

Reporting Format

Table 1: GENERAL INFORMATION ABOUT THE AIF		
Sl. No	Particulars	Details
1	Name of the AIF	
2	PAN of the AIF, if any	
3	Registration Number	
4	Legal Structure of the AIF	
5	Category and Sub-category of the AIF	Dropdown of all categories
6	Name of the Sponsor	
7	PAN of the Sponsor	
8	Email address of Sponsor	
9	Address of Sponsor	
10	Name of the Manager	
11	PAN of the Manager	
12	Email address of Manager	
13	Address of Manager	
14	Name of the Trustee	
15	PAN of Trustee	
16	Email address of Trustee	
17	Address of Trustee	
18	Name of Custodian	
19	Name of RTA	
20	Whether the AIF is a Large Value Fund for Accredited investors (Yes/no)	
21	No. of Schemes taken on record by SEBI under the Registration	
22	No. of Schemes launched under the Registration	
23	No.of Schemes wound up under the Registration	
24	Whether the Manager of this AIF has facilitated Co-investment across any of its schemes, wherein negotiation of investment opporutunity was carried out by the Manager of AIF for both Fund and Co-investor (Yes/No) (If YES, fill point 25, point 26 & point 27 below)	
25	Whether the manager is registered as Co-investment portfolio manager / Portfolio Manager under SEBI(PM) Regulations, 2020 (Yes/No/NA)	
26	If yes, please provide SEBI PMS Registration number	
27	Date of registration as Portfolio Manager	

Table 2: CONTACT INFORMATION OF COMPLIANCE OFFICER		
1	Name	
2	Email	
3	Mobile no.	

Table 3: Details of Key Management Personnel during the reporting period						
S.No	Name of Key Management Personnel	Designation (incl. association with AIF)	PAN	DIN/DPIN, if any	Date of Appointment	Date of Resignation, if any

Note 1: Details of Key Management Personnel as per SEBI (AIF) Regulations, 2012 is to be provided

Note 2: Date of Appointment shall be the date of appointment in the Fund and not with investment manager.

General Information of Schemes

Table 1: Brief details of Schemes										
S.No	Name of Scheme (A)	PAN of Scheme, if any (B)	Type of Scheme (C)	Whether the scheme is a Liquidation Scheme (Yes/No) (D)	Date of filing final draft PPM with SEBI (E)	Date of SEBI Communication for taking the PPM on record (F)	Date of launch of scheme (G)	Date of Initial Close (H)	Total Commitment received (Corpus) as on Initial Close (Rs. Cr) (I)	Date of final close (J)

Note 1: In Column (C), Type of Scheme shall be 'open ended' or 'closed ended'

Note 2: In Column (E), Date of filing final draft PPM with SEBI shall be date of uploading draft PPM with SEBI

Note 3: For Column (H), Date of initial close shall be date of first close as per SEBI Master Circular dated July 31, 2023

Note 4: In Column (I), Total Commitment shall be gross commitment received as on date of initial close.

Note 5: in Column (J), enter Null for open ended schemes.

Table 2: Details of Tenure of Schemes											
S.No	Name of Scheme (A)	Tenure (not including extension) (B)	End date of intial term of Scheme (C)	Any Extension of Term permitted as per fund documents (Yes/No) (D)	End date of Extended term (E)	To be filled, if the scheme is beyond extended term					
						Date of intimation to SEBI of winding up (F)	Whether the scheme has fully liquidated it's investments before the end of liquidation period (Yes/No/NA) (G)	If answer to Column G is Yes, then provide Date of winding up of scheme (H)	Whether requisite consent received for launching a Liquidation scheme (Yes/No/NA) (I)	Whether requisite consent received for in-specie distribution of unliquidated investments (Yes/No/NA) (J)	Whether the scheme has to mandatorily make in-specie distribution (Yes/No/NA) (K)

Note: Tenure to be in number of years

If answer to (I) is Yes then please fill Table 3, if No enter NA/null/0 in Table 3

If answer to (J) is Yes then please fill Table 4, if No enter NA/null/0 in Table 4

If answer to (K) is Yes then please fill Table 5, if No enter NA/null/0 in Table 5

