010 | Observations Issued on September 01, 2010. |
| 24 | Tunip Agro Limited | Keynote Corporate Services Limited | IPO | July 09, 2010 | Withdrawn by LM vide letter dated September 04, 2012. |
| 25 | Embassy Property Developments Limited | UBS Securities India Private Limited | IPO | July 12, 2010 | Observations Issued on March 07, 2011 |
| 26 | Entertainment world Developers Limited | ICICI Securities Limited | IPO | July 12, 2010 | Withdrawn by LM vide letter dated August 01, 2011 |
| 27 | SRS Limited | Karvy Investor Services Limited | IPO | July 12, 2010 | Observations issued on April 08, 2011. |
| 28 | Remidicherla Infra & Power Limited | Intensive Fiscal Service Pvt. Limited | RI | July 26, 2010 | Observations issued on April 20, 2011 |
| 29 | Birla Pacific Medspa Limited | Arihant Capital Markets Limited | IPO | July 28, 2010 | Observation Issued on February 04, 2011. |
| 30 | A2Z Maintenance & Engineering Services Limited | IDFC Capital Limited | IPO + OFS | July 29, 2010 | Observations Issued on October 18, 2010. |
| 31 | Betul Oil Limited | Anand Rathi Advisors Limited | IPO | July 30, 2010 | Observations Issued on January 19, 2011. |
| 32 | BAMPSL Securities Limited | Arihant Capital Markets Limited | RI | August 06, 2010 | Observations Issued on January 21, 2011. |

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| 33 | Tulsyan NEC Limited | Keynote Corporate Services Limited | RI | August 09,2010 | Observations Issued on December 31 ,2010 |
| 34 | Coal India Limited | Citigroup Global Markets India Private Limited | IPO | August 09, 2010 | Observations Issued on September 20, 2010. |
| 35 | Future Ventures India Limited | Enam Securities Private Limited | IPO | August 13, 2010 | Incomplete documentation. Processing commenced from the date of receipt of complete documentation, which has been taken as the inward date (Refer to sr. no. 42) |
| 36 | SRM Energy Limited | Arihant Capital Markets Limited | RI | August 16, 2010 | Observation Issued on February 08, 2011. |
| 37 | Arrow Coated Products Limited | Aryaman Financial Services Limited | RI | August 18, 2010 | Observation Issued on February 25, 2011. |
| 38 | Uflex Limited | Almondz Global | RI | August 20,2010 | Withdrawn by LM vide letter dated October 14, 2010. |
| 39 | Galaxy Surfactants Limited | Motilal Oswal Investment Advisors Private Limited | IPO | August 23,2010 | Observations Issued on December 24, 2010 |
| 40 | Punjab & Sind Bank Limited | SBI Capital Markets Limited | IPO | August 27, 2010 | Observations Issued on November 09, 2010. |
| 41 | Omkar Speciality Chemicals Limited | Almondz Global Securities Limited | IPO | August 27, 2010 | Observations Issued on November 22 , 2010 |

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| 42 | Dev Procon Limited | Nirbhay Capital Services Private Limited | IPO | August 30,2010 | Observations Issued on March 09,2011 |
| 43 | Midvalley Entertainment Limited | Aryaman Financial Services Limited | IPO | August 30,2010 | Observations Issued on October 29, 2010 |
| 44 | Wintac Limited | Arihant Capital Markets Limited | RI | August 31,2010 | Observations issued on February 15, 2011 |
| 45 | Shilpi Cable Technologies Limited | D & A Financial Services Private Limited | IPO | September 01,2010 | Observations Issued on January 24, 2011 |
| 46 | Future Ventures India Limited | Enam Securities Private Limited | IPO | September 02,2010 | Observations Issued on January 21, 2011 |
| 47 | Indo Green Projects Limited | Systematix Corporate Services Limited | RI | September 03,2010 | Withdrawn by LM vide letter dated May 13, 2011 |
| 48 | SVEC Constructions Limited | Almondz Global Securities Limited | IPO | September 03,2010 | Observations Issued on January 11, 2011. |
| 49 | Servalakshmi Paper Limited | Keynote Corporate Services Limited | IPO | September 06,2010 | Observation Issued on February 04, 2011. |
| 50 | Sudar Garments Limited | Ashika Capital Limited | IPO | September 07,2010 | Observations Issued on December 22, 2010 |
| 51 | IOT Infrastructure & Energy Services Limited | SBI Capital Markets Limited | IPO | September 07,2010 | Observations Issued on November 22 , 2010 |
| 52 | Aanjaneya Lifecare Limited | Anand Rathi Advisors Limited | IPO | September 13, 2010 | Observations Issued on March 31, 2011. |

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| 53 | Lavasa Corporation Limited | ICICI Securities Limited | IPO | September 14, 2010 | Observations Issued on November 11, 2010. |
| 54 | Aarey Drugs & Pharmaceuticals Limited | Vertex Securities Limited | RI | September 16, 2010 | Observation Issued on February 28, 2011. |
| 55 | Blend Financial Services Limited | PL Capital Markets Private Limited | IPO | September 21, 2010 | Observations issued on June 10, 2011 |
| 56 | Ankit Metal & Power Limited | Sumedha Fiscal Services Limited | RI | September 21, 2010 | Observation Issued on February 08, 2011. |
| 57 | Tonira Pharma Limited | Imperial Corporate Finance & Services Private Limited | RI | September 21, 2010 | Observations issued on April 06, 2011 |
| 58 | AGS Transact Technologies Limited | Aventus Capital Private Limited | IPO | September 23, 2010 | Observations Issued on December, 31 2010 |
| 59 | P & G Electroplast Limited | Almondz Global Securities Limited | IPO | September 23, 2010 | Observations Issued on December, 29 2010 |
| 60 | Rama Medicare Limited | Keynote Corporate Services Limited | IPO | September 23, 2010 | Observations issued on September 08, 2011 |
| 61 | Kalpataru Limited | Morgan Stanley India Company Private Limited | IPO | September 23, 2010 | Observations issued on November 29, 2011 |
| 62 | Paramount Print Packaging Limited | One Life Capital Advisors Private Limited | IPO | September 24, 2010 | Observations Issued on January 28, 2011 |
| 63 | MOIL Limited | Edelweiss Capital Limited | IPO(OFS) | September 27, 2010 | Observations Issued on November 11, |

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| 64 | Hindustan Copper Limited | ICICI Securities Limited | FPO+OFS | September 27, 2010 | Observations Issued on November 10, 2010 |
| 65 | Timbor Home Limited | Corporate Strategic Allianz Limited | IPO | September 27, 2010 | Observations Issued on April 11, 2011 |
| 66 | Aravali Infrapower Limited | SPA Merchant Bankers Limited | IPO | September 27, 2010 | Observations Issued on March 17, 2011 |
| 67 | L&T Finance Holdings Limited | JM Financial Consultants Private Limited | IPO | September 28, 2010 | Observations Issued on December 01, 2010 |
| 68 | Fineotex Chemical Limited | Indbank Merchant Banking Services Limited | IPO | September 28, 2010 | Observations Issued on January 19, 2011 |
| 69 | EIH Limited | Citigroup Global Markets India Private Limited | Rights | September 29, 2010 | Observations Issued on December 03, 2010 |
| 70 | Vaswani Industries Limited | Ashika Capital Limited | IPO | September 29, 2010 | Observations Issued on January 10, 2011 |
| 71 | Endurance Technologies Limited | Kotak Mahindra Capital Company Limited | IPO | September 29, 2010 | Observations Issued on December, 14 2010 |
| 72 | Micromax Informatics Limited | JM Financial Consultants Private Limited | IPO | September 29, 2010 | Observations Issued on January ,14 2011 |
| 73 | Tijaria Polypipes Limited | Hem Securities Limited | IPO | September 30, 2010 | Observations Issued on February 08, 2011. |

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| 74 | Tara Health Foods Limited | SBI Capital Markets Limited | IPO | September 30, 2010 | Observations Issued on February 09, 2011. |
| 75 | Muthoot Finance Limited | ICICI Securities Limited | IPO | September 30, 2010 | Observations Issued on January 04, 2011 |
| 76 | Innoventive Industries Limited | Axis Bank Limited | IPO | September 30, 2010 | Observation Issued on February 28, 2011. |
| 77 | Pride Hotels Limited | Edelweiss Capital Limited | IPO | September 30, 2010 | Observations Issued on January ,13 2011 |
| 78 | Marck Biosciences Limited | Vivro Financial Services Private Limited | IPO | September 30, 2010 | Observation Issued on February 02, 2011. |
| 79 | Tara Jewels Limited | Enam Securities Private Limited | IPO | September 30, 2010 | Observations Issued on January ,04 2011 |
| 80 | Sanghvi Forging and Engineering Limited | Arihant Capital Markets Limited | IPO | September 30, 2010 | Observations Issued on March 28, 2011. |
| 81 | Magnum Ventures Limited | D& A Financial Services Limited | RI | September 30, 2010 | Observations Issued on March 22, 2011 |
| 82 | Nimbus Communications Limited | Edelweiss Capital Limited | IPO | September 30, 2010 | Withdrawn vide letter dated September 08, 2011 |
| 83 | Emaar MGF Land Limited | Kotak Mahindra Capital Company Limited | IPO | September 30, 2010 | Withdrawn by LM vide letter dated February 14, 2012 |
| 84 | Scotts Garments Limited | Keynote Corporate Services Limited | IPO | September 30, 2010 | Observations Issued on April 26, 2012 |

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| 85 | Sonear Industries Limited | Keynote Corporate Services Limited | IPO | September 30, 2010 | Withdrawn by LM vide letter dated June 07, 2012 |
| 86 | The Shipping Corporation of India Limited | SBI Capital Markets Limited | FPO+OFS | October 12, 2010 | Observations Issued on November 11, 2010 |
| 87 | The Karnataka Bank Limited | Edelweiss Capital Limited | RI | October 18, 2010 | Observations Issued on January 14, 2011 |
| 88 | Arrow Textiles Limited | Yes Bank Limited | RI | October 18, 2010 | Observations Issued on December 31, 2010 |
| 89 | Arman Financial Services Limited | Nirbhay Capital Services Private Limited | RI | October 27, 2010 | Observations Issued on July 12, 2011 |
| 90 | Palco Recycle Industries Limited | Onelife Capital Advisors Limited | IPO | November 01, 2010 | Withdrawn by LM vide letter dated Sept 26, 2012 |
| 91 | Murudeshwar Ceramics Limited | Karvy Investor Services Limited | RI | November 01, 2010 | Observations Issued on November 26, 2010 |
| 92 | Rodium Realty Limited | VIVRO Financial Services Private Limited | RI | November 03, 2010 | Withdrawn by LM vide letter dated May 10, 2011 |
| 93 | Shree Ram Urban Infrastructure Limited | Aryaman Financial Services Limited | RI | November 08, 2010 | Withdrawn by LM vide letter dated August 03, 2011 |
| 94 | Gemini Engi-Fab Limited | Hem Securities Limited | IPO | November 10, 2010 | Withdrawn by LM vide letter dated March 15, 2011 |
| 95 | Bharatiya Global Infomedia Limited | Almondz Global Securities Limited. | IPO | November 10, 2010 | Observations Issued on April 13, 2011 |