Table 3: Details of Liquidation Scheme												
S.No	Name of Original Scheme (A)	Name of Liquidation Scheme (B)	Date of filing of Liquidation Scheme PPM with SEBI (C)	Date of launch of Liquidation Scheme (D)	Tenure of Liquidation Scheme (E)	Cost of Unliquidated investments (F)	Bid arranged for __% of unliquidated investments (G)	Value of Bid received (H)	Value from Independent Valuer-1 (I)	Value from Independent Valuer-2 (J)	Date of winding up of Original Scheme (K)	End date of Liquidation Scheme (L)

Note 1: Tenure to be in number of years

Note 2: Cost of Unliquidated investments reported in column (F) means original cost of investment

Table 4: Details of In-specie Distribution (Requisite investor consent received)								
S.No	Name of Scheme (A)	Cost of Unliquidated investments (B)	Bid arranged for __% of unliquidated investments (C)	Value of Bid received (D)	Value from Independent Valuer-1 (E)	Value from Independent Valuer-2 (F)	Date of In-specie Distribution (G)	Date of winding up of Scheme (H)

Note 1: Cost of Unliquidated investments reported in column (B) means original cost of investment

Table 5: Details of Mandatory In-specie distribution					
S.No	Name of Scheme (A)	Cost of Unliquidated investments (B)	No. of investors that accepted in-specie distribution (C)	No.of investors that did not accept in-specie distribution (D)	Date of winding up of scheme (E)

Note 1: Cost of Unliquidated investments reported in column (B) means original cost of investment

Details of Capital Raised

Table 1:Details of Capital raised and invested								
S.No	Name of Scheme (A)	Total Commitment received as at the end of quarter (Corpus) (Rs. Cr) (B)	Cumulative Funds raised as at the end of quarter (Rs. Cr) (C)	Cumulative Portfolio Investments made as at the end of quarter(Rs. Cr) (D)	Temporary investments made as at the end of quarter (Rs. Cr) (E)	Cash in hand as at the end of quarter (Rs. Cr.) (F)	Cumulative Cost of Divestment made as at the end of quarter (Rs. Cr) (G)	Cumulative Principal/ Capital Distributions made to the investors as at the end of quarter (Rs. Cr) (H)

- Note 1 :

In Column (B), Total Commitment shall be gross commitment since inception minus commitment exited due to forfeiture/exit/reduction in commitment. However, transfers, transmissions and principal repayments (if any) should not be considered. For open ended schemes, gross commitment/contribution is to be reported.
- Note 2 :

In Column (C), Cumulative Funds raised as at the end of quarter means all contributions/drawdowns received corresponding to the gross commitment reported in column (B)
- Note 3 :

In Column (D), Cumulative Portfolio Investments made means all investments and reinvestments made since inception at cost including value of corporate action transactions like rights, demerger, etc excluding temporary investments.
- Note 4 :

In Column (E), Temporary investments is cost of investments held as at the end of the quarter.
- Note 5 :

In Column (F), Cash balance as the end of the quarter = (Bank Balance - advance against sale of securities - Unit Application Pending Allotment + Contract on Sale of Investment - Contract for Purchase of investment}
- Note 6 :

In Column (G), Cumulative Cost of Divestment made means all divestments made since inception at cost including value of corporate action transactions like rights, demerger, etc excluding temporary investments
- Note 7 :

In Column (H), Cumulative Principal Distributions represents only principal/capital distributions made to the investors since inception.

Table 2: Calculation of Investable Funds as at the end of quarter (Rs. Cr)				
Sl. No	Name of Scheme (A)	Total Commitments received (Corpus) as at the end of quarter (B)	Estimated Expenses (C)	Investable Funds (D) = (B-C)

- Note 1:

Estimated expenses excluding regulatory taxes (i.e. GST) should be calculated in line with the information on expenses provided in the PPM. In case of unexpected additional expenses, the amount may be appropriately revised in the subsequent report(s). For CAT-3 Schemes, income tax expense shall not be included.
- Note 2:

In Column (B), Total Commitment shall be gross commitment minus commitment exited due to forfeiture/exit/reduction in commitment. However, transfers, transmissions and principal repayments (if any) should not be considered.
- Note 3:

In case of open ended schemes, estimated expenses will be computed for the period of one year basis total commitment/contribution as at end of quarter.