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| 96 | Lovable Lingerie Limited | Anand Rathi Advisors Limited | IPO | November 15, 2010 | Observations Issued on February 15, 2011. |
| 97 | Semantic Space Technologies Limited | India Infoline Limited | IPO | November 15, 2010 | Observations Issued on March 31, 2011 |
| 98 | The Karur Vysya Bank Limited | Karvy Investor Services Limited | RI | November 16, 2010 | Observations Issued on February 04, 2011. |
| 99 | Ramco Systems Limited | Centrum Capital Limited | RI | November 18, 2010 | Observations issued on February 02, 2011 |
| 100 | Soma Textiles & Industries Limited | Arihant Capital Markets Limited | RI | November 23, 2010 | Withdrawn by LM vide letter dated February 22, 2012 |
| 101 | Readymade Steel India Limited | Arihant Capital Markets Limited | IPO | November 24, 2010 | Observations Issued on March 18, 2011 |
| 102 | Brooks Laboratories Limited | D & A Financial Services Limited | IPO | November 25, 2010 | Observations issued on May 19, 2011. |
| 103 | Plastene India Limited | Motilal Oswal Investments Advisors Private Limited | IPO | November 25, 2010 | Observations Issued on May 10, 2011 |
| 104 | Max Flex & Imaging Systems Limited. | Anand Rathi advisors Limited | IPO | November 29, 2010 | Observations Issued on May 24, 2011 |
| 105 | Reid & Taylor (India) Limited | JM Financial Consultants Private Limited | IPO | December 09 , 2010 | Observations Issued on June 06, 2011 |
| 106 | Elforge Limited | Keynote Corporate Services Limited | RI | December 09 , 2010 | Observations issued on February 08, 2011 |
| 107 | Olympic Cards Limited | Ashika Capital Limited | IPO | December 09 , 2010 | Observations issued on April 06, 2011 |

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| 108 | Shree Hanuman Sugar & Industries Limited | Stellant Capital Advisory Service (P) Limited | IPO | December 14, 2010 | Withdrawn by LM vide letter dated September 08, 2011 |
| 109 | Sabari Inn Limited | Keynote Corporate Services Limited | IPO | December 16, 2010 | Observations issued on May 12, 2011 |
| 110 | State Bank Of Bikaner & Jaipur | BOB Capital Market Limited | RI | December 15, 2010 | Observations Issued on February 18, 2011. |
| 111 | Onelife Capital Advisors Limited | Atherstone Capital Markets Limited | IPO | December 15, 2010 | Observations Issued on June 09, 2011 |
| 112 | VRL Logistics Limited | SBI Capital Markets Limited | IPO | December 13 2010 | Observations Issued on march 10, 2011 |
| 113 | PTC India Financial Services Limited | SBI Capital Markets Limited | IPO | December 22, 2010 | Observations Issued on February 07, 2011. |
| 114 | NetAlter Software Limited | Arihant Capital Markets Limited | IPO | December 27, 2010 | Observations Issued on June 17, 2011 |
| 115 | Modern Tube Industries Limited | Aryaman Financial Services Limited | IPO | December 28, 2010 | Observations Issued on may 17, 2011 |
| 116 | Lokmat Media Limited | Kotak Mahindra Capital Comply Limited | IPO | December 29, 2010 | Observations Issued on march 11, 2011 |
| 117 | Velan Hotels Limited | Keynote Corporate Services Limited | RI | December 29, 2010 | Observations Issued on June 01, 2011 |
| 118 | Tata Autocomp System Limited | JM Financial Consultants Private Limited | IPO | December 30, 2010 | Observations Issued on June 13, 2011 |
| 119 | Taksheel Solutions Limited | PNB Investment Services limited | IPO | December 30, 2010 | Observations Issued on June 03, 2011 |

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| 120 | Trim Plastic Limited | Onelife Capital Advisors Limited | IPO | December 31, 2010 | Observations Issued on October 04,2011 |
| 121 | Atul Auto Limited | Sumedha Fiscal Services Limited | RI | January 07, 2011 | Observations Issued on June 08, 2011 |
| 122 | Point Red Telecom Limited | Motilal Oswal Investment Advisors Private Limited | IPO | January 07, 2011 | Withdrawn by LM vide letter dated May 04, 2011 |
| 123 | Comfort Securities Limited | Dalmia Securities Private Limited | IPO | January 07, 2011 | Withdrawn by LM vide letter dated May 31, 2012 |
| 124 | M and B Switchgears Limited | D & A Financial Private Limited | IPO | January 19, 2011 | Observations Issued on April 13, 2011 |
| 125 | Joyalukkas India Limited | Enam Securities Private Limited | IPO | January 21, 2011 | Observations Issued on march 22,2011 |
| 126 | Golden Securities Limited | VC Corporate Advisors Private Limited | RI | January 24,2011 | Withdrawn by LM vide letter dated March 15, 2011 |
| 127 | Tribhovandas Bhimji Zaveri Limited | IDFC Capital Limited | IPO | January 24,2011 | Observations Issued on May 11, 2011 |
| 128 | Goodwill Hospital and Research Centre Limited | SPA Merchant Bankers Limited | IPO | January 24,2011 | Observations issued on July 18, 2011. |
| 129 | Sanco Industries Limited | Mefcom Capital Markets Limited | IPO | January 25,2011 | Observations Issued on July 13, 2011 |
| 130 | Central Bank of India | ICICI Securities Limited | RI | January 25,2011 | Observations Issued on march 08,2011 |
| 131 | Gajra Differential Gears Limited | Ashika Capital Limited | IPO | January 31,2011 | Observations Issued on march 01,2012 |
| 132 | J K Paper Limited. | ICICI Securities Limited | RI | January 31, 2011 | Observations Issued on June 14, 2011 |

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| 133 | Jawed Habib Hair and Beauty Limited. | BoB Capital Markets Limited | IPO | January 31, 2011 | Withdrawn by LM vide letter dated January 03, 2012 |
| 134 | Arvind International Limited | Sumedha Fiscal Services Limited | RI | February 01, 2011 | Observations Issued on May 27,2011 |
| 135 | Rathanchand Jewellers Limited | PNB Investments Services Limited | IPO | February 04, 2011 | Withdrawn by LM vide letter dated April 08, 2011 |
| 136 | Muthoot Capital Services Limited | Karvy Investor Services Limited | RI | February 10, 2011 | Observations Issued on April 27,2011 |
| 137 | Adroit Industries (India) Limited | Chartered Capital and Investment Limited | IPO | February 15, 2011 | Observations Issued on May 04, 2011 |
| 138 | Super Religare Laboratories Limited | Nomura Financial Advisory & Securities (India) Private Limited | IPO | February 17, 2011 | Withdrawn by LM vide letter dated May 09, 2011 |
| 139 | Tree House Education & Accessories Limited | JM Financial Consultants Private Limited | IPO | February 21, 2011 | Observations Issued on June 10, 2011 |
| 140 | TD Power System Limited | Enam Securities Private Limited | IPO | February 22, 2011 | Observations Issued on July 26 ,2011 |
| 141 | Ram Kaashyap Investments Limited | Vivro Financial Services Limited | RI | March 02, 2011 | Withdrawn by LM vide letter dated June 15, 2011 |
| 142 | Zodiac Ventures Limited | Intensive Financial Services Private Limited | RI | March 03, 2011 | Observations issued on September 09, 2011 |
| 143 | Bio Ethanol Agro Industries Limited | Almondz Global Securities Limited | IPO | March 07, 2011 | Observations issued on July 19,2011 |

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| 144 | Powerica Limited | JM Financial Consultants Private Limited | IPO | March 10, 2011 | Observations issued on October 11, 2011. |
| 145 | Speciality Restaurants Limited | Kotak Mahindra Capital Company Limited | IPO | March 11, 2011 | Observations Issued on July 05, 2011 |
| 146 | Ortel Communication Limited | IDFC capital Limited | IPO+OFS | March 17 2011 | Observation issued on August 26, 2011 |
| 147 | Power Finance Corporation Limited | DSP Merrill Lynch Limited | FPO | March 18 2011 | Observations Issued on on April 19, 2011 |
| 148 | Gayatri Projects Limited | Edelweiss Capital Limited | RI | March 22 2011 | Withdrawn by LM vide letter dated December 09 2011 |
| 149 | Arch Pharmalabs Limited | India Infoline Limited | IPO | March 24 2011 | Observation Issued on November 25, 2011 |
| 150 | Intas Pharmaceuticals Limited | Kotak Mahindra Capital Company Limited | IPO | March 25 2011 | Observations Issued on July 07, 2011 |
| 151 | Kamadgiri Fashion Limited | Enam Securities Private Limited | RI | March 25 2011 | Observations issued on September 06, 2011 |
| 152 | Jaishree Industries Limited | Ashika Capital Limited | IPO | March 25 2011 | Observations issued September 12, 2011 |
| 153 | Flexituff International Limited | Collins Stewart Inga | IPO+OFS | March 29, 2011 | Observations Issued on June 14, 2011 |
| 154 | Mukesh Udyog Limited | Corporate Strategic Allianz Limited | FPO | March 29, 2011 | Withdrawn by LM vide letter dated September 05 2012 |

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| 155 | Relcon Infraprojects Limited | SREI Capital Markets Limited | IPO | March 30, 2011 | Observations Issued on July 26, 2011 |
| 156 | L & T Finance Holdings Limited | JM Financial Consultants Private Limited | IPO | March 30, 2011 | Observations Issued on June 22, 2011 |
| 157 | Sheetal Refineries Limited | Chartered Capital And Investment Limited | IPO | March 30, 2011 | Observations Issued on August 17, 2011 |
| 158 | Prakash Constrowell Limited | Intensive Fiscal Services Private Limited | IPO | March 30, 2011 | Observations Issued on July 01, 2011 |
| 159 | Calyx Chemicals And Pharmaceuticals Limited | PL Capital Markets Private Limited | IPO | March 30, 2011 | Observation issued on September 02, 2011 |
| 160 | Prime Retail India Limited | Hem Securities Limited | IPO | March 30, 2011 | Withdrawn by LM vide letter dated October 21, 2011 |
| 161 | Broadcast Initiatives Limited | Saffron Capital Advisors Private Limited | RI | March 30, 2011 | Observations issued on October 31, 2011 |
| 162 | Neesa Leisure Limited | Centrum Capital Limited | IPO | March 30, 2011 | Observations Issued on August 18, 2011 |
| 163 | Advanta India Limited | Yes Bank | RI | March 30, 2011 | Observations Issued on August 23, 2012 |
| 164 | Multi Commodity Exchange of India Limited | Edelweiss Capital Limited | IPO (OFS) | March 31, 2011 | Observations issued on September 09, 2011. |
| 165 | Trinetra Cement Limited | MAPE Advisory Group Private Limited | RI | March 31, 2011 | Withdrawn by LM vide letter dated July 26, 2011 |
| 166 | APR Constructions Limited | BOB Capital Market Limited | IPO | April 07, 2011 | Observations issued on January 03, 2012. |

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| 167 | Kabirdass Motor Company limited | Karn Merchant Bankers Limited | IPO | April 18, 2011 | Observations Issued on August 12, 2011 |
| 168 | Vishwanath Projects limited | Karvy Investor Services Limited | IPO | April 19, 2011 | Observations Issued on August 04, 2011 |
| 169 | Indo Thai Securities Limited | Corporate Strategic Allianz Limited | IPO | April 28, 2011 | Observations Issued on July 29, 2011 |
| 170 | Swajas Air Charters Limited | Aryaman Financial Services Limited | IPO | May 03, 2011 | Observations Issued on August 17, 2011 |
| 171 | Elforge Limited | Keynote Corporate Limited | RI | May 05, 2011 | Observations Issued on June 15, 2011 |
| 172 | Religare Enterprises Limited | Nomura Financial Advisory & Securities (India) Private Limited | RI | May 06, 2011 | Withdrawn by LM vide letter dated November 16, 2011. |
| 173 | Bajaj Hindustan Limited | SBI Capital Markets Limited | RI | May 20, 2011 | Observations Issued on July 26, 2011 |
| 174 | Suditi Industries Limited | Vertex Securities Limited | RI | May 24, 2011 | Observations issued on September 21, 2011 |
| 175 | Madhya Bharat Agro Products Limited | Corporate Strategic Allianz Limited | IPO | May 27, 2011 | Returned to LM vide letter dated August 14, 2012. |
| 176 | State Bank Of Travancore | BOB Capital Markets Limited | RI | May 30, 2011 | Observations Issued on August 03, 2011 |
| 177 | ACB India Limited | IDFC Capital Limited | IPO | June 01, 2011 | Observations issued on October 25, 2012 |
| 178 | Sai Silks (Kalamandir) Limited | Ashika Capital Limited | IPO | June 03, 2011 | Observations issued on June 04, 2012 |

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| 179 | SKIL Infrastructure Limited | Edelweiss Capital Limited | IPO | June 06,2011 | Withdrawn by LM vide letter dated March 30, 2012. |
| 180 | MT Educare Limited | Enam Securities Limited | IPO+OFS | June 17,2011 | Observations issued on February 13, 2012 |
| 181 | Hemani Industries Limited | Ind Bank Merchant Banking Services Limited | IPO | June 19,2011 | Observations issued on September 22, 2011 |
| 182 | PCH Retail Limited | BOB Capital Markets Limited | IPO | June 27, 2011 | Observations issued on October 25, 2011. |
| 183 | Asian Logistics Limited | Almondz Global Securities Limited | IPO | June 27, 2011 | Observations issued on June 07, 2012 |
| 184 | CRP Technologies (India) Limited | Indbank Merchant Banking Services Limited | IPO | June 29, 2011 | Observations issued on December 26, 2011. |
| 185 | Trimax IT Infrastructure & Services Limited | Kotak Mahindra Capital Company Limited | IPO | June 30, 2011 | Observations issued on December 23, 2011. |
| 186 | Abhijeet Power Limited | DSP Merrill Lynch Limited | IPO | June 30, 2011 | Withdrawn by LM vide letter dated September 25, 2012. |
| 187 | Tejora Technologies Limited | Unicon Capital Services Private Limited | IPO | July 09, 2011 | Withdrawn by LM vide letter dated June 12, 2012. |
| 188 | Ind Eco ventures Limited | Saffron Capital Advisors Private Limited | IPO | July 14, 2011 | Withdrawn by LM vide letter dated March 20, 2012. |

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| 189 | JRG Securities Limited | Ambit Corporate Services Limited | RI | July 20, 2011 | Document returned to LM on August 25, 2011 since it was incomplete. |
| 190 | PME Power Solutions (India) Limited | Edelweiss Capital Limited | IPO | July 21, 2011 | Observations issued on March 21, 2012. |
| 191 | Automark Industries (India) Limited | Comfort Securities Limited | IPO | July 28, 2011 | Observations issued on October 24, 2011. |
| 192 | IFCI Factors Limited | Enam Securities Limited | IPO | July 28, 2011 | Observations issued on August 02, 2012. |
| 193 | Duplex Industries Limited | Arihant Capital Markets Limited | IPO | August 02, 2011 | Observations Issued on October 17, 2011. |
| 194 | VKS Projects Limited | Aryaman Financial Services Limited | IPO | August 08, 2011 | Observations issued on February 23, 2012. |
| 195 | Just Dial Limited | Citi Group Global | IPO | August 16, 2011 | Observations issued on April 17, 2012. |
| 196 | Rodium Realty Limited | Vivro Financial Services Pvt Limited | RI | August 29, 2011 | Observations issued on February 29, 2012. |
| 197 | JJI Industries Limited | Hem Securities Limited | IPO | September 09, 2011 | Withdrawn by LM vide letter dated January 21, 2012. |
| 198 | Birla Research & Life Sciences Limited | Arihant Capital Markets Limited | IPO | September 12, 2011 | Observations issued on March 07, 2012. |
| 199 | Stewarts and Lloyds of India Limited | MAPE Advisory Group Private Limited | RI | September 19, 2011 | Withdrawn by LM vide letter dated March 13, 2012 |

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| 200 | Shemaroo Entertainment Limited | Yes Bank Limited | IPO | September 20, 2011 | Issue Closed. Since the issuer was not in compliance with Reg 26(1) and 32 (1) of SEBI (ICDR) Reg, 2009. Intimation sent to LM vide Letter Dated Feb 14, 2012. |
| 201 | Infonet IT Solutions (i) Limited | Chartered Capital & Investment Limited | IPO | September 23, 2011 | Issue closed since, LM vide letter dated June 05, 2012 disassociated itself as the BRLM in respect of the IPO, Also the issuer company vide email dated July 09, 2012 informed SEBI that it intended to withdraw the DRHP |
| 202 | Fame India Limited | Enam Securities Private Limited | RI | September 27 2011 | Observation issued on December 26, 2011 |
| 203 | General Agri Corp Limited | BOB Capital Markets Limited | IPO | September 28,2011 | Withdrawn by LM vide its letter dated January 06, 2012 |
| 204 | Bothra Metals & Alloys Limited | Intensive Fiscal Services Private Limited | IPO | September 28, 2011 | Observations issued on April 02, 2012. |
| 205 | Samvardhana Mothersons Limited | Standard Chartered Securities (India) Limited | IPO | September 28,2011 | Observations issued on April 11, 2012. |
| 206 | Abhitech Energycon Limited | IndBank Merchant Banking Services Limited | IPO | September 29, 2011 | Withdrawn by LM vide its letter dated January 27, 2012 |

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| 207 | Unijules Life Sciences Limited | Anand Rathi Advisors Limited | IPO | September 29, 2010 | Observations issued on February 29, 2012. |
| 208 | IT Source Technologies Limited | Saffron Capital Advisors Private Limited | IPO | September 29, 2011 | Observations issued on March 07, 2012. |
| 209 | PC Jeweller Limited | SBI Capital Markets Limited | IPO | September 29, 2011 | Observations issued on March 12, 2012. |
| 210 | Times Academy Limited | Unicon Capital Services Pvt. Limited | IPO | September 29, 2011 | Withdrawn by LM vide letter dated May 24, 2012. |
| 211 | Supreme Alloys Limited | D&A Financial Services Pvt. Limited | IPO | September 29, 2011 | Observations issued on August 27, 2012. |
| 212 | TV vision Limited | Almondz Global Securities Limited | IPO | September 29, 2011 | Observations issued on September 04, 2012. |
| 213 | Bohra Industries Limited | Saffron Capital Advisor Limited | IPO | September 29, 2011 | Withdrawn by LM vide letter dated December,31, 2012. |
| 214 | Olympic Cards Limited | Ashika Capital Limited | IPO | September 30, 2011 | Observations issued on February 02, 2012. |
| 215 | Universal Energies Limited | Hem Securities Limited | IPO | September 30, 2011 | Observations issued on February 29, 2012. |
| 216 | Neuland Laboratories Limited | SBI Capital Markets Limited | RI | September 30, 2011 | Observations issued on March 12, 2012. |
| 217 | Bharat Heavy Electricals Limited | DSP Merrill Lynch Limited | FPO (OFS) | September 30, 2011 | Withdrawn by LM vide letter dated April 03, 2012. |

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| 218 | Gammon Infrastructure Projects Limited | Collins Stewart Inga Private Limited. | RI | September 30, 2011 | Observations issued on June 27, 2012. |
| 219 | Aurangabad Electricals Limited | Anand Rathi Advisors Limited | IPO+OFS | September 30, 2011 | Observations issued on August 13, 2012 |
| 220 | Idream Film Infrastructure Company Limited | Keynote Corporate Services Limited | RI | September 30, 2011 | Withdrawn by LM vide letter dated August 22, 2012. |
| 221 | Repco Home Finance Limited | SBI Capital Markets Limited | IPO | September 30, 2011 | Observations issued on August 21, 2012 |
| 222 | Credit Analysis Research Limited | Kotak Mahindra Capital Company Limited | IPO+OFS | September 30, 2011 | Observations issued on September 24, 2012. |
| 223 | Varahi Limited | Saffron Capital Advisors Private Limited | IPO | October 31, 2011 | Observations issued on April 24, 2012. |
| 224 | Hinduja Foundries Limited | HDFC Bank Limited | RI | November 04, 2011 | Observations issued on March 02, 2012 |
| 225 | A-Team Edutech Limited | Onelife Capital Advisors Limited | IPO | November 04, 2011 | Withdrawn by LM vide letter dated October 16, 2012. |
| 226 | Mahalaxmi Rubtech Limited | Saffron Capital Advisors Private Limited | RI | November 15, 2011 | Observations issued on August 24, 2012 |
| 227 | Uttam Sugar Mills Limited | Corporate Professionals Pvt. Limited | RI | December 02, 2011 | Observations issued on June 08, 2012 |
| 228 | E-Zest Solutions Limited | Choice Capital Advisors Private Limited | IPO | November 08, 2011 | Withdrawn by LM vide letter dated December 05, 2011. |
| 229 | Katha Mediatix India Limited | Stellant Capital Advisory Services (P) Limited | IPO | November 15, 2011 | Withdrawn by LM vide letter dated May 09, 2012. |

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| 230 | LGB Forge Limited | Keynote Corporate Services Limited | RI | December 07, 2011 | Observations issued on March 02, 2012 |
| 231 | National Oxygen Limited | Karn Merchant Bankers Limited | RI | December 07, 2011 | Observations issued on March 14, 2012 |
| 232 | E-Zest Solutions Limited | Choice Capital Advisors Private Limited | IPO | December 08, 2011 | Withdrawn by LM vide letter dated May 08, 2012. |
| 233 | Arihant Superstructures Limited | Dalmai Securities Private Limited | RI | December 09, 2011 | Observations issued on March 20, 2012 |
| 234 | Gayatri Projects Limited | Edelweiss Financial Services Limited | RI | December 16, 2011 | Observation issued on January 31, 2012. |
| 235 | Bluplast Industries Limited | Unicon Capital Services Limited | IPO | December 20, 2011 | Observation issued on October 11, 2012. |
| 236 | PreludeSys India Limited | Birla Capital and Financial Services Limited. | IPO | December 26, 2011 | Observations issued on June 13, 2012 |
| 237 | Tara Jewels Limited | Enam Securities Private Limited | IPO | December 30, 2011 | Observations issued on August 21, 2012 |
| 238 | Vishwanath Sugar and Steel Industries Limited | Ashika Capital Limited | IPO | January 03, 2012 | Observations issued on July 03, 2012 |
| 239 | EPC Industries Limited | Kotak Mahindra Capital Company Limited | RI | January 18, 2012 | Observations issued on April 18, 2012 |
| 240 | Hindusthaan Eco Ventures Limited | Comfort Securities Ltd. | IPO | January 18, 2012 | Withdrawn by LM vide letter dated June 05, 2012 |
| 241 | Sun Pharma Advanced Research | Ernst & Young Merchant Banking | RI | January 31, 2012 | Observations issued on April 25, 2012 |

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| | Company Limited | Services Private Limited | | | |
| 242 | National Building & Construction Corporation Limited | IDBI Capital Market Services Limited | IPO + OFS | February 09, 2012 | Observations issued on March 06, 2012 |
| 243 | Bhushan Steel Limited | ICICI Securities Limited | RI | February 22, 2012 | Observation issued on August 22, 2012 |
| 244 | Usher Eco Power Limited | IDBI Capital Market Services Limited | IPO | February 03, 2012 | Observation issued on September 25, 2012 |
| 245 | Altret Bio – Tech Limited | Comfort Securities Limited | IPO | February 14, 2012 | DRHP withdrawn by LM vide letter dated October 05, 2012 |
| 246 | UIC Udyog Limited | BOB Capital Markets Limited | IPO | March 06, 2012 | Observation issued on August 06, 2012 |
| 247 | TV 18 Broadcast Limited | ICICI Securities Limited | RI | March 06, 2012 | Observation issued on August 17, 2012 |
| 248 | Network 18 Media & Investments Limited | ICICI Securities Limited | RI | March 06, 2012 | Observation issued on August 17, 2012 |
| 249 | Harisons Steel Limited | Comfort Securities Limited | IPO | March 12, 2012 | Observations issued on August 03, 2012 |
| 250 | EIH Associated Hotels Limited | Ambit Corporate Finance Private Limited | RI | March 30, 2012 | Observations issued on June 20, 2012 |
| 251 | Tristar Retail Limited | Microsec Capital Limited | IPO | March 30, 2012 | Observations issued on December 21, 2012 |