Details of Investments and Divestments

Table 1: Details of Holdings as at the end of reporting quarter															
S.No	Name of Scheme (A)	Name of Investee Company (B)	PAN of Investee Company, if available (C)	Type of Investee Company (D)	Type of Security (E)	Whether its an offshore investment? (Yes/No/NA) (F)	ISIN, if available (G)	SEBI Registration Number of investee Company (If investee company is an AIF) (H)	Whether Investee company is an Associate (Yes/No/NA) (I)	Whether it is managed or sponsored by AIF's manager or sponsor or their associates (If investee company is an AIF) (Yes/No/NA) (J)	Sector (K)	Amount Invested (for all investments including offshore) (Rs. Cr) (L)	Amount invested (for offshore investment only) in \$Mn (M)	Latest Value of Investment in Rs. Cr (N)	Date of valuation of column O (O)

Note 1: Category 1 and 2 AIFs shall provide information of all investments (listed and unlisted securities). Category 3 AIFs shall provide information of investments in only Unlisted securities.

Note 2: Where a scheme has invested in different types of securities of an investee company, information is to be provided separately in each row for each type of security.

Note 3: Type of Investee Company - Dropdown list (with multiple selections) of Company, LLP, AIF, REIT, InvIT, Mutual Fund (MF), Venture Capital Undertaking, Startup, Trust set up by an Asset Reconstruction Company (ARC), ARC, Micro enterprise, Small enterprise, Medium enterprise, SPV, Social Enterprise, Social Venture, Public Charitable trusts, societies registered for charitable purposes or for promotion of science, literature or fine arts, Section 8 companies, Microfinance institutions, Others, NA

Note 4: Type of Security - Dropdown list (with multiple selections) of Unlisted Debt, Unlisted equity, Unlisted equity linked instruments, LLP Interest, securitised debt, unlisted derivatives, Others (unlisted), Listed/proposed to be listed Debt securities, Listed/Proposed to be listed equity on Main board, Listed/Proposed to be listed equity on SME Exchange, Others (listed), Units of Liquid MF, Units of Other MF, Units of AIF_CAT-I, Units of AIF_CAT-II, Units of AIF_CAT-III, Bank deposits, Other Liquid assets, G-Sec, T-bill, listed derivatives, CDS-buy, CDS-sell, Grants, Listed Security receipts, Unlisted Security receipts, stressed loans as provided in Reg 19I (2)(a), securities of investee companies as provided in Reg 19I 2(c), NA, REITs, Invits, Listed Preference Shares/CCPS, Unlisted Preference Shares/CCPS, Notified Zero coupon Bonds, Others Zero coupon Bonds

Note 5: For column I, refer the definition of Associate provided in SEBI (AIF) Regulations, 2012

Note 6: Sector- Dropdown - List of sectors is given at the end of the report

Note 7: For columns N and O, latest valuation considered in the fund books as at the end of the quarter is to be provided. For listed securities, valuation based on latest available closing prices may be provided

Table 2: Details of Temporary Investments			
S.No	Name of Scheme (A)	Name of Investee Company (B)	Cost of Investment as at the end of the Quarter (Rs. Cr) (C)

Note 1: In Column (B), each Mutual Fund Scheme, Tbill, Gsec etc. should be stated separately.

Note 2: In Column (C), Temporary investments is cost of investments held as at the end of the quarter.

Table 3: Transactions on RFQ (Request for Quote) platform in each month of the reporting quarter					
Sl. No	Name of Scheme (A)	Month (B)	Value of total secondary market trades in Corporate bonds for the particular month (Rs. Cr) (C)	Value of such trades undertaken by placing/seeking quotes on RFQ platform (Rs. Cr) (D)	% of total secondary market trades in Corporate bonds by value in the partiular month by placing/seeking quotes on RFQ platform (E=D/C)

Note: Month to contain dropdown from January to December

Break up of Investors in the AIF

Table 1: Details of investors in AIFs (in Nos.)																							
Sl. No	Name of the Scheme						Domestic										Foreign						
		Sponsor	Manager	Directors/ Partners/ Employees of Sponsor	Directors/ Partners/ Employees of Manager	Employee Benefit Trust of Manager	Banks	NBFCs	Insurance Companies	Pension Funds	Provident Funds	AIFs	Other Corporates	Resident Individuals	Non-Corporate (other than Trusts)	Trusts	FPIs	FVCIs	NRIs	Others	Domestic Developmental Agencies / Government Agencies	Others	Total

Note 1: Count for Sponsor or Manager or their directors/partners/employees are to be provided in the above table only if they are investors in that particular scheme.

Note 2: Categorization in case of director/partners/employees of sponsor/manager is to be reported considering the investor status as at the time of investment.

Table 2: Funds raised from various categories of investors in AIFs (in Rs. Crore)																							
Sl. No	Name of the Scheme						Domestic										Foreign						
		Sponsor	Manager	Directors/ Partners / Employees of Sponsor	Directors/ Partners / Employees of Manager	Employee Benefit Trust of Manager	Banks	NBFCs	Insurance Companies	Pension Funds	Provident Funds	AIFs	Other Corporates	Resident Individuals	Non-Corporate (other than Trusts)	Trusts	FPIs	FVCIs	NRIs	Others	Domestic Developmental Agencies / Government Agencies	Others (INR Crores)	Total

Note 1: Values for Sponsor or Manager or their directors/partners/employees are to be provided in the above table only if they are investors in that particular scheme

Note 2: Value in case of director/partners/employees of sponsor/manager is to be reported considering the investor status as at the time of investment.

Table 3: Commitment raised for various categories of investors in AIFs (in Rs. Crore)					
Sl. No	Name of the Scheme	Foreign			
		FPIs	FVCIs	NRIs	Others

Note 1: Commitment from all foreign investors should be captured in this column.

Details of Investor Consent

Table 1: Details of Consent received from Investors as a % by value of investment during the reporting quarter								
S.No	Name of Scheme	Proposal related to*	Proposal in brief	Type of responses received w.r.t proposal			Date of finalization of responses	Proposal approved/rejected
				Positive (%)	Negative (%)	No reply (%)		

- Note 1: Only those proposals which are either approved or rejected during the quarter are to be reported
- Note 2: % of investors by value of investment who have not replied shall be reported under column ‘No reply (%)’
- *Note 3: Dropdown list of,

Reg 13(5)- Extension of Term

Reg 15(1)(e)-Investment in associates or units of AIFs managed or sponsored by its manager, sponsor or associates of its manager or sponsor

Reg 20(10)-Appointment of external members to IC

Reg 23(2)-Frequency of valuation enhanced to once a year

Reg 29(1)(c) or Reg 29(2)(b) - Wind up the scheme

Reg 29(8)-In-specie distribution of assets

Reg 29(9)-Liquidation Scheme

Others

Details of Borrowing by AIF

Table 1: Details of borrowing by the AIF during the reporting quarter (Category I & II)									
S.No	Name of Scheme (A)	Purpose* (B)	Amount outstanding as at the beginning of quarter (INR Crores) (C)	Date of borrowing (D)	Investable funds as on date of borrowing (INR crore) (E)	Amount borrowed during the quarter (INR crore) (F)	Date of repayment (G)	Amount repaid (INR crore) (H)	Amount outstanding as at the end of quarter (INR crore) (I) = (C + F - H)

Note: The details of borrowing by Category I and Category II AIFs is to be reported

*Dropdown list: for temporary funding requirements, for meeting operating expenses, others

Table 2: Details of Pledge during the reporting quarter				
S.No	Name of Scheme	Whether AIF pledged its investment in the investee company (Yes/No)	Name of corresponding investee company	Amount borrowed (in Rs. Cr)

Others

Table 1: Details of Latest Valuation (as per Regulation 23 of SEBI (AIF) Regulations, 2012)					
S.No	Name of Scheme	Frequency of valuation	Valuation conducted by	Valuation (in Rs. Cr)	Date of Valuation

Note 1: Details of latest valuations of each scheme (irrespective of whether its investments are in listed, unlisted, temporary investments,etc) are to be provided in this table for Category I/II/III AIFs as per Regulation 23 of SEBI (AIF) Regulations, 2012