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| 252 | Ace Tour worldwide Limited | Corporate Strategic Allianz Limited | IPO | April 05, 2012 | Withdrawn by LM vide letter dated November 06, 2012 |
| 253 | Goodwill Hospital and Research Centre Limited | SPA Merchant Bankers Limited | IPO | May 08, 2012 | Observations issued on August 03, 2012 |
| 254 | Rashtriya Ispat Nigam Limited | UBS Securities India Private Limited | IPO(OFS) | May 18, 2012 | Observations issued on June 27, 2012 |
| 255 | Bajaj Finserv Limited | J.M. Financial Services Limited | RI | July 18, 2012 | Observations issued on August 17, 2012 |
| 256 | City Union Bank | Edelweiss Capital Limited | RI | July 18, 2012 | Observation issued on October 19, 2012 |
| 257 | V- Mart Retail Limited | Anand Rathi Advisors Limited | IPO (Fresh + OFS) | July 23, 2012 | Observation issued on December 27, 2012 |
| 258 | Bharti Infratel Limited | Standard Chartered Securities (India) Limited | IPO (Fresh + OFS) | September 14, 2012 | Observations Issued on November 26, 2012. |
| 259 | Alok Industries Limited | SBI Capital Markets Limited | RI | September 28,2012 | Observations Issued on January 16, 2013. |
| 260 | Calyx Chemicals & Pharmaceuticals Limited | P L Capital Markets Private Limited | IPO | September 28,2012 | Observations Issued on January 17, 2013. |
| 261 | Bajaj Finance Limited | JM Financial Limited | RI | November 22, 2012 | Observations Issued on January 08,2013 |
| 262 | Hitachi Home & Life Solutions (India) Limited | ICICI Securities Limited | RI | December, 14, 2012 | Observation issued on February 05, 2013 |

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| 263 | Maiaam Global Foods Limited | Comfort Securities Limited | IPO | December 21, 2011 | Observation issued on February 22, 2013 |
| 264 | Media Matrix World Wide Limited | Fedex Securities Limited | RI | August 30, 2012 | Observation issued on February 25, 2013 |
| 265 | The Waterbase Limited | Indbank Merchant Banking Services Limited | RI | June 13, 2012 | Observation issued on March 06, 2013 |
| 266 | Reliance Mediaworks Limited | Yes Bank | RI | 30 July 2012 | Withdrawn by LM vide letter dated March 08, 2013 |
| 267 | Food and Inns Limited | Vivro Financial Services Private Limited | RI | 31 August 2012 | Observation issued on March 12, 2013 |
| 268 | Loha Ispaat Limited | Aryaman Financial Services Limited | IPO | 14 December 2012 | Observation issued on March 13, 2013 |
| 269 | Bharat Business Channel Limited | Enam Securities Private Limited | IPO | 14 December 2012 | Observation issued on March 15, 2013 |
| 270 | Uniphos Enterprises Limited | Keynote Corporate Services Limited | RI | 31 December 2010 | Observation issued on March 18, 2013 |
| 271 | Just Dial Limited | Citigroup Global Markets India Private Limited | IPO (OFS) | 14 August 2012 | Observation issued on March 20, 2013 |
| 272 | Kesoram Industries Limited | SBI Capital Markets Limited | RI | 09 November 2012 | Observation issued on March 21, 2013 |
| 273 | Dynacons Systems & Solutions Limited | Arihant Capital Markets Limited | RI | 27 September 2012 | Observation issued on March 22, 2013 |

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| 274 | Golden Goenka Fincorp Limited | Srei Capital Markets Limited | RI | 27 September 2012 | Observation issued on April 03, 2013 |
| 275 | Sahara Prime City Limited | Enam Securities Private Limited | IPO | 30 September 2009 | File Closed |
| 276 | C. Mahendra Infojewels Limited | Corporate Strategic Allianz Limited | IPO | 04 April 2012 | Withdrawn by LM vide letter dated April 15, 2013 |
| 277 | Madhya Bharat Agro Products Limited | Corporate Strategic Allianz Limited | IPO | 12 October 2012 | Withdrawn by LM vide letter dated April 16, 2013 |
| 278 | SSIPL Retail Limited | BOB Capital Markets Limited | IPO (Fresh+OFS) | 29 September 2011 | Withdrawn by LM vide letter dated April 19, 2013 |
| 279 | R K Infratel Limited | Indbank Merchant Banking Services Limited | IPO | 12 March 2012 | Observation issued on April 29, 2013 |
| 280 | Reliance Mediaworks Limited | Axis Capital Limited | RI | 11 March 2013 | Observation issued on April 29, 2013 |
| 281 | Ambuja Intermediates Limited | Saffron Capital Advisors Private Limited | IPO | 30 September 2011 | Observation issued on May 03, 2013 |
| 282 | G B Tools & Forgings Limited | SPA Capital Advisors Limited | IPO | 11 September 2012 | Observation issued on May 10, 2013 |
| 283 | SMC Global Securities Limited | Tata Securities Limited | FPO | 1 November 2012 | Observation issued on May 10, 2013 |
| 284 | Shivalik Bimetal Controls Limited | SPA Capital Advisors Limited | RI | 18 January 2013 | Observation issued on May 20, 2013 |
| 285 | Splash Media & Infra Limited | Khandwala Securities Limited | RI | 22 December 2010 | Withdrawn by LM vide letter dated May 23, 2013. |

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| 286 | Kokuyo Camlin Limited | Kotak Mahindra Capital Company Limited | RI | 28 March 2013 | Observation issued on May 28, 2013 |
| 287 | Fast Train Cargo Limited | Ashika Capital Limited | IPO | 03 May 2012 | Observations issued on June 14, 2013 |
| 288 | Ortel Communications Limited | IDFC Capital Limited | IPO | 29 January 2013 | Observations issued on June 27, 2013 |
| 289 | Asahi India Glass Limited | Axis Capital Limited | RI | 08 February 2013 | Observations issued on July 05, 2013 |
| 290 | Godrej Properties Limited | Kotak Mahindra Capital Company Limited | RI | 05 June 2013 | Observations issued on July 31, 2013 |
| 291 | Peirce Leslie India Limited | Munoth Financial Services Limited | RI | 05 March 2013 | Observations issued on August 05, 2013 |
| 292 | Wonderla Holidays Limited | Edelweiss Financial Services Limited | IPO | 16 April 2013 | Observations issued on August 07, 2013 |
| 293 | Engineers India Limited | ICICI Securities Limited | FPO (OFS) | 12 July, 2013 | Observation issued on 29, August 2013 |
| 294 | Talbros Engineering Limited | Corporate Professionals Capital Private Limited | RI | 04 February 2013 | Observations issued on August 30, 2013 |
| 295 | Aastha Minmet (India) Limited | Aryaman Financial Services Limited | IPO | 28 March 2013 | Withdrawn by LM vide letter dated September 04, 2013 |
| 296 | Advanced Enzyme Technologies Limited | ICICI Securities Limited | IPO | March 28, 2013 | Observations issued on September 12, 2013. |

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| 297 | Intas Pharmaceuticals Limited | Kotak Mahindra Capital Company Limited | IPO | June 14, 2013 | Observations issued on September 12, 2013 |
| 298 | Kalyanpur Cement Limited | Microsec Capital Limited | RI | September 26, 2012 | Observations issued on October 30, 2013 |
| 299 | Coromandel Engineering Company Limited | Axis Capital Limited | RI | October 01, 2013 | Observations issued on December 13, 2013 |
| 300 | Ramco Systems Limited | Centrum Capital Limited | RI | September 02, 2013 | Observations issued on December 18, 2013 |
| 301 | IL&FS Transportation Network Ltd | Axis Capital Limited | RI | November 06, 2013 | Observations issued on December 23, 2013 |
| 302 | Mukand Limited | ICICI Securities Limited | RI | September 19, 2013 | Observations issued on January 03, 2014 |
| 303 | BSCPL Infrastructure Limited | J M Financial Securities Private Limited | IPO (Fresh+ OFS) | March 07, 2013 | Withdrawn by BRLM vide letter dated January 28, 2014 |
| 304 | Jyoti CNC Automation Limited | Aventus Capital Private Limited | IPO | March 07, 2013 | Observations issued on January 29, 2014 |
| 305 | Polson Limited | Sobhagya Capital Options Limited | RI | September 30, 2013 | Withdrawn by LM vide letter dated January 27, 2014 |
| 306 | Wheels India Limited | ICICI Securities Limited | RI | January 03, 2014 | Observations issued on February 4, 2014 |
| 307 | Shemaroo Entertainment Limited | Yes Bank Limited | IPO | July 09, 2013 | Observations issued on February 21, 2014 |

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| 308 | Snowman Logistics Limited | HDFC Bank Limited | IPO | September 02, 2013 | Observations issued on February 21, 2014 |
| 309 | Easun Reyrolle Limited | SPA Capital Advisors Limited | RI | September 25, 2013 | Observations issued on February 26, 2014 |
| 310 | Great Eastern Energy Corporation Limited | ICICI Securities Limited | IPO | September 17, 2013 | Observations issued on March 05, 2014 |
| 311 | Denis Chem Lab Limited | Vivro Financial Services Private Limited | RI | September 24, 2013 | Observations issued on March 07, 2014 |
| 312 | NCML Industries Limited | Corporate Strategic Allianz Limited | IPO | March 28, 2013 | Observations issued on March 11, 2014 |
| 313 | Trimax IT Infrastructure And Services Limited | SBI Capital Markets Limited | IPO (Fresh+OFS) | July 30, 2013 | Withdrawn by LM vide letter dated March 10, 2014 |
| 314 | GMR Energy Limited | DSP Merrill Lynch Limited | IPO | March 28, 2014 | Withdrawn by LM vide letter dated April 28, 2014 |
| 315 | The Lakshmi Vilas Bank Limited | Axis Capital Limited | RI | March 28, 2014 | Observations issued on May 27, 2014 |
| 316 | The Indian Hotels Company Limited | J M Financial Institutional Securities Limited | RI | April 23, 2014 | Observations issued on June 02, 2014 |
| 317 | Emcure pharmaceuticals Limited | IDFC Capital Limited | IPO | June 28, 2013 | Withdrawn by LM vide letter dated June 06, 2014 |
| 318 | MIRC Electronics Limited | INGA Capital Private Limited | RI | April 03, 2014 | Observations issued on July 02, 2014 |

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| 319 | Inox Wind Limited | Axis Capital Limited | IPO | July 05, 2013 | Observations issued on July 08, 2014 |
| 320 | Neuland Laboratories Limited | SBI Capital Markets Limited | RI | March 28, 2014 | Observations issued on July 10, 2014 |
| 321 | Sharda Cropchem Limited | Edelweiss Financial Services Limited | IPO | February 13, 2014 | Observations issued on August 05, 2014 |
| 322 | NCC Limited | ICICI Securities Limited | RI | June 30, 2014 | Observations issued on August 26, 2014 |
| 323 | Monte Carlo Fashions Limited | SBI Capital Markets Limited | IPO (OFS) | July 10, 2014 | Observations issued on September 12, 2014 |
| 324 | Future Retail Limited | Axis Capital Limited | RI | July 22, 2014 | Observations issued on October 10, 2014 |
| 325 | Adlabs Entertainment Limited | Deutsche Equities India Private Limited | IPO (Fresh + OFS) | 22 May 2014 | Observations issued on October 13, 2014 |
| 326 | Lavasa Corporation Limited | Axis Capital Limited | IPO | 01 July 2014 | Observations issued on November 07, 2014 |
| 327 | Ortel Communications Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh + OFS) | 12 September, 2014 | Observations issued on November 10, 2014 |
| 328 | GMR Infrastructure Limited | JM Financial Institutional Securities Limited | RI | 19 September 2014 | Observations issued on November 17, 2014 |
| 329 | IL&FS Engineering and Construction Company Limited | Edelweiss Financial Services Limited | RI | March 28, 2014 | Observations issued on December 04, 2014 |