Note 2: Frequency of valuation - Dropdown list of Monthly, Quarterly, Half-yearly, Annually, Others, NA

Note 3: Valuation conducted by - Dropdown list of internal valuer, independent valuer

Note 4: In case of listed securities/temporary investments, information shall be reported as per stock exchange valuation. In case of unlisted securities, information as per independent valuer should be considered. Further for fund of fund investments, NAV to be considered

Table 2: Details of Fees and expenses for investors on-boarded after May 01, 2023 (in Percentage)						
S.No	Name of Scheme (A)	% Management Fee per annum (B)	Tenure of Scheme (In Years) (C)	Total % Management fees charged over the tenure of fund (D) = (BxC)	% Upfront distribution commission paid (E)	% Distribution commission paid on trail basis for the tenure of fund (F)

Note 1: % of all fees i.e. management/upfront commission/trail distributor fees shall be reported as weighted average rate taking gross commitment as at the end of the quarter as base. The basis for computing actual fees may differ.

Note 2: Tenure of scheme means tenure as defined in SEBI Master Circular dated July 31, 2023

Note 3 : In Column (E), Upfront distribution commission paid includes upfront distribution fees, placement fees, setup fees or any other fees paid upfront to the distributor.

Note 4 : In Column (F), Trail distribution commission paid includes trail distribution fees or any other fees paid to the distributor on trail basis.

Table 3: Details of warehoused investments of the AIF										
Sl. No	Name of Scheme	Details of Final Close of Scheme		Name of Warehoused investment, not yet transferred to the Scheme	Type of investment	Name of Warehousing Entity	Relationship of Warehousing Entity with AIF/ Sponsor/ Manager	Date of warehousing of investment	Value of Warehoused Investment (Rs. Cr)	Whether disclosed to all the investors (Yes/No/NA)
		Whether final close has been announced (Yes/No/NA)	If yes, date of final close							

Note: All the information provided in the table shall be as of last day of the quarter,i.e cumulative data
Enter details of each warehoused investment by a scheme in separate rows
For type of investment, mention the type of security (equity/ debt/ Security Receipts, etc.) through which investment is done

Table 4: Reporting of Suspension of redemptions by Category III AIFs						
Sl. No	Name of Scheme	Start date of suspension period	End date of suspension period	Date of intimation to Investors		Remarks, if any
				About suspension of redemption	About resumption of normal operations	

Note: "Suspension Period" is the period for which redemption is suspended by the Manager of AIF

Table 5: Submission of PPM audit report			
Sl. No	Name of Scheme	Document Submitted	Date of submission to SEBI

Note 1: Document submitted - dropdown list of options Audit Report, Certificate and NA
Note 2: Date of submission to SEBI via email or hardcopy submission date whichever is earlier shall be reported

Table 6: Details of Non-compliances reported by Compliance Officer to SEBI during the quarter				
S.No	Name of Scheme	Non-compliance in brief	Date of observing such non-compliance	Date of reporting the non-compliance to SEBI

Table 7: Details w.r.t Compliance Test Report (CTR)							
S.No	Name of Scheme	Date of submission of CTR to Trustee and/or Sponsor	Whether there are any observations/comments on the CTR by the Trustee/Sponsor (Yes/No/NA)	Date of intimation of observations/comments by Trustee/sponsor to manager	Date of reply filed by the manager to Trustee/Sponsor	Brief description of observations/comments	Any deviation of AIF Regulations or circulars issued thereunder observed (Yes/No/NA)

DETAILS OF COMPLAINTS and ARBITRATION

Table 1: Details of Complaints					
S.No	Name of Scheme	No.of complaints pending at the end of quarter		No.of complaints pending for more than 3 months as at the end of quarter	
		Receieved through SCORES	Received directly by AIF/Manager	Received through SCORES	Received directly by AIF/Manager

Table 2: Details of Arbitration as at the end of quarter				
Sl. No	Name of Scheme	Total Arbitration filed (A)	Arbitration Resolved (B)	Arbitration Pending (C) = (A-B)