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| 330 | Canfin Homes Limited | SBI Capital Market Limited | RI | 29 September 2014 | Observations issued on December 08, 2014 |
| 331 | MEP Infrastructure Developers Limited | IDFC Securities Limited | IPO | 29 September 2014 | Observations issued on December 09, 2014 |
| 332 | Rashtriya Ispat Nigam Limited | UBS Securities Inida Private Limited | IPO(OFS) | 19 September 2014 | Observations issued on December 22, 2014 |
| 333 | Wintac Limited | Arihant Capital Market Limited | RI | 29 September 2014 | Observations issued on January 09, 2015 |
| 334 | Shree Pushkar chemicals and fertilizers Limited | Keynote Corporate Services Limited | IPO (Fresh + OFS) | 29 September 2014 | Observations issued on February 02, 2015 |
| 335 | ACB (India) Limited | Edelweiss Financial Services Limited | IPO (OFS) | 30 September 2014 | Observations issued on February 06, 2015 |
| 336 | Vascon Engineers Ltd | IDFC Securities Ltd | RI | 21 November 2014 | Observations issued on February 03, 2015 |
| 337 | PNC Infratech Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 25 September 2014 | Observations issued on February 12, 2015 |
| 338 | VRL Logistics Limited | ICICI Securities Limited | IPO | 19 December 2014 | Observations issued on February 13, 2015 |
| 339 | State Bank of Travancore | BOB Capital Markets Ltd | RI | 05 January 2015 | Observations issued on February 11, 2015 |
| 340 | Zee Media Corporation Limited | Axis Capital Limited | RI | 02 January 2015 | Observations issued on February 18, 2015 |

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| 341 | Uniparts India Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 25 September 2014 | Observations issued on February 19, 2015 |
| 342 | Power Mech Projects Limited | Kotak Mahindra Capital Company Ltd | IPO | 31 October 2014 | Observations issued on March 09, 2015 |
| 343 | Sadbhav Infrastructure Project Limited | Kotak Mahindra | IPO | 05 December 2014 | Observations issued on March 26, 2015 |
| 344 | UFO Moviez India Limited | Axis Capital Limited | IPO | 18 December 2014 | Observations issued on March 27, 2015 |
| 345 | Videocon D2H Limited | Axis Capital Limited | IPO | 30 September 2014 | Withdrawn by LM vide letter dated March 27, 2015 |
| 346 | Everlon Synthetics Limited | Keynote Corporate Services Limited | RI | 20 January 2015 | Observations issued on April 01, 2015 |
| 347 | SMC Global Securities Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 20 October 2014 | Observations issued on April 08, 2015 |
| 348 | CL Educate Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh + OFS) | 29 September 2014 | Withdrawn by LM vide letter dated April 15, 2015 |
| 349 | MM Auto Industries Limited | Mefcom Capital Markets Limited | IPO | 31 March 2015 | Withdrawn by LM vide letter dated April 18, 2015 |
| 350 | Manpasand Beverages Limited | Kotak Mahindra Capital Company Limited | IPO | 26 November 2014 | Observations issued on 23 April 2015. |
| 351 | Polson Limited | Sobhagya Capital Options Limited | RI | 30 September 2014 | Observations issued on May 22, 2015 |

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| 352 | Precision Camshafts Ltd | SBI Capital Markets Ltd | IPO | 11 March 2015 | Observations issued on May 22, 2015 |
| 353 | Navkar Corporation Limited | Axis Capital Limited | IPO (Fresh + OFS) | 31 March 2015 | Observations issued on May 22, 2015 |
| 354 | SSIPL Retails Limited | Axis Capital | IPO (Fresh + OFS) | 16 March 2015 | Observations issued on May 25, 2015 |
| 355 | AGS Transact Technologies Limited | Axis Capital | IPO (Fresh + OFS) | 24 March 2015 | Observations issued on May 28, 2015 |
| 356 | Dilip Buildcon Limited | Axis Capital Limited | IPO (Fresh + OFS) | 31 March 2015 | Observations issued on June 01, 2015 |
| 357 | Shree Shubham Logistics Limited | INGA Capital Private Limited | IPO (Fresh + OFS) | 20 February 2015 | Observations issued on June 02, 2015 |
| 358 | Amar Ujala Publications Limited | Axis Capital Limited | IPO (Fresh + OFS) | 27 March 2015 | Observations issued on June 03, 2015 |
| 359 | Prabhat Dairy Limited | Edelweiss Financial Services Limited | IPO (Fresh + OFS) | 31 March 2015 | Observations issued on June 08, 2015 |
| 360 | Syngene International Limited | Axis Capital Limited | IPO | 22 April 2015 | Observations issued on June 12, 2015 |
| 361 | S H Kelkar and Company Limited | J M Financial Institutional Securities Limited | IPO (Fresh + OFS) | 24 March 2015 | Observations issued on June 18, 2015 |
| 362 | The Catholic Syrian Bank Limited | ICICI Securities Limited | IPO | 31 March 2015 | Observations issued on June 23, 2015 |

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| 363 | Pennar Engineered Building Systems Limited | Motilal Oswal Investment Advisors Private Limited | IPO | 27 March 2015 | Observations issued on July 10, 2015 |
| 364 | Deccan Gold Mines Limited | SPA Capital Advisors Limited | RI | 31 March 2015 | Observations issued on July 17, 2015 |
| 365 | Número Uno Clothing Limited | Anand Rathi Advisors Limited | IPO (Fresh + OFS) | 30 April 2015 | Observations issued on July 20, 2015 |
| 366 | Sadbhav Infrastructure Project Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh + OFS) | 26 May 2015 | Observations issued on July 20, 2015 |
| 367 | Universal Cables Limited | IDBI Capital Market Services Limited | RI | 29 May 2015 | Observations issued on July 31, 2015 |
| 368 | Ashima Limited | Keynote Corporate Services Limited | RI | 23 June 2015 | Observations issued on August 03, 2015 |
| 369 | Nuziveedu Seeds Limited | Axis Capital Limited | IPO | 22 April 2015 | Observations issued on August 05, 2015 |
| 370 | Hydro S&S Industries Limited | Keynote Corporate Services Limited | RI | 22 May 2015 | Observations issued on August 05, 2015 |
| 371 | Coffee Day Enterprises Limited | Kotak Mahindra Capital Company Limited | IPO | 26 June 2015 | Observations issued on August 14, 2015 |
| 372 | Matrix Cellular (International) Services Limited | IIFL Holding Ltd. | IPO (OFS) | 22 June 2015 | Observations issued on August 26, 2015 |
| 373 | Interglobe Aviation Limited | Citigroup Global Markets India Private Limited | IPO (Fresh + OFS) | 30 June 2015 | Observations issued on September 11, 2015 |

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| 374 | Infibeam Incorporation Limited | SBI Capital Markets Limited | IPO | 30 June 2015 | Observations issued on September 30, 2015 |
| 375 | Alkem Laboratories Limited | Nomura Financial Advisory & Securities (India) Pvt Ltd | IPO (OFS) | 06 August 2015 | Observations issued on October 19, 2015 |
| 376 | Dr. Lal Pathlabs Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh+ OFS) | 14 September 2015 | Observations issued on November 03, 2015 |
| 377 | Health Care Global Enterprises Ltd | Kotak Mahindra Capital Company Limited | IPO | 27 July 2015 | Observations issued on November 20, 2015 |
| 378 | Fortune Financial Services (India) Limited | Vivro Financial Services Private Limited | RI | 07 August 2015 | Observations issued on November 20, 2015 |
| 379 | B.N.Rathi Securities Limited | Karvy Investor Services Limited | RI | 25 September 2015 | Observations issued on November 25, 2015 |
| 380 | Narayana Hrudayalaya Limited | Axis Capital Limited | IPO (OFS) | 29 September 2015 | Observations issued on November 27, 2015 |
| 381 | Paranjape Schemes (Construction) Limited | Axis Capital Limited | IPO | 09 July 2015 | Observations issued on December 02, 2015 |
| 382 | Bharat Wire Ropes Limited | Intensive Fiscal Services Private Limited | IPO | 22 July 2015 | Observations issued on December 04, 2015 |
| 383 | SSIPL Retail Limited | Axis Capital Limited | IPO (Fresh+ OFS) | 21 October 2015 | Observations issued on December 01, 2015 |
| 384 | Team Lease Services Limited | IDFC Securities Limited | IPO (Fresh+ OFS) | 11 September 2015 | Observations issued on December 11, 2015 |

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| 385 | Matrimony.com Ltd | Kotak Mahindra Capital Co. Ltd | IPO | 18 August 2015 | Observations issued on December 18, 2015 |
| 386 | Quickheal Technologies Limited | ICICI Securities Limited | IPO (Fresh+ OFS) | 29 September 2015 | Observations issued on December 18, 2015 |
| 387 | Sandhar Technologies Limited | ICICI Securities Limited | IPO (Fresh+ OFS) | 29 September 2015 | Observations issued on December 21, 2015 |
| 388 | JMC Projects (India) Limited | Inga Capital Private Limited | RI | 24 September 2015 | Observations issued on December 22, 2015 |
| 389 | VLCC Health Care Limited | ICICI Securities Limited | IPO (Fresh+ OFS) | 23 September 2015 | Observations issued on December 29, 2015 |
| 390 | Parag Milk Foods Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh+ OFS) | 30 September 2015 | Observations issued on December 29, 2015 |
| 391 | Larsen & Toubro Infotech Limited | Citigroup Global Market India Private Limited | IPO (OFS) | 28 September 2015 | Observations issued on December 31, 2015 |
| 392 | G.N.A Axles Limited | PNB Investment Services Limited | IPO | 28 September 2015 | Observations issued on January 08, 2016 |
| 393 | Equitas Holding Limited | Axis Capital Limited | IPO (Fresh+ OFS) | 16 October 2015 | Observations issued on January 08, 2016 |
| 394 | Maini Precision Products Limited | ICICI Securities Limited | IPO | 30 September 2015 | Observations issued on January 14, 2016 |
| 395 | GVR Infra Projects Limited | Edelweiss Financial Services Limited | IPO (Fresh+ OFS) | 30 September 2015 | Observations issued on January 15, 2016 |

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| 396 | Mahanagar Gas Limited | Kotak Mahindra Capital Company Limited | IPO (OFS) | 13 November 2015 | Observations issued on January 15, 2016 |
| 397 | Sun Pharma Advanced Research Company Limited | Ernest & Young Merchant Banking Services Private Limited | RI | 18 September 2015 | Observations issued on January 22, 2016 |
| 398 | New Delhi Center for Sight Limited | Axis Capital Limited | IPO (Fresh+OFS) | 30 November 2015 | Observations issued on 01 February, 2016 |
| 399 | Nihilent Technologies Limited | Motilal Oswal Investment Advisory Pvt. Ltd. | IPO (Fresh+OFS) | 23 December 2015 | Observations issued on 16 February, 2016 |
| 400 | Thyrocare Technologies Limited | JM Financial Institutional Securities Ltd. | IPO (OFS) | 31 December 2015 | Observations issued on 24 February 2016 |
| 401 | Ujjivan Financial Services Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh+OFS) | 31 December 2015 | Observations issued on 25 February 2016 |
| 402 | Dilip Buildcom Limited | Axis Capital limited | IPO (Fresh+OFS) | 01 February 2016 | Observations issued on 10 March 2016 |
| 403 | Anjani Portland Cement Limited | Motilal Oswal Investment Advisory Pvt. Ltd. | RI | 30 December 2015 | Observations issued on March 23, 2016 |
| 404 | K.P.R.Agrochem Limited | Karvy Investor Services Limited | IPO (Fresh+OFS) | 23 December 2015 | Observations issued on April 05, 2016 |
| 405 | S.P.Apparels Limited | Motilal Oswal Investment Advisory Pvt. Ltd. | IPO (Fresh+OFS) | 29 December 2015 | Observations issued on April 15, 2016 |
| 406 | Advanced Enzyme Technologies Ltd | ICICI Securities Limited | IPO (Fresh+OFS) | 19 January 2016 | Observations issued on April 29, 2016 |
| 407 | Quess Corp Limited | Axis Capital Limited | IPO (Fresh+OFS) | 02 February 2016 | Observations issued on April 26, 2016 |