DATA PERTAINING TO CATEGORY 3 AIFs

Table 1: Calculation of Leverage Ratio of the scheme for all trading days during the reporting quarter								
Sl. No	Name of the Scheme (A)	Date (dd/mm/yyyy) (B)	Net Asset Value as on (B) (in Rs. Cr) (1)	Gross Long Positions (in Rs. Cr) (2)	Gross Short Positions (in Rs. Cr) (3)	Gross Leverage (No. of times) {(2)+(3)}/(1)	Exposure after offsetting transactions for hedging and portfolio rebalancing (in Rs. Cr) (4)	Leverage ratio after offsetting transactions for hedging and portfolio rebalancing (No. of times) (4) / (1)

Note: Leverage Ratio is to be calculated as per SEBI Circular CIR/IMD/DF/10/2013 dated July 29, 2013 and as per SEBI Circular SEBI/HO/AFD/PoD/CIR/2023/15 dated January 12, 2023

Table 2: Details of reporting and rectification of breach in leverage limit					
Sl.No	Name of the Scheme	Date of breach of leverage limit	Date of reporting breach to Custodian	Date of reporting breach to investors	Date on which breach in leverage limit was rectified

Note: Only provide information if leverage ratio has breached specified limit

Sector List

S.No	Sector Name
1	Agriculture & Allied activities
2	Aerospace & Defense
3	Air freight & logistics
4	Airways
5	Auto Components
6	Automobiles
7	Banks
8	Beverages
9	Biotechnology
10	BPOs
11	Building Products
12	Capital Markets
13	Cement
14	Chemicals
15	Commercial services & Supplies
16	Communications Equipment
17	Construction & Engineering
18	Construction materials
19	Consumer Durables
20	Consumer Finance
21	Containers & Packaging
22	Dairy Industry
23	Defence
24	Derivatives
25	Distributors
26	Diversified Consumer Services
27	Diversified Financial Services
28	Diversified Telecommunication Services
29	E-Commerce
30	Education & Training
31	Electric Utilities
32	Electrical Equipment
33	Electronic Equipment, Instruments & Components
34	Energy Equipment & Services
35	Engineering & Capital Goods
36	Entertainment
37	Equity Real Estate Investment Trusts (REITs)
38	Ferrous Metals
39	Fertilisers
40	Financial Services
41	FMCG
42	Food & Staples Retailing
43	Food Products
44	Gas Utilities

Sector List

S.No	Sector Name
45	Gems & Jewellery
46	Hardware
47	Health Care Equipment & Supplies
48	Health Care Providers & Services
49	Health Care Technology
50	Hotels, Restaurants & Leisure
51	Household Durables
52	Household Products
53	Independent Power and Renewable Electricity Producers
54	Industrial Parks
55	Industrial Products
56	Insurance
57	Interactive Media & Services
58	Internet & Direct Marketing Retail
59	IT/ ITes
60	Leisure Products
61	Life Sciences Tools & Services
62	Logistics
63	Machinery
64	Manufacturing
65	Marine
66	Media & Entertainment
67	Metallurgy
68	Metals & Mining
69	Mortgage Real Estate Investment Trusts (REITs)
70	Multiline Retail
71	Multi-Utilities
72	Nanotechnology
73	NBFCs
74	Non - Ferrous Metals
75	Oil, Gas & Consumable Fuels
76	Packaging & Labelling
77	Paper & Forest Products
78	Personal Products
79	Pesticides
80	Petroleum Products
81	Pharmaceuticals
82	Poultry Industry
83	Power
84	Production of Bio-Fuels
85	Professional Services
86	Railways
87	Real Estate

Sector List

S.No	Sector Name
88	Real Estate Management & Development
89	Renewable energy
90	Research & Development
91	Retail
92	Road Transport
93	Robotics
94	Science & Technology
95	Seed R&D
96	Semiconductors & Semiconductor Equipment
97	Shipping & Ports
98	Software
99	Specialty Retail
100	Technology Hardware, Storage & Peripherals
101	Telecom - Equipment and Accessories
102	Telecom - Services
103	Textiles, Apparel & Luxury Goods
104	Thriffs & Mortgage Finance
105	Tobacco
106	Tourism & Hospitality
107	Trading Companies & Distributors
108	Transportation infrastructure
109	Urban Infrastructure
110	Water Transport
111	Water Utilities
112	Wireless Telecommunication Services
113	Others