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| 408 | Crest Ventures Limited | Inga Capital Private Limited | RI | 16 March 2016 | Observations issued on May 11, 2016 |
| 409 | HPL Electric & Power Limited | SBI Capital Markets Limited | IPO (Fresh) | 25 February 2016 | Observations issued on 20 May 2016 |
| 410 | Larsen & Toubro Infotech Limited | Kotak Mahindra Capital Limited | IPO (OFS) | 12 April 2016 | Observations issued on 20 May 2016 |
| 411 | Hinduja Leyland Finance Limited | Axis Capital Limited | IPO (Fresh+OFS) | 30 March 2016 | Observations issued on June 24, 2016 |
| 412 | CL Educate Limited | Kotak Mahindra Capital Limited | IPO (Fresh+OFS) | 30 March 2016 | Observations issued on June 24, 2016 |
| 413 | RBL Bank Ltd | Kotak Mahindra Capital Co. Ltd | IPO + OFS | 23 June 2015 | Observation Issued on 27 July 2016 |
| 414 | L & T Technology Services Limited | Kotak Mahindra Capital Company Limited | IPO (OFS) | 15 July 2016 | Observations issued on 24 August 2016 |
| 415 | Seaways Shipping and Logistics Limited | ICICI Securities Limited | IPO | 28 March 2016 | Observations issued on 31 August 2016 |
| 416 | Varun Beverages Limited | Kotak Mahindra Capital Company Limited | IPO | 22 June 2016 | Observations issued on 31 August 2016 |
| 417 | ICICI Prudential Life Insurance Company Limited | DSP Merrill Lynch Limited | IPO (OFS) | 18 July 2016 | Observations issued on 02 September 2016 |
| 418 | Greensignal Bio Pharma Ltd. | India Overseas Bank - Merchant Banking Division | IPO (Fresh Issue + OFS) | 04 July 2016 | Observations issued on 09 September 2016 |
| 419 | Endurance Technologies Limited | Axis Capital Limited | IPO (OFS) | 07 July 2016 | Observations issued on 09 September 2016 |
| 420 | CIL Nova Petrochemicals Ltd | Hem Securities Ltd | RI | 07 July 2016 | Observations issued on 16 September 2016 |

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| 421 | PNB Housing Finance Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh Issue) | 05 July 2016 | Observations issued on 06 October 2016 |
| 422 | Laurus Labs Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh Issue+ OFS) | 19 August 2016 | Observations issued on 10 October 2016 |
| 423 | IP Rings Limited | Axis Capital Limited | RI | 31 August 2016 | Observations issued on 01 November 2016 |
| 424 | Aster DM Healthcare Limited | Kotak Mahindra Capital Limited | IPO (Fresh + OFS) | 24 June 2016 | Observations issued on 02 November 2016 |
| 425 | Sheela Foam Limited | Edelweiss Financial Services Limited | IPO (OFS) | 29 July 2016 | Observations issued on 03 November 2016 |
| 426 | Avenue Supermarts Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh Issue) | 29 September 2016 | Observations issued on 06 December 2016 |
| 427 | Continental Warehousing Corporation (Navha Seva) Limited | Edelweiss Financial Services Limited | IPO (Fresh Issue + OFS) | 30 September 2016 | Observations issued on 16 December 2016 |
| 428 | G R Infraprojects Limited | HDFC Bank Limited | IPO (Fresh Issue + OFS) | 29 September 2016 | Observations issued on 22 December 2016 |
| 429 | Shankara Building Products Limited | IDFC Bank Ltd | IPO (Fresh Issue + OFS) | 29 September 2016 | Observations issued on 22 December 2016 |
| 430 | BSE Limited | Edelweiss Financial Services Limited | IPO (OFS) | 09 September 2016 | Observations issued on 30 December 2016 |
| 431 | PB Global Limited | Gretex Corporate Services Private Limited | RI | 23 November 2016 | Withdrawn vide letter dated 26 December 2016 |

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| 432 | Security & Intelligence Services (India) Limited | Axis Capital Limited | IPO (Fresh + OFS) | 27 September 2016 | Observations issued on 12 January 2017 |
| 433 | Archit Organosys Limited | Vivro Financial Services Pvt. Limited | RI | 21 October 2016 | Observations issued on 20 January 2017 |
| 434 | Genesis Colors Limited | ICICI Securities Limited | IPO (Fresh Issue + OFS) | 29 September 2016 | Observations issued on 03 February 2017 |
| 435 | Central Depository Services (India) Limited | Axis Capital Limited | IPO (OFS) | 27 December 2016 | Observations issued on 08 February 2017 |
| 436 | Music Broadcast Limited | ICICI Securities Limited | IPO (Fresh Issue + OFS) | 28 November 2016 | Observations issued on 09 February 2017 |
| 437 | Kingfa Science & Technology (India) Limited | Keynote Corporate Services Limited | RI | 02 December 2016 | Observations issued on 17 February 2017 |
| 438 | Mahindra Lifespace Developers Limited | ICICI Securities Limited | Rights Issue | 20 January 2017 | Observations issued on 02 March 2017 |
| 439 | S Chand and Company Limited | J M Financial Securities Limited | IPO (Fresh Issue + OFS) | 16 December 2016 | Observations issued on 03 March 2017 |
| 440 | Four Seasons Residency Limited | Chartered Capital and Investment Limited | IPO (Fresh Issue) | 20 February 2017 | Withdrawn vide Letter dated 09 March 2017 |
| 441 | Housing and Urban Development Corporation Limited | IDBI Capital Market & securities Limited | IPO (OFS) | 02 January 2017 | Observations issued on 10 March 2017 |

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| 442 | Au Financiers (India) Limited | ICICI Securities Limited | IPO (OFS) | 01 February 2017 | Observations issued on 22 March 2017 |
| 443 | PSP Projects Limited | Karvy Investor Services Limited | IPO (Fresh Issue+ OFS) | 28 December 2016 | Observations issued on 24 March 2017 |
| 444 | Vardhaman Special Steels Ltd. | Master Capital Services Ltd. | Rights Issue | 15 February 2017 | Observations issued on 28 March 2017 |
| 445 | Zenotech Laboratories Limited | Vivro Financial Services Pvt Ltd | Rights Issue | 02 January 2017 | Observations issued on 05 April 2017 |
| 446 | Prataap Snacks Limited | Edelweiss Financial Services Limited | IPO (Fresh Issue + OFS) | 30 September 2016 | Observations issued on 13 April 2017 |
| 447 | Intellect Design Area Limited | Spark Capital Advisors (India) Private Limited | Rights Issue | 27 January 2017 | Observations issued on 13 April 2017 |
| 448 | Cochin Shipyard Limited | SBI Capital Markets Limited | IPO (Fresh Issue + OFS) | 24 March 2017 | Observations issued on 20 April 2017 |
| 449 | Tejas Networks Limited | Axis Capital Limited | IPO (Fresh Issue+ OFS) | 10 February 2017 | Observations issued on 21 April 2017 |
| 450 | Eris Lifesciences Limited | Axis Capital Limited | IPO (OFS) | 08 February 2017 | Observations issued on 28 April 2017 |
| 451 | Proseed India Limited | Saffron Capital Advisors Private Limited | Rights Issue | 30 December 2016 | Withdrawn vide Letter dated 03 May 2017 |
| 452 | Apex Frozen Foods Limited | Karvy Investor Services Limited | IPO (Fresh Issue + OFS) | 31 March 2017 | Observations issued on 11 May 2017 |
| 453 | GTPL Hathway Limited | JM Financial Institutional Securities Limited | IPO (Fresh Issue+ OFS) | 30 December 2016 | Observations issued on 12 May 2017 |

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| 454 | Salasar Techno Engineering Ltd. | Sarathi Capital Advisors Pvt. Ltd. | IPO (Fresh issue) | 15 February 2017 | Observations issued on 16 May 2017 |
| 455 | Bharat Road Network Limited | Inga Capital Private Limited | IPO (Fresh Issue) | 17 February 2017 | Observations issued on 26 May 2017 |
| 456 | MAS Financial Services Limited | Motilal Oswal Investment Advisors Private Limited | IPO (Fresh + OFS) | 27 March 2017 | Observations issued on 26 May 2017 |
| 457 | Nakshatra World Limited | IDBI Capital Markets & Securities Limited | IPO (Fresh Issue) | 10 March 2017 | Observations issued on 09 June 2017 |
| 458 | Capacite Infraprojects Limited | Axis Capital Limited | IPO (Fresh Issue) | 17 April 2017 | Observations issued on 09 June 2017 |
| 459 | Matrimony.com Limited | Axis Capital Limited | IPO (Fresh Issue + OFS) | 08 May 2017 | Observations issued on 13 July 2017 |
| 460 | Shalby Limited | Edelweiss Financial Services Limited | IPO (Fresh Issue + OFS) | 19 May 2017 | Observations issued on 14 July 2017 |
| 461 | Dixon Technologies (India) Limited | IDFC Bank Limited | IPO (Fresh Issue + OFS) | 19 May 2017 | Observations issued on 26 July 2017 |
| 462 | Prataap Snacks Limited | Edelweiss Financial Services Limited | IPO (Fresh Issue + OFS) | 21 June 2017 | Observations issued on 11 August 2017 |
| 463 | Indian Energy Exchange Limited | Axis Capital Limited | IPO (OFS) | 16 June 2017 | Observations issued on 14 August 2017 |
| 464 | Denis Chem Lab Limited | Vivro Financial Services Pvt Ltd | Rights Issue | 13 June 2017 | Observations issued on 22 August 2017 |
| 465 | ICICI Lombard General Insurance Co Ltd | DSP Merrill Lynch Limited | OFS | 14 July 2017 | Observations issued on 01 September 2017 |

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| 466 | SBI Life Insurance Company Limited | JM Financial Services Limited | IPO (OFS) | 17 July 2017 | Observations issued on 04 September 2017 |
| 467 | Hind Rectifiers Limited | Keynote Corporate Services Limited | Rights Issue | 26 July 2017 | Observations issued on 07 September 2017 |
| 468 | Godrej Agrovet Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh Issue+OFS) | 19 July 2017 | Observations issued on 08 September 2017 |
| 469 | Khadim India Limited | Axis Capital Limited | IPO (Fresh Issue + OFS). | 03 July 2017 | Observations issued on 13 September 2017 |
| 470 | The New India Assurance Company Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh Issue+OFS) | 09 August 2017 | Observations issued on 15 September 2017 |
| 471 | Astron Paper & Board Mill Ltd. | Pantomath Capital Advisors (P) Ltd. | IPO (Fresh issue) | 20 June 2017 | Observations issued on 19 September 2017 |
| 472 | General Insurance Corporation of India | Citigroup Global Markets India Private Limited | IPO (Fresh Issue+OFS) | 07 August 2017 | Observations issued on 22 September 2017 |
| 473 | VIP Clothing Ltd | Inga Capital Pvt Ltd | Rights Issue | 31 July 2017 | Observations issued on 26 September 2017 |
| 474 | Reliance Nippon Life Asset Management Limited | JM Financial Institutional Securities Ltd | IPO (Fresh Issue+OFS) | 18 August 2017 | Observations issued on 05 October 2017 |
| 475 | Mahindra Logistics Limited | Kotak Mahindra Capital Company Limited | IPO (OFS) | 04 August 2017 | Observations issued on 06 October 2017 |
| 476 | Sumeet Industries Limited | Saffron Capital Advisors Pvt Ltd | Rights Issue | 25 July 2017 | Observations issued on 06 October 2017 |

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| 477 | HDFC Standard Life Insurance Co Ltd | Morgan Stanley India Co Pvt Ltd | IPO (OFS) | 18 August 2017 | Observations issued on 13 October 2017 |
| 478 | Wintac Limited | Arihant Capital Markets Ltd | Rights Issue | 28 September 2017 | Withdrawn by LM vide letter dated 23 October 2017 |
| 479 | Jost's Engineering Company Limited | Fedex Securities Limited | Rights Issue | 28 July 2017 | Observations issued on 26 October 2017 |
| 480 | Future Supply Chain Solutions Limited | Edelweiss Financial Services Limited | IPO (OFS) | 24 August 2017 | Observations issued on 26 October 2017 |
| 481 | Gandhar Oil Refinery (India) Limited | SBI Capital Markets Limited | IPO (Fresh Issue+OFS) | 30 August 2017 | Observations issued on 26 October 2017 |
| 482 | Aster DM Healthcare Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh Issue+OFS) | 09 August 2017 | Observations issued on 27 October 2017 |
| 483 | Hindustan Aeronautics Limited | SBI Capital Markets Limited | IPO (OFS) | 29 September 2017 | Observations issued on 27 October 2017 |
| 484 | Shalimar Paints Limited | SPA Capital Advisors Limited | Rights Issue | 07 July 2017 | Observations issued on 03 November 2017 |
| 485 | Prince Pipes and Fittings Limited | JM Financil Institutional Securities Ltd | IPO (Fresh Issue+OFS) | 27 September 2017 | Observations issued on 03 November 2017 |
| 486 | Seven Islands Shipping Ltd | Edelweiss Financial Sevices Ltd | IPO (Fresh +OFS) | 29 September 2017 | Observations issued on 10 November 2017 |
| 487 | Apollo Micro Systems Limited | Aryaman Financial Services Limited | IPO (Fresh Issue) | 25 September 2017 | Observations issued on 13 November 2017 |

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| 488 | Kanpur Plastipack Limited | Vivro Financial Services Private Limited | Rights Issue | 19 September 2017 | Observations issued on 17 November 2017 |
| 489 | Newgen Software Technologies Limited | ICICI Securities Limited | IPO (Fresh Issue+ OFS) | 27 September 2017 | Observations issued on 17 November 2017 |
| 490 | Karda Constructions Limited | Aryaman Financial Services Limited | IPO (Fresh Issue+ OFS) | 28 September 2017 | Observations issued on 22 November 2017 |
| 491 | Scanpoint Geomatics Limited | Vivro Financial Services Private Limited | Rights Issue | 28 September 2017 | Observations issued on 24 November 2017 |
| 492 | Reliance General Insurance Company Ltd | Motilal Oswal Investment Advisors Limited | IPO (Fresh + OFS) | 09 October 2017 | Observations issued on 29 November 2017 |
| 493 | CMS Info Systems Limited | Kotak Mahindra Capital Company Limited | IPO (OFS) | 27 September 2017 | Observations issued on 30 November 2017 |
| 494 | Amber Enterprises India Limited | Edelweiss Financial Services Limited | IPO (OFS) | 29 September 2017 | Observations issued on 05 December 2017 |
| 495 | H.G Infra Engineering Ltd | SBI Capital Markets Limited | IPO (Fresh Issue+ OFS) | 28 September 2017 | Observations issued on 13 December 2017 |
| 496 | ACME Solar Holding Limited | ICICI Securities Limited | IPO (Fresh Issue) | 28 September 2017 | Observations issued on 15 December 2017 |
| 497 | Lemon Tree Hotels Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh Issue+ OFS) | 20 September 2017 | Observations issued on 22 December 2017 |
| 498 | Piramal Enterprises Limited | Kotak Mahindra Capital Company Limited | Rights Issue | 08 November 2017 | Observations issued on 29 December 2017 |

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| 499 | Barbeque-Nation Hospitality Limited | IIFL Holdings Limited | IPO (Fresh Issue+ OFS) | 14 August 2017 | Observations issued on 05 January 2018 |
| 500 | Cos Board Industries Limited | Navigant Corporate Advisors Limited | Rights Issue | 06 October 2017 | Withdrawn by LM vide letter dated 11 January 2018 |
| 501 | ELPRO International Limited | Keynote Corporate Services Limited | Rights Issue | 29 September 2017 | Observations issued on 12 January 2018 |
| 502 | Galaxy Surfactants Limited | ICICI Securities Limited | IPO (OFS) | 14 November 2017 | Observations issued on 12 January 2018 |
| 503 | Coastal Corporation Limited | Navigant Corporate Advisors Limited | Rights Issue | 29 November 2017 | Withdrawn by LM vide letter dated 12 January 2018 |
| 504 | Indiabulls Ventures Limited | Axis Capital Limited | Rights Issue | 29 September 2017 | Observations issued on 18 January 2018 |
| 505 | ICICI Securities Limited | DSP Merrill Lynch Limited | IPO (OFS) | 15 December 2017 | Observations issued on 02 February 2018 |
| 506 | Mishra Dhatu Nigam Limited | SBI Capital Markets Limited | IPO (OFS) | 17 January 2018 | Observation Issued on 15 February 2018 |
| 507 | Bharat Dynamics Ltd. | SBI Capital Markets Limited | IPO(OFS) | 22 January 2018 | Observations issued on 16 February 2018 |
| 508 | Indian Renewable Energy Development Agency Limited | Yes Securities (India) Limited | IPO (Fresh Issue) | 21 December 2018 | Observations issued on 16 February 2018 |
| 509 | RITES Limited | Elara Capital India Private Limited | IPO (OFS) | 15 January 2018 | Observation issued on 20 February 2018 |
| 510 | Bandhan Bank Limited | Kotak Mahindra Capital Company | IPO (Fresh + OFS) | 01 January 2018 | Observation issued on 28 February 2018 |

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| 511 | Sandhar Technologies Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 08 December 2017 | Observations issued on 01 March 2018 |
| 512 | Patel Infrastructure Limited | SBI Capital Markets Limited | IPO (Fresh) | 10 January 2018 | Observations issued on 05 March 2018 |
| 513 | Krishna Institute of Medical Sciences Limited | Axis Capital Limited | IPO (Fresh + OFS) | 11 December 2017 | Observations issued on 07 March 2018 |
| 514 | IndoStar Capital Finance Limited | JM Financial Limited | IPO (Fresh + OFS) | 08 January 2018 | Observations issued on 03 April 2018 |
| 515 | Capricorn Food Products India Limited | IIFL Holdings Ltd. | IPO (Fresh + OFS) | 06 February 2018 | Observations issued on 12 April 2018 |
| 516 | Nazara Technologies Limited | ICICI Securities Limited | IPO (OFS) | 01 February 2018 | Observation issued on April 20, 2018 |
| 517 | Hatsun Agro Products Limited | Yes Securities (India) Limited | Rights Issue | 21 February 2018 | Observation issued on 26 Apr 2018 |
| 518 | John Energy Ltd | IDFC Bank Limited | IPO (Fresh + OFS) | 16 February 2018 | Withdrawn vide letter dated 13 Nov 2018 |
| 519 | TCNS Clothing Company Limited | Kotak Mahindra Capital Company Limited | IPO (OFS) | 26 February 2018 | Observation Issued on 04 May 2018 |
| 520 | Max Ventures And Industries Limited | Axis Capital Limited | Rights Issue | 20 February 2018 | Observation Issued on 10 May 2018 |
| 521 | Fine Organic Industries Limited | JM Financial Limited | IPO(OFS) | 08 February 2018 | Observation issued on 11 May 2018 |
| 522 | Devi Seafoods Limited | Axis Capital Limited | IPO (OFS) | 05 March 2018 | Observation issued on 11 May 2018 |
| 523 | Rail Vikas Nigam Limited | Yes Securities (India) Limited | IPO (OFS) | 28 March 2018 | Observation issued on 17 May 2018 |

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| 524 | Garden Reach Shipbuilders & Engineers Limited | IDBI Capital Markets & Securities Limited and Yes Securities (India) Limited | IPO (OFS) | 26 March 2018 | Observation issued on 22 May 2018 |
| 525 | Emerald Leasing Finance & Investment Company Limited | Keynote Corporate Services Limited | Rights Issue | 28 February 2018 | Observation issued on 24 May 2018 |
| 526 | Genius Consultants Limited | SBI Capital Markets Limited | IPO (Fresh + OFS) | 29 March 2018 | Withdrawn vide letter dated 14 February 2019 |
| 527 | Varroc Engineering Limited | Kotak Mahindra Capital Company Limited | IPO (OFS) | 28 March 2018 | Observation Issued on 06 June 2018 |
| 528 | CreditAccess Grameen Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 24 January 2018 | Observation Issued on 12 June 2018 |
| 529 | Sandhya Marines Limited | Karvy Investor Services Limited | IPO (Fresh + OFS) | 26 February 2018 | Observation Issued on 12 June 2018 |
| 530 | Atria Convergence Technologies Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 26 March 2018 | Observation Issued on 15 June 2018 |
| 531 | Nekkanti Sea Foods Limited | Motilal Oswal Investment Advisors Limited | IPO (Fresh + OFS) | 12 March 2018 | Withdrawn vide letter dated 08 October 2018 |
| 532 | HDFC Asset Management Company Ltd | Kotak Mahindra Capital Company Limited | IPO (OFS) | 15 March 2018 | Observation Issued on 22 June 2018 |
| 533 | Flemingo Travel Retail Ltd | ICICI Securities Limited | IPO (Fresh + OFS) | 15 February 2018 | Observation Issued on June 29, 2018 |
| 534 | Shakun Polymers Limited | Vivro Financial Services Pvt Ltd | IPO (Fresh + OFS) | 04 May 2018 | Observation issued on 05 July 2018 |
| 535 | Dinesh Engineers Limited | Hem Securities Limited | IPO (OFS) | 28 March 2018 | Observation issued on 06 July 2018 |

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| 536 | Lodha Developers Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh + OFS) | 26 April 2018 | Observation issued on 06 July 2018 |
| 537 | K.P.R. Agrochem Limited | PL Capital Markets Private Limited | IPO (Fresh + OFS) | 26 March 2018 | Observation issued on 10 July 2018 |
| 538 | G R Infraprojects Limited | HDFC Bank Limited | IPO (Fresh + OFS) | 30 April 2018 | Observation issued on 11 July 2018 |
| 539 | IRCON International Limited | IDBI Capital Markets & Securities Limited | IPO (OFS) | 27 March 2018 | Observation issued on 12 July 2018 |
| 540 | PN Gadgil & Sons Ltd | HDFC Bank Limited | IPO (Fresh) | 04 May 2018 | Observation issued on 13 July 2018 |
| 541 | ReNew Power Limited | Kotak Mahindra Capital Company Limited | IPO (Fresh + OFS) | 08 May 2018 | Withdrawn vide letter dated 18 February 2019 |
| 542 | Crystal Crop Protection Ltd | ICICI Securities Limited | IPO (Fresh + OFS) | 04 April 2018 | Observation issued on 27 July 2018 |
| 543 | Montecarlo Limited | Edelweiss Financial Services Limited | IPO (Fresh + OFS) | 10 May 2018 | Observation issued on 31 July 2018 |
| 544 | Sembcorp Energy India Ltd | Axis Capital Limited | IPO (Fresh + OFS) | 22 February 2018 | Observation issued on 01 August 2018 |
| 545 | Mazagon Dock Shipbuilders Limited | Yes Securities Limited | IPO (OFS) | 28-Mar-18 | Observation issued on 10 August 2018 |
| 546 | Route Mobile Limited | Motilal Oswal Investment Advisors Limited | IPO (Fresh + OFS) | 22 January 2018 | Observation issued on 16 August 2018 |
| 547 | Craftsman Automation Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 08 June 2018 | Observation issued on 24 August 2018 |
| 548 | Milltec Machinery Limited | Motilal Oswal Investment Advisors | IPO (OFS) | 02 July 2018 | Observation issued on 30 August 2018 |

| | | Private Limited | | | |
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| 549 | Vectus Industries Limited | Edelweiss Financial Services Limited | IPO (Fresh + OFS) | 18 June 2018 | Observation issued on 31 August 2018 |
| 550 | Penver Products Limited | Karvy Investor Services Limited | IPO (Fresh + OFS) | 26 June 2018 | Observation issued on 05 September 2018 |
| 551 | Srei Equipment Finance Limited | ICICI Securities Limited | IPO (Fresh Issue + OFS) | 28 November 2017 | Observation issued on 07 September 2018 |
| 552 | Hinduja Leyland Finance Limited | Axis Capital Limited | IPO (Fresh + OFS) | 14 June 2018 | Observation issued on 07 September 2018 |
| 553 | Aavas Financiers Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 21 June 2018 | Observation issued on 07 September 2018 |
| 554 | Avana Logistek Limited | ICICI Securities Limited | IPO (Fresh + OFS) | 15 June 2018 | Observation issued on 14 September 2018 |
| 555 | Indiamart InterMesh Limited | ICICI Securities Limited | IPO (OFS) | 29 June 2018 | Observation issued on 14 September 2018 |
| 556 | Anmol Industries Limited | Edelweiss Financial Services Limited | IPO (OFS) | 20 June 2018 | Observation issued on 21 September 2018 |
| 557 | Ishan Dyes and Chemicals Ltd. | Vivro Financial Services Pvt. Ltd. | Rights Issue | 20 July 2018 | Observation issued on 24 September, 2018 |
| 558 | Century Metal Recycling Ltd. | ICICI Securities Ltd. | IPO (Fresh + OFS) | 11 June, 2018 | Observation issued on 27 September, 2018 |
| 559 | Capital India Finance Limited | Axis Capital Limited | Rights Issue | 20 August, 2018 | Observation issued on 27 September, 2018 |
| 560 | Ami Organics Ltd. | ITI Capital Limited | IPO (Fresh) | 11 July 2018 | Observation issued on 28 September, 2018 |

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| 561 | Aakash Educational Services Limited | Kotak Mahindra Capital Company Limited | IPO (OFS) | 19 July 2018 | Observation issued on 28 September, 2018 |
| 562 | Nihilent Limited | Motilal Oswal Investment Advisors Limited | IPO (Fresh+OFS) | 10 Aug 2018 | Observation issued on 01 October 2018 |
| 563 | Puranik Builders Limited | Edelweiss Financial Services Limited | IPO (Fresh +OFS) | 27 June 2018 | Observation issued on 05 October 2018 |
| 564 | Muthoot Microfin Limited | Edelweiss Financial Services Limited | IPO (Fresh +OFS) | 27 July 2018 | Observation issued on 05 October 2018 |
| 565 | ASK Investment Managers Limited | JM Financial Limited | IPO (Fresh +OFS) | 07 Aug 2018 | Observation issued on 05 October 2018 |
| 566 | Spandana Spoorthy Financial Limited | Axis Capital Limited | IPO (Fresh +OFS) | 26 June 2018 | Observation issued on 12 October 2018 |
| 567 | Bharat Hotels Limited | HDFC Bank Limited | IPO (Fresh) | 29 June 2018 | Observation issued on 12 October 2018 |
| 568 | Shalimar Paints Limited | SPA Capital Advisors Limited | Rights Issue | 02 Aug 2018 | Observation issued on 15 October 2018 |
| 569 | Dodla Dairy Limited | Edelweiss Financial Services Limited | IPO (Fresh+OFS) | 09 Aug 2018 | Observation issued on 17 October 2018 |
| 570 | Chalet Hotels Limited | JM Financial Limited | IPO (Fresh +OFS) | 02 July 2018 | Observation issued on 19 October 2018 |
| 571 | Affle India Limited | ICICI Securities Limited | IPO (Fresh +OFS) | 16 July 2018 | Observation issued on 19 October 2018 |
| 572 | Harsha Engineers Limited | Axis Capital | IPO (Fresh +OFS) | 21 Aug 2018 | Observation issued on 19 October 2018 |
| 573 | AGS Transact Technologies Limited | ICICI Securities Limited | IPO (Fresh +OFS) | 21 Aug 2018 | Observation issued on 26 October 2018 |

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| 574 | PNB Metlife India Insurance Co. Ltd | Kotak Mahindra Capital Company Limited | IPO (OFS) | 27 July 2018 | Observation issued on 02 November 2018 |
| 575 | Hindustan Construction Company Limited | ICICI Securities Limited | Rights Issue | 14 Sept 2018 | Observation issued on 06 November 2018 |
| 576 | Mrs.Bectors Food Specialiies Limited | IDFC Bank Limited | IPO (OFS) | 13 Aug 2018 | Observation issued on 09 November 2018 |
| 577 | LGB Forge Limited | Keynote Corporate Services Limited | Rights issue | 28 Sept 2018 | Observation issued on 09 November 2018 |
| 578 | Sansera Engineering Limited | ICICI Securities Limited | IPO(OFS) | 10 Aug 2018 | Observation issued on 12 November 2018 |
| 579 | Zircon Technologies (India) Limited | Systematix Corporate Services Limited | IPO (Fresh) | 24 Sept 2018 | Observation issued on 12 November 2018 |
| 580 | Omkar Speciality Chemicals Limited | Almondz Global Securities Limited | Rights Issue | 31 May 2018 | Observation issued on 16 November 2018 |
| 581 | Xelpmoc Design and Tech Limited | ITI Capital Limited | IPO (Fresh Issue) | 28 Aug 2018 | Observation issued on 20 November 2018 |
| 582 | Inventia Healthcare Limited | ICICI Securities Limited | IPO (Fresh Issue) | 21 Sept 2018 | Observation issued on 22 November 2018 |
| 583 | Metropolis Healthcare Limited | JM Financial Limited | IPO (OFS) | 28 Sept 2018 | Observation issued on 22 November 2018 |
| 584 | Neccon Power & Infra Limited | PL Capital Markets Pvt. Ltd. | IPO (Fresh) | 28 Sept 2018 | Observation issued on 29 November 2018 |
| 585 | Flair Writing Industries Limited | Axis Capital Limited | IPO (Fresh + OFS) | 24 Sept 2018 | Observation issued on 30 November 2018 |
| 586 | Cosboard Industries Limited | Mark Corporate Advisors Private Limited | Rights Issue | 26 Apr 2018 | Observation issued on 05 December 2018 |

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| 587 | Shyam Metallics and Energy Limited | ICICI Securities Limited | IPO (OFS) | 06 Aug 2018 | Observation issued on 07 December 2018 |
| 588 | Studds Accessories Limited | Edelweiss Financial Services Limited | IPO (Fresh + OFS) | 27 Aug 2018 | Observation issued on 14 December 2018 |
| 589 | Krebs Biochemicals & Industries Limited | Arihant Capital Markets Limited | Rights issue | 28 Sept 2018 | Observation issued on 17 December 2018 |
| 590 | Anand Rathi Wealth Services Limited | Equirus Capital Private Limited | IPO (Fresh + OFS) | 24 Sept 2018 | Withdrawn vide letter dated 26 December 2018 |
| 591 | Prince Pipes And Fittings Ltd | JM Financial Limited | IPO (Fresh Issue + OFS) | 15 October 2018 | Observation issued on 28 December 2018 |
| 592 | Narmada Biochem Limited | Pantomath Capital Advisors Pvt Ltd | IPO (Fresh Issue + OFS) | 26 Sept 2018 | Observation issued on January 02, 2019 |
| 593 | Chartered Speed Limited | Equirus Capital Pvt. Ltd. | IPO (Fresh Issue + OFS) | 27 September 2018 | Observation issued on January 11, 2019 |
| 594 | Stove Kraft Limited | Edelweiss Financial Services Limited | IPO (Fresh Issue + OFS) | 28 September 2018 | Observation issued on January 23, 2019 |
| 595 | ITI Limited | BOB Capital Markets Limited | FPO | 28 September 2018 | Observation issued on January 25, 2019 |
| 596 | Polycab Wires Limited | Kotak Investment Banking | IPO (Fresh Issue + OFS) | 31 October 2018 | Observation issued on January 25, 2019 |
| 597 | Shri Keshav Cements & Infra Limited | Keynote Corporate Services Limited | Rights issue | 28 September 2018 | Observation issued on January 30, 2019 |

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| 598 | Uniparts India Limited | Axis Capital Limited | IPO (Fresh + OFS) | 05 December 2018 | Observation issued on February 01, 2019 |
| 599 | National Stock Exchange of India Limited | JM Financial Institutional Securities Limited | IPO (OFS) | 28 December 2016 | Issuer needs to file fresh draft offer document: Regulation 25(6) read with Schedule XVI of ICDR Regulations, 2018 stipulate that in case of an OFS where there is a decrease in number of shares offered for sale by more than 50% the issuer needs to file a fresh draft offer document. The shares offered for sale in the issue has decreased by more than 50%. |
| 600 | Neogen Chemicals Limited | INGA Advisors Private Limited | IPO (Fresh Issue + OFS) | 05 November 2018 | Observation issued on February 08, 2019 |
| 601 | Bharat Gears Limited | Keynote Corporate Services Ltd. | Rights Issue | 11 December 2018 | Observation issued on February 14, 2019 |
| 602 | Vishwaraj Sugar Industries Limited | Aryaman Financial Services Limited | IPO (Fresh Issue + OFS) | 21 December 2017 | Observation issued on February 15, 2019 |
| 603 | Heranba Industires Ltd. | Guinness Corporate Advisors Pvt. Ltd. | IPO (Fresh Issue + OFS) | 29 September 2018 | Observation issued on February 22, 2019 |

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| 604 | MSTC Limited | Equirus Capital Private Limited | IPO (OFS) | 01 February 2019 | Observation issued on February 28, 2019 |
| 605 | Senco Gold Limited | IDFC Bank Limited | IPO (Fresh) | 21 August 2018 | Withdrawn vide letter dated 06 March 2019 |
| 606 | Antony Waste Handling Limited | Equirus Capital Private Limited | IPO (Fresh Issue + OFS) | 27 December 2018 | Observation issued on March 08, 2019 |
| 607 | Coldex Limited | Pantomath Capital Advisors (P) Ltd. | IPO (Fresh + OFS) | 29 November 2018 | Observation issued on March 15, 2019 |
| 608 | T & T Infra Limited | Hem Securities Limited | IPO (Fresh) | 24 September 2018 | Observation issued on March 22, 2019 |
| 609 | Bharti Airtel Limited | Axis Capital Limited | Rights Issue | 06 March, 2019 | Observation issued on April 05, 2019 |
| 610 | Shriram Properties Limited | Axis Capital Limited | IPO (Fresh Issue + OFS) | 26 December 2018 | Observation issued on April 09, 2019 |
| 611 | Lasa Supergenerics Limited | Saffron Capital Advisors Private Limited | Rights Issue | 06 November 2018 | Observation issued on April 23, 2019 |
| 612 | 5paisa Capital Limited | Keynote Corporate Services Limited | Rights Issue | 17- Sept- 2018 | Observation letter issued on May 16, 2019 |
| 613 | Emami Cement Ltd | IIFL Holdings Limited | IPO (Fresh+OFS) | 12-Oct-2018 | Observation letter issued on May 15, 2019 |
| 614 | Aruna Hotels Limited | Systematix Corporate Services Limited | Rights issue | 30-Jan-19 | Observation letter issued on May 14, 2019 